Decoding the Guidelines of Invasive Pulmonary Aspergillosis in Critical Care Setting: Imaging Perspective

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Abstract

Invasive pulmonary aspergillosis (IPA) is a common, life-threatening opportunistic fungal infection seen in susceptible individuals especially those admitted in critical care units. Multiple guidelines have been promulgated for the diagnosis of IPA, some of which are all inclusive, while others cater to specific patient groups. Microbiology forms the crux of the majority of the diagnostic tests/criteria; however, results take a considerable amount of time. Radiology can play an important role by bridging the gap to reach at an early diagnosis. Thus, the role of a radiologist cannot be overemphasized to recognize the typical and atypical imaging manifestations of invasive aspergillosis and aid in the swift management of these cases. This review decodes the terminology and various diagnostic criteria for IPA relevant to imaging studies. Further, the differences in imaging manifestations of IPA in neutropenic and non-neutropenic patients are also discussed.

Keywords
► invasive pulmonary aspergillosis
► chest CT
► fungal lung disease
► aspergillosis
► galactomannan

Introduction

Invasive pulmonary aspergillosis (IPA) is an opportunistic infection that often affects immunocompromised patients and significantly escalates their morbidity and mortality. Neutropenia is the classical risk factor; however, IPA is also common in non-neutropenic immunocompromised hosts and even immunocompetent critically ill patients in intensive care units (ICUs). Multiple studies have estimated the mortality rates reaching up to 50% in patients of influenza and COVID-19 with Aspergillus superinfection.1–3 Compounding the problem is the nonspecific presentation of this entity, which is often difficult to differentiate clinically and radiologically from nosocomial pneumonia and pulmonary complications of mechanical ventilation in ICU settings. The radiological manifestations also differ according to the immune status of the patient, with non-neutropenic patients seldom showing the typical imaging findings of invasive fungal pneumonia. Various guidelines have been proposed to facilitate diagnosis of IPA in different vulnerable patient groups with serology and microbiology forming the core supplemented by imaging. This article attempts to decode the myriad guidelines with special emphasis on radiological manifestations in patients with traditional and nontraditional risk factors for IPA.

Terminology

Before elaborating on the imaging manifestations, a clarification of basic terminology is essential.
Invasive Pulmonary Aspergillosis
It involves the presence of Aspergillus species in lung tissue or respiratory samples with associated clinical, radiological or bronchoscopic features. The most common Aspergillus species causing invasive fungal infection in lungs is *A. fumigatus* followed by *A. flavus*.

Angioinvasive Aspergillosis
Angioinvasion (as relevant to the lungs) refers to invasion of branches of pulmonary arteries by fungal hyphae, leading to coagulative necrosis and hemorrhagic infarction of the pulmonary parenchyma.

Airway Invasive Aspergillosis
Airway invasion is said to occur when there is invasion by *Aspergillus* organisms beyond the airway basement membrane. It can affect both large (tracheobronchitis) and small airways (bronchiolitis).

Influenza-Associated Pulmonary Aspergillosis
Influenza-associated pulmonary aspergillosis (IAPA) is defined as radiological and microbiological evidence of *Aspergillus* superinfection in a patient with influenza infection, (proven with influenza-positive polymerase chain reaction [PCR]/rapid antigen test) presenting with severe respiratory distress.

COVID-19-Associated Pulmonary Aspergillosis
Similarly, in the backdrop of COVID-19 infection, a diagnosis of IPA by radiological and microbiological criteria is termed as COVID-19-associated pulmonary aspergillosis (CAPA).

AspICU (Aspergillosis in ICU) Criteria for IPA
Given by Blot et al in 2012, these guidelines are used to diagnose *Aspergillus* infection in ICU patients.

Risk Factors for Invasive Aspergillosis
Various conditions predispose to invasive aspergillosis. The “high-risk factors” include neutropenia (neutrophil count <500/mm³), hematological malignancy, and allogeneic bone marrow transplantation (BMT). BMT patients can have a bimodal peak. The first peak occurs within a month due to neutropenia and if they are subsequently treated with immunosuppressive drugs for graft versus host disease, the second one occurs 2 to 4 months after transplantation. “Intermediate-risk factors” for the development of IPA include prolonged treatment with corticosteroids (>3 weeks), lung transplantation, chronic obstructive pulmonary disease (COPD), chronic liver/kidney disease, solid organ malignancies, congenital and acquired immunodeficiencies like human immunodeficiency virus (HIV) infection and chronic granulomatous disease (CGD) and treatment with immunosuppressive/cytotoxic drugs. On the other hand, severe burns, diabetes mellitus, solid organ transplants other than lung transplant, prolonged ICU admission (>3 weeks), malnutrition, and cardiac surgery are considered “low-risk factors” for IPA. Recently viral infections like H1N1 and COVID-19 have been associated with IPA probably as a result of infection-induced immune paralysis.

Guidelines for Diagnosis and Management of IPA
Based on the clinical, microbiological, and imaging findings, various criteria have been defined by different societies, based on the level of confidence. These are summarized in – Table 1.

The various terms used in the guidelines to diagnose IPA are stated below in decreasing order of the level of confidence.

Proven Invasive Pulmonary Aspergillosis
Proven IPA is when there is evidence of tissue invasion by septate hyphae in lung biopsy specimens or detection of *Aspergillus* species from a clinically or radiologically involved site that is normally sterile, irrespective of the immune status of the patient.

As obtaining biopsy samples from critically ill patients is rarely feasible, management decisions are guided by “probable” or “possible” criteria with a lower level of certainty than proven IPA.

Probable IPA/Putative IPA
The revised European Organization for Research and Treatment of Cancer/Mycoses Study Group (EORTC/MSG) consensus guidelines “probable” case definition pertain mainly to neutropenic patients with hematological malignancy or history of BMT, who must have typical radiological features and collaborative microbiological evidence, for diagnosis. Although this provides increased specificity of diagnosis for research purposes, a large subset of patients who are not neutropenic are excluded.

All the recent guidelines, however, are applicable to the entire gamut of critically ill patients, including non-neutropenic individuals with milder degrees of immunocompromise due to COPD, post-viral infection, etc. In these patients, a positive laboratory evidence of *Aspergillus* species (by direct microscopy, culture, PCR and/or galactomann in [GM] antigen in serum and/or BAL) supplemented by any nonspecific lung infiltrate is sufficient justification to initiate antifungal treatment.

Possible Invasive Pulmonary Aspergillosis
In the absence of mycological confirmation or reliable sampling methods (like non-BAL fluid used in suspected covid patients), a diagnosis of “possible IPA” is rendered by some of the guidelines (EORTC/MSG, CAPA, etc.).

Colonization
Colonization is isolation of fungus from respiratory secretions in a subject without any symptoms, radiological or bronchoscopic findings. It may be indicative of saprophytic infection in patients with localized lung disease, or a harbinger of invasive disease especially in lung transplant patients, but does not imply any active infection.
When to Suspect Invasive Pulmonary Aspergillosis?

Although the entry criteria differ among the various guidelines, broadly, all patients admitted in the ICU having respiratory symptoms like dyspnea, hemoptysis, chest pain, persistent fever despite 3 days of antibiotics, or new, enlarging or persistent chest infiltrates on imaging despite antibiotic therapy should be suspected of having superimposed fungal infection. In severely neutropenic individuals, however, due to blunting of inflammatory responses, a temperature elevation for even 1 hour raises concern for IPA and warrants further workup (►Fig. 1).

Imaging Findings of IPA

Although the guidelines for IPA emphasize the role of serology/mycology for diagnosis, various factors like cardiopulmonary compromise, deranged coagulation, and fear of iatrogenic infection often preclude invasive sampling, especially in nonintubated ICU patients. Frequent respiratory colonization by Aspergillus and inflammatory response due to simultaneous ongoing rejection in lung transplant recipients may further jeopardize laboratory results. Moreover, it may not be pragmatic to wait for laboratory confirmation in critical care settings and prompt treatment is often commenced empirically. Imaging can facilitate this decision-making by detecting typical imaging findings leading to a specific and early diagnosis of IPA in appropriate clinical settings. Further, imaging also aids in monitoring disease progression and detecting complications with prognostic implications.12–15

IPA can involve the peripheral airways or lung parenchyma in the form of nodules and consolidation. Pleural effusion and mediastinal lymphadenopathy are uncommon and should prompt investigation into a different etiology like mucor. The radiological manifestations of IPA can be divided under two broad groups: neutropenic and non-neutropenic patients. Although they have overlapping manifestations, some differences are noted due to their varied pathophysiology (►Table 2).

### Table 1 List of guidelines for diagnosis of IPA

<table>
<thead>
<tr>
<th>Guideline</th>
<th>Groups to which it is applicable/entry criteria</th>
<th>Categories suggested</th>
</tr>
</thead>
</table>
| Revised definitions of invasive fungal disease from EORTC/MSGERC consensus group (2019)11 | • Neutropenic patients  
• Hematological malignancy  
• Stem cell transplant  
• Solid organ transplant | Proven IFD  
Probable IFD  
Possible IFD |
| AspICU (2012) by Blot et al9                                            | All patients with Aspergillus species positive lower respiratory tract specimen including those with the following:  
• Neutropenia  
• Hematological/solid organ malignancy  
• Glucocorticoid treatment  
• Immunodeficiency                                                      | Proven IPA  
Putative IPA  
Respiratory tract colonization |
| Modified AspICU/BM-AspICU (Biomarker–AspICU) (2021) by Réseau de Surveillance des Infections Fongiques (RESSIF group)8 | No risk factors required                                                                                         | Proven IPA  
Probable IPA |
| IAPA (2020) by expert panel6                                              | No risk factors required                                                                                         | Proven  
Probable |
| CAPA (2020) by expert panel7                                              | No risk factors required                                                                                         | Proven  
Probable  
Possible |
| Special case: guidelines for COPD patients (2007) by Bulpa et al21       | No other risk factors required                                                                                    | Proven  
Probable  
Possible  
Colonization |
| International Society for Heart and Lung Transplantation (ISHLT) consensus statements (2010) for recipients of cardiothoracic transplants4 | No other risk factors required                                                                                    | Proven  
Probable  
Colonization |
| Recipients of other solid organ transplants (SOT)2                        | No specific guidelines: EORTC/AspICU followed                                                                      |                                           |

Abbreviations: BM, biomarker; CAPA, Covid-associated pulmonary aspergillosis; COPD, chronic obstructive pulmonary disease; EORTC, European Organization for Research and Treatment of Cancer; IAPA, influenza-associated pulmonary aspergillosis; IFD, invasive fungal disease; IPA, invasive pulmonary aspergillosis; ISHLT, International Society for Heart and Lung Transplantation; MSGERC, Mycoses Study Group Education and Research Consortium; RESSIF, Réseau de Surveillance des Infections Fongiques; SOT, solid organ transplant.
Chest radiographs are not preferred in ICU settings due to low yield of portable radiography and chest computed tomography (CT) remains the primary modality for both diagnosis and follow-up. The imaging features of IPA on chest CT are discussed below.

- **Pulmonary nodules**: Ill-defined round nodules that tend to enlarge and coalesce over time are among the most common manifestations of IPA and are more often seen in fungal vis-à-vis bacterial infection. These are present in both neutropenic and non-neutropenic individuals, although these are more common in the former. A predominant centriflobular distribution suggests airway invasive pattern, whereas peripheral random nodules are seen predominantly in angiinvasive infection in neutropenic individuals. These nodules may be associated with the following features:

**Table 2** Comparison of pathological and radiological manifestations of IPA in neutropenic and non-neutropenic patients

<table>
<thead>
<tr>
<th>Categories of ICU patients</th>
<th>Neutropenic patients</th>
<th>Non-neutropenic immunosuppressed/ critically ill immunocompetent patients</th>
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<tbody>
<tr>
<td>Pathophysiology</td>
<td>Aspergillus becomes angiinvasive within hours</td>
<td>Prolonged airway invasive phase prior to angiinvasion</td>
</tr>
<tr>
<td>Pathology</td>
<td>Lung lesions represent coagulative necrosis, hemorrhagic infarction, and angiinvasion</td>
<td>Lung lesions represent pyogranulomatous inflammation and necrosis with no angiinvasion initially</td>
</tr>
</tbody>
</table>
| Radiology                 | Typical findings:  
  - Scattered nodules most common  
  - Peripheral GGO halo  
  - Air crescent  
  - Hypodense sign  
  - Peripheral wedge-shaped infarcts and consolidations | Atypical findings:  
  - Tracheal/bronchial wall thickening (large airways)  
  - Tree-in-bud opacities (small airways)  
  - Consolidations and nodules ± cavitation and GGOs with predominant peribronchial distribution (most common)  
  - Nonspecific infiltrates (most common) |
| Microbiology              | Serum galactomannan (GM) positivity | Serum GM unreliable (prolonged airway invasive phase) |

Abbreviations: GGO, ground glass opacity; ICU, intensive care unit; IPA, invasive pulmonary aspergillosis.
Halo sign: Halo sign refers to a rim of ground glass opacity (GGO) around a dense parenchymal nodule. It occurs in the early stages of infection (within a week) and disappears thereafter. Hence, early CT scan is essential to capture this finding (►Fig. 2). Its presence also correlates with the degree of immunosuppression. However, it is a nonspecific sign and can also be seen in many other conditions like mucormycosis, septic emboli, hemorrhagic metastasis, and granulomatosis polyangiitis (GPA). Nevertheless, in the setting of traditional risk factors like neutropenia, it is highly suggestive of the angioinvasive form of IPA. Pathologically, it represents infarct like necrosis with surrounding hemorrhage. It has been associated with good prognosis, probably as a result of early disease detection and treatment.

Cavitation/air crescent sign: On improvement of neutropenia, there is decrease in the surrounding GGO but with the appearance of internal breakdown and formation of a crescent of air (“air crescent sign”; ►Fig. 3) separating the wall of cavity from infarcted, contracting lung tissue. Hence, it occurs late in the course of the disease (after 2–3 weeks) and heralds immune reconstitution and improved survival. As the necrotic debris is expelled into an adjoining bronchus, a rounded irregular area of cavitation appears within the fungal debris.
nodule opacity. Although infarction of lung tissue is seen mainly in angioinvasive infection in neutropenic individuals, cavitation is also commonly encountered in consolidations seen in non-neutropenic cases due to necrosis.

- **Hypodense sign**: A parenchymal nodule may transiently show a central hypodensity signifying ischemic necrosis (hypodense sign; Fig. 4) with subsequent cavitation due to communication with an adjoining bronchus/bronchiole. This is a highly specific sign (specificity of 90–100%) of angioinvasion and has not been reported in bacterial/viral infection. Thus, the chronological sequence of appearance of the hypodense sign in a pulmonary nodule within a week, followed by air crescent sign and subsequent cavitation is highly suggestive of IPA.

- **Transfissural extension**: The nodules may show pleural, mediastinal, and chest wall invasion and transfissural extension (Fig. 5). Miliary aspergillosis is a rare form of angioinvasive IPA seen in neutropenic hosts and is characterized by innumerable 1- to 3-mm discrete nodules in random distribution.

- **Consolidation**: Diffuse lobar or segmental areas of consolidation may be seen with/without surrounding GGOs and cavitation. In neutropenic individuals, they represent changes due to angioinvasion with subsequent pulmonary infarction and are generally seen as pleural-based wedge-shaped consolidations (Fig. 6). Predominant peribronchial and multisegmental distribution suggests airway invasive fungal bronchopneumonia (Fig. 7). The airway invasive form persists for a prolonged time in a non-neutropenic patient with subsequent invasion of vessels. In neutropenic patients, however, *Aspergillus* species becomes angioinvasive within hours, accounting for the varied radiological presentation.

- **Reverse halo sign/atoll sign**: There is central GGO surrounded by crescentic denser consolidation (Fig. 8). It is a nonspecific imaging finding seen most commonly in mucormycosis, organizing pneumonia, COVID infection, and infarction. Infrequently, it may be encountered in IPA as a delayed finding in contrast to mucor where it occurs at an earlier stage.

- **Vascular occlusion sign**: This sign is also seen more frequently in mucormycosis and rarely in the angioinvasive form of IPA in neutropenic hosts. It refers to interruption of a vessel at the border of a nodule/consolidation without opacification of the vessel within the lesion.

- **Acute fungal tracheobronchitis**: It usually shows no radiological findings. Occasionally, tracheal or bronchial wall thickening may be seen. It is unique to lung transplant recipients where it generally occurs at the anastomotic site within 3 months of transplant. It may lead to anastomotic dehiscence. Bronchoscopic findings include superficial invasion causing ulceration and pseudomembrane formation and deep invasion in the form of plaques and nodules with peribronchial infiltration.

- **Bronchiolitis**: It is seen as bronchial wall thickening, centrilobular nodules, and branching linear opacities
having a “tree-in-bud” appearance in patchy distribution (Fig. 9). It is a nonspecific feature, also seen in tuberculosis, aspiration, etc. So clinical correlation is paramount.

• Nonspecific patterns: These include bilateral multilobar segmental and lobar consolidations with/without breakdown, masslike consolidation, single or multiple nodules with/without halo sign and cavitation, diffuse GGO, and mosaic attenuation frequently seen in non-neutropenic patients and critically ill immunocompetent subjects (Fig. 10). They appear indistinguishable from other bacterial pneumonia especially due to gram-negative bacteria. The differences in imaging appearance of IPA among neutropenic and nonneutropenic patients are summarized in Figs. 11 and 12.
IPA is the most common pulmonary mycoses in critical care settings; however, invasive pulmonary mucormycosis (IPM) is also sometimes encountered as during the recent SARS pandemic. The imaging appearances are often similar, but it would be worthwhile to highlight certain differentiating markers.

Multiple pulmonary nodules (>10), pleural effusion, concomitant fungal sinusitis, and progression of disease despite voriconazole therapy suggest IPM. Also as noted earlier, the “reverse halo sign” is observed more commonly and earlier during the course in mucor. Another related sign described is “bird nest sign,” which is specific for IPM. It consists of intersecting irregular lines within an area of ground glass with surrounding halo of consolidation. However, invasive sampling might be required for definitive diagnosis.\(^{13,17}\)

Although this review is concerned entirely with the diagnosis of IPA in ICU patients, it would be interesting to note here the imaging manifestations of aspergillosis in noncritical care settings. The clinicoradiological presentations in this population relies on the balance between host immunity and pathogenicity of the organism with some role played by genetic susceptibility. The profoundly neutropenic individuals as well those with lesser degrees of immunosuppression, who are being managed in noncritical hospital care/outpatient basis, generally manifest a similar spectrum of typical and atypical IPA (as discussed earlier) depending on their ability to resist angioinvasion. The subjects with improving immune function may evolve into a more subacute form of aspergillosis with less dramatic clinical course. Immunocompetent individuals with chronic fibrocavitatory lung lesions usually suffer from the chronic form of pulmonary aspergillosis in contrast to hypersensitive/atopic hosts who are prone to develop allergic bronchopulmonary aspergillosis (ABPA). However, they may sometimes progress to IPA in the event of ongoing immunosuppression by corticosteroids, etc. Curiously, there are sporadic case reports of healthy humans who succumbed to IPA post massive fungal spore exposure. Thus, there is an ongoing dynamic interconversion of one form to another based on the current immunity of the patient, termed Aspergillus overlap syndrome.\(^{18–20}\)

To summarize, the imaging criteria of IPA cited by various guidelines have been enumerated (\(\sim\)Table 3). It is prudent to realize that imaging manifestations of invasive aspergillosis in non-neutropenic patients do not follow the conventional patterns of fungal pneumonia so that lack of the typical features should not delay further workup for aspergillosis. Microbiology supplemented by radiology can expedite the diagnosis and

**Fig. 9** Tree-in-bud pattern: a 26-year-old female patient of acute myeloid leukemia (AML) post induction therapy with severe neutropenia and fever unresponsive to antibiotics. High-resolution computed tomography (HRCT) of the chest was done to look for evidence of fungal infection. (A,B) Axial HRCT of the chest sections in the lung window show clustered centrilobular nodules with surrounding ground glass opacities (GGOs) in the right middle lobe and bilateral lower lobes forming a “tree-in-bud” pattern (arrow). (C) This is better depicted in zoomed image.

**Fig. 10** A 43-year-old diabetic female patient presented with shortness of breath, fever, and productive cough. High-resolution computed tomography (HRCT) of the chest lung window (A,B) axial and (C) coronal sections reveal multifocal consolidations (star) and nodules (arrow) in bilateral lungs with internal areas of cavitation and breakdown. Imaging appearances were nonspecific. Gram-negative bacterial and fungal etiology were both considered differentials and empirically treated. Culture from mini-bronchoalveolar lavage (mini-BAL) done subsequently showed the Aspergillus species.
treatment of invasive aspergillosis and subsequently reduce morbidity and mortality in vulnerable patients.

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**Conflict of Interest**
None declared.

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