







Posterior Instrumentation of Cervical Spine: A **Bibliometric Analysis of Trends in Publication**

Vishal Kumar¹ Vikash Raj¹ Sitanshu Barik¹ Richa²

Address for correspondence Vikash Raj, MBBS, MS, Department of Orthopaedics, All India Institute of Medical Sciences, Deoghar, Jharkhand 814152, India (e-mail: drvikashraj@gmail.com).

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Abstract

Aim The aim of this study was to perform a bibliometric analysis of the articles published on posterior instrumentation of cervical spine and to study the general publishing trends over the years in this topic in terms of journals, authors, topics, keywords, collaborating countries, etc.

Material and Methods Articles were searched on the web of science using appropriate keywords. A bibliometric analysis was performed using Bibliometrix R package **Results** A total of 1,953 studies were identified between 1991 and 2023 including 1,782 articles and 171 reviews from 198 sources. A total of 3,421 author's keywords were used by 6,725 authors. Thirty-four documents are single authored. The average co-author per document is 5.63. The average citation per document is 22.62. There is international co-authorship in 13.11% documents. RM Xu and Sonntag VKH have maximum publications (n = 28). The "Spine" journal has the maximum number of publications (n = 335) and best H index of 64. United States has maximum number of publications (n = 1,720) and citations (n = 19,573). Publication by Harms et al in the "Spine" in 2001 has the highest global (n = 956) & local (n = 272) citations. Threedimensional printing and atlantoaxial fixation are emerging trends.

Conclusion The findings of this study enhance the knowledge on the topic of posterior instrumentation of cervical spine and shall guide the trends and directions of future research and innovation.

Keywords

- cervical spine
- posterior instrumentation
- pedicle screw fixation
- ► lateral mass fixation
- ► bibliometric analysis

Introduction

Mankind has been trying to understand and treat spinal pathologies since the beginning of human civilization. Earliest description of cervical spine fractures and its treatment are found in the Babylonian papyrus (1550 BC). The first recorded evidence of cervical spine surgeries being performed is in the Hammurabi's code of law ((1955-1912

BC).² As understanding of the anatomy of cervical spine increased, there were attempts of surgeries for cervical spine. Both the anterior and posterior approach were being practiced. The first description of posterior cervical spine surgery was given by Hadra in 1891.³ Anterior approaches to the neck were developed for other conditions needing exposure of oropharynx, buccal cavity, retropharyngeal space, etc.4-6

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Thieme Medical and Scientific Publishers Pvt. Ltd., A-12, 2nd Floor, Sector 2, Noida-201301 UP, India

¹ Department of Orthopaedics, All India Institute of Medical Sciences, Deoghar, Jharkhand, India

²Department of Community and Family Medicine, All India Institute of Medical Sciences, Deoghar, Jharkhand, India

There was an underlying fear of going anteriorly where many vital structures came in the way. Hence, posterior approach was favored by many surgeons due to the familiar anatomy and absence of any neuro vascular structure in the field. The initial results were poor due to instability arising from lack of bone grafting techniques and weak implants. Improved results were reported in the 1950s with use of bone grafting. Only indication for cervical spine surgery in earlier days was treatment of complications of Pott's disease but some contemporary surgeons extended the indications to cervical spine fractures, instabilities and tumors as well.^{4,8} Gradually, surgeons moved away from posterior approach surgeries to the anterior approach surgeries in the late 1950s as it did not compromise the posterior stabilizing structures of the cervical spine. 9-11 The interest in the posterior approach was renewed in the 1970s after the Japanese introduced various forms of laminotomies to overcome the instabilities arising from earlier posterior surgeries involving laminectomies. 12-14 There is greater acceptability of posterior instrumentation of cervical spine among surgeons worldwide that was helped by the availability of strong implants like pedicle screws, hooks, etc. and development of novel surgical techniques like lateral mass fixation. 15,16 Concurrent developments in the field of microscopy and widespread availability of computed tomography scan and magnetic resonance imaging further increased the accuracy of surgeons performing posterior instrumentation in the cervical spine. 17,18 Recent advancement in the field of three-dimensional (3D) printing has made it possible to safely plan and operate upon the pediatric cervical spine and fixation of atlanto-axial vertebrae. 19,20

There has been a lot of literature being published on posterior instrumentation of cervical spine. The rate and volume of publication have increased rapidly in recent times and it is not possible for individuals to be updated with the latest developments in the field. Hence, there is a chance of missing a critical development or relevant information. Literature reviews become important in this respect to effectively analyze the data already published in scientific literature and provide an evidencebased insight for the researchers to upgrade his professional judgement and expertise.²¹ Among various qualitative and quantitative approaches available performing literature reviews, bibliometrics provides an objective and a reliable analysis. It does a statistical measurement of the science, scientist, and scientific activity.²² The overall process is complex and requires an extensive knowledge of programming skills among the researchers to be able to employ the steps involved in data analysis and visualization necessary for bibliometric analysis. It is made easy by the availability of automated workflow software and tools.²³

This study aims to perform a bibliometric analysis of all the articles published on the topic of posterior instrumentation of cervical spine and to study the general publishing trends over the years in this topic in terms of journals, authors, topics, keywords, collaborating countries, etc.

Table 1 Inclusion and exclusion criteria for data extraction

Inclusion criteria	Exclusion criteria
Original articles & review articles with key words "cervical," "surgery," "posterior instrumentation," "pedicle screw fixation," "lateral mass fixation"	Letter to editors, notes, conference papers
Language—English	
Year—1991 to 2022	

Material and Methodology

Data Extraction

The published data on posterior instrumentation of cervical spine was extracted from "Web of Science (WOS)" for the analysis. He included articles published in English language only. Only the original and review articles were included in the data extraction and analysis, while editorials, letter to editors, conference presentations, and commentaries were excluded from the list. The query was designed to look for all the available articles included the terms "cervical spine," "surgery," "posterior instrumentation," "pedicle screw fixation," and "lateral mass fixation" with appropriate Boolean operators as applicable (~Table 1).

Data Analysis

The available data from WOS was extracted in plaintext format and was analyzed using the Bibliometrix R package (University of Naples Federico II, Naples, Italy).²⁵ The data thus generated had information regarding authors, document type, journals, number of cited references, titles of the studies, total number of citations, year of publication, affiliation of the authors, corresponding authors, keywords, etc. Bibliometric analysis was done using performance mapping and science mapping.²⁶

Results

Generic Attributes of the Dataset

After applying the inclusion and exclusion criteria, a total of 1,953 documents were found on the topic in WOS, published between 1991 and 2023. The annual growth rate for the publications was 3.99%. The average age of the documents was 10.7 years. There were a total of 1,782 articles and 171 reviews published in 198 journals. A total of 3,421 author's keywords and 23,133 references were used. The average citations per document came to 22.62 (Fig. 1).

Analysis of Authors

A total of 6,725 authors wrote all the included studies. Only 34 of them had written one or more articles independently and the rest collaborated either locally or internationally for writing articles. The average number of co-authors per document was 5.63. The international co-authorship was found in 13.11% of the articles (**Fig. 1**). Sonntag VKH and Xu RM were the most relevant author with the maximum



Fig. 1 Main information.

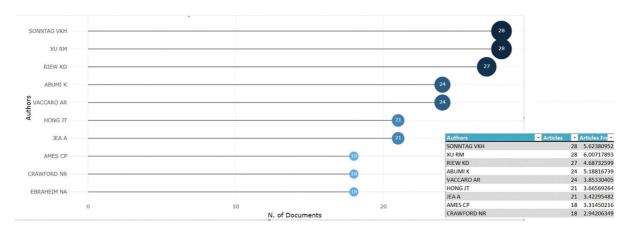


Fig. 2 Most relevant authors.

number of articles published (n = 28) followed by Riew KD $(n=27; \succ Fig. 2)$. While Sonntag VKH published his first article in 1991 and has last publication in 2019 & Xu RM had his first publication in 1995 and his last publication came in 2018, Riew KD started publishing in 1998 and has a recent publication even in 2023 (►Fig. 3). Abumi K (h index= 17, g index= 24, m index = 0.68), Sonntag VKH (h index= 17, g index= 28 and m index= 0.515), and Vaccaro AR (h index= 17, g index = 24, m index = 0.629) had the highest impact in terms of "h-index" followed by Riew KD (h index= 15, g index= 26, m index=0.6) (\rightarrow Fig. 4). In terms of local citations Abumi K (n=717), Ito M (n=470) and Xu RM (n=465) were the top three authors (\triangleright Fig. 5). The graph based on "Lotka's law" shows that majority of the authors (n = 4972, 73.9%) have contributed to a single article only, while the number of authors who have contributed to five or more articles is very low (n=320, 4.75%) (\succ Fig. 6). The authors collaboration network showed a total of 11 clusters. In terms of number of collaborating authors, cluster 3 (maximum collaboration between Vaccaro AR and Hillbrand AS and Xu RM and Ebrahiem NA), cluster 5 (maximum collaboration between Imagama S and Fujibayashi S), cluster 6 (maximum collaboration between Riew KD and Lee SH), and cluster 10 (maximum collaboration between Ames CP and Theodore N) are the largest (**Fig. 7**). The "3 plot graph" shows that most of the top 10 authors were from United States and majority of them were associated with Barrow neurological institute, Arizona, United States (**Fig. 8**).

Analysis of Journals

The "Spine" journal (n = 335; h index = 64, g index = 103, m index= 1.93, TC = 14580) has been the leader in terms of hindex, number of articles published, and total citations. The "European spine journal" (n = 188; h index = 38, g index= 46, m index = 1.52, TC = 4862) is the distant second in the list (**>Fig. 9**). The "Spine" started publishing on cervical spine in 1991 and has been the leading journal since then in terms of number of articles published. "World Neurosurgery" is the latest in the top 10 list of journals and started publishing in 2009 and has shown a rapid increase in the number of articles published (\succ Fig. 10). The "Spine" (n = 16494), "European Spine Journal," (n = 3576) and "Journal of Neurosurgery" (n=3451) are the top three journals in terms of citations by the articles included for the bibliometric analysis (Fig. 11). Three journals namely "Spine," "European Spine Journal," and "Journal of Neurosurgery-Spine" were

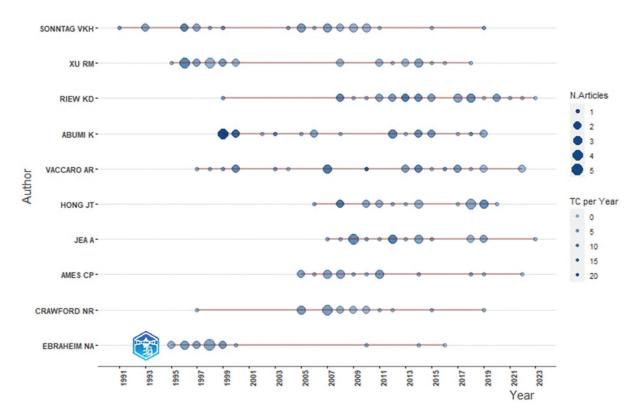


Fig. 3 Authors production over time.

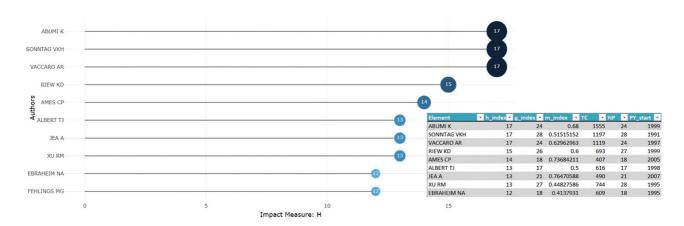


Fig. 4 Author local impact.

identified as the major contributors of articles on posterior instrumentation of the cervical spine as per "Bradford's Law" (►Fig. 12).

Analysis of Relevant Countries

United States (n = 1720), China (n = 708), and Japan (n = 500) are the top three countries in term of number of publications (Fig. 13). In terms of international collaboration among authors, United States (n = 692, single-country publication [SCP] = 597, multi-country publication [MCP] = 95), China (n = 361, SCP = 331, MCP = 30) and Japan (n = 211, SCP = 204,

MCP= 7) are the top three countries. Canada (MCP ratio= 0.348), Switzerland (MCP ratio= 0.238), Germany (MCP ratio = 0.214), and Korea (MCP ratio = 0.172) ranked highest, among the top 10 countries, in terms of fraction of total publication involving authors from multiple countries (Fig. 14). The authors from United States collaborated mainly with authors from China, Korea, Germany, and Canada. Similarly, authors from China collaborated with authors from Korea, Denmark, Germany, Greece, and India in most of their articles. Indian authors have very little collaboration with international authors compared with



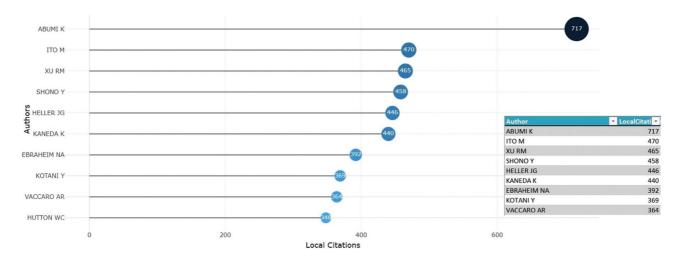


Fig. 5 Most local cited author.

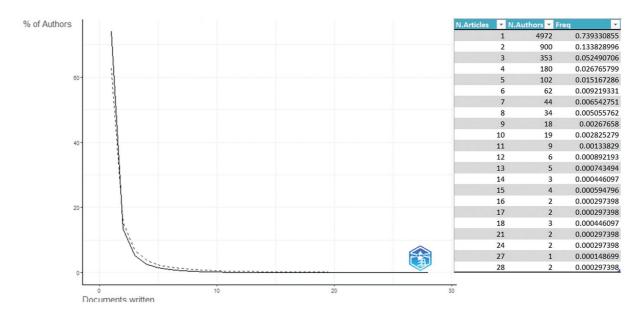


Fig. 6 Lotka's law.

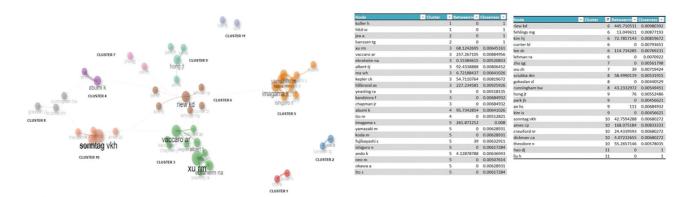


Fig. 7 Author collaboration network.

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Fig. 8 Three plot graph—country, author, affiliation.

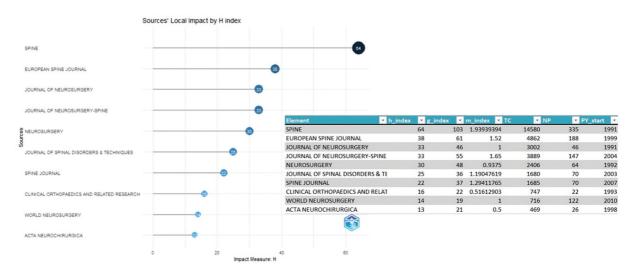


Fig. 9 Source local impact.

authors from other countries. They mainly collaborate with authors from United Kingdom, Indonesia, and Iraq (\sim Fig. 15). United States (n=19573, average citation per document = 28.3), Japan (n=5222, average citation per document = 24.7), and China (n=3975, average citation per document = 11) are the top three countries in term of citations of their articles (\sim Fig. 16).

Analysis of Affiliations

The University of California, San Francisco (n=52) followed by Barrow Neurological Institute, Arizona, United States (n=47) and Catholic University of Korea, Seoul (n=41) were the top three universities based on the number of publications on the relevant topics (**Fig. 17**). Barrow Neurological Institute, Arizona, United States, and University of Iowa, United States started research and publication on the topic much before

1991. University of California, San Francisco, started their journey in the field in 2003 but has quickly surpassed all the institutes in terms of number of articles published (**Fig. 18**).

Analysis of Documents

A total of 1953 articles were included in the study. The most commonly used author's keywords were "cervical spine" (n=440), "pedicle screw" (n=152), and "biomechanics" (n=119) (**>Fig. 19**). The co-word analysis showed three cluster of descriptors, cluster 1 was dominated by keyword like "fixation," "spine," and "complication," while cluster 2 was dominated by the keywords "anterior," "decompression," "plate fixation," and "follow up" and the main keywords in cluster 3 were "fusion," "cervical spine," "stabilization." and "instrumentation" (**>Fig. 20**). The article by J Harms et al (DOI -10.1097/00007632-200111150-00014, Spine, 2001) had the



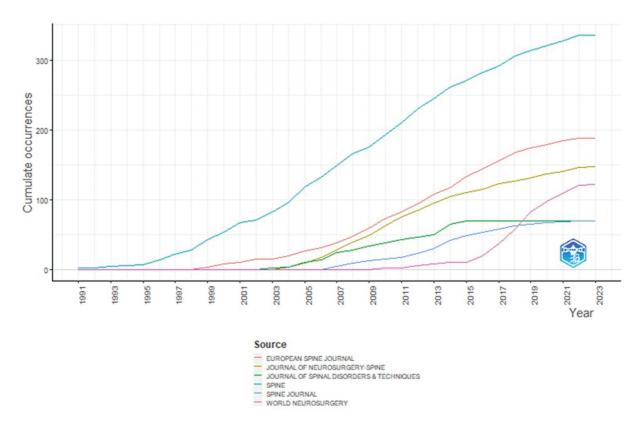


Fig. 10 Source production over time.

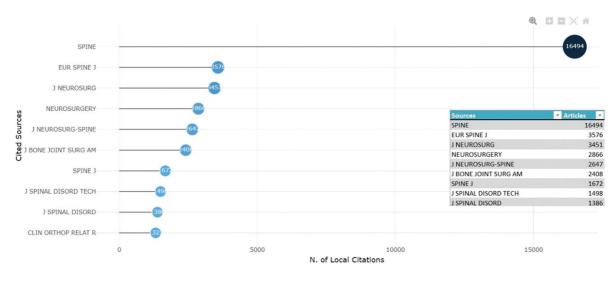


Fig. 11 Most local cited source.

highest number of citations by authors worldwide (total citations= 956, total citations per year=41.56) followed by article by ID Gelalis et al (DOI-10.1007/s00586-0110201103, European Spine Journal, 2012) (total citations= 395, total citations per year=32.91) and K Abumi et al (DOI-10.1097/00007632-200004150-00011, Spine, 2000) (total citations= 341, total citations per year=14.2) (**Fig. 21**). In terms of citation within the articles being analyzed, J Harms et al (DOI-10.1097/00007632-200111150-00014, Spine, 2001) (n=272) and EL Jones et al (DOI-10.1097/00007632-200111150-00016)

199705010-00009, Spine, 1997) (n = 240) topped the table (ightharpoonupFig. 22). The analysis of co-citation network of the contributing authors shows two clusters. Cluster 1 was formed with the article by Abumi K et al (DOI: 10.1097/00007632-199907150-00007, Spine, 1999) as the reference article and the cluster 2 was formed with the article by Wright NM et al (DOI-10.3171/foc.1998.4.2.2, Journal of Neurosurgery, 1998) as the reference article (ightharpoonup Fig. 23). The historical analysis shows two cluster of articles being published. Cluster 1 consists of articles published earlier and deal with pedicle screw

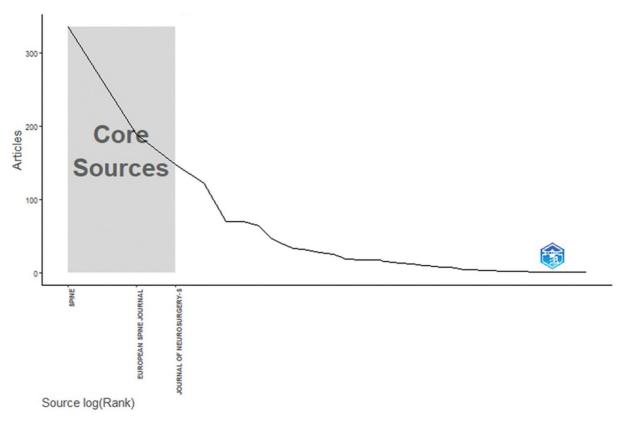


Fig. 12 Bradford law.

region	▼ Freq	~
USA		1720
CHINA		708
JAPAN		500
SOUTH KOREA		307
GERMANY		296
TURKEY		150
CANADA		135
INDIA		100
FRANCE		97

Fig. 13 Country's scientific production.

fixation and lateral mass fixation for cervical spine, while cluster 2 consists of articles published in recent time and they deal with more complicated procedures like atlanto axial fixation (**►Fig. 24**).

Analysis of Emerging Trends

The thematic map shows that the basic themes of research over the years have been focusing on anatomy and lesions of cervical spine, decompression of the cervical spine, and cervical spine fixation and fusion techniques, but the research in recent times is focused more on the accuracy of insertion and placement of screws in the lateral mass, pedicles or interbody fusion, use of CT scan, and atlanto axial

fixation (>Fig. 25). The analysis of the trend topics using author's keywords and the parameter set to a minimum word frequency = 5 and number of words per year = 1, we find the keywords "nerve root injury" (1998), "spinal stabilization" (1999), transpedicular screw (2000) and animal model (2006) appear in early period denoting the focus of research on development of techniques of pedicle screw fixation for cervical spine stabilization. The author's keywords found in recent times are atlantoaxial dislocation (2016), subaxial cervical spine (2018), 3D printing (2019), and paravertebral foramen screw (2022) indicating a shift in focus of research more on accessing the upper cervical spine as the techniques for middle and lower level cervical surgery have been perfected by the research over the years (►Fig. 26).

Conceptual Structure

The factorial analysis of the author's keywords using multivariate correspondence analysis shows the keywords "spine," "cervical," "cervicothoracic junction," "lateral mass screw," "spinal instrumentation," "spinal fusion," and "surgery" contribute maximally to the articles selected for the analysis, while the keywords like "atlantoaxial instability" and "vertebral artery" contribute the least (►Fig. 27).

Discussion

Posterior instrumentation of cervical spine has been favored by spine surgeons across the globe since early days. There has

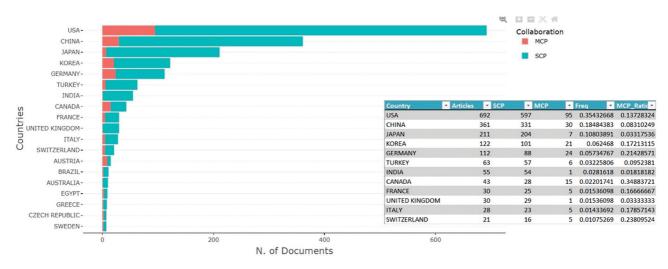


Fig. 14 Top 10 countries based on multicountry publication (MCP) and single-country publication (SCP).



Fig. 15 Country's collaboration network.

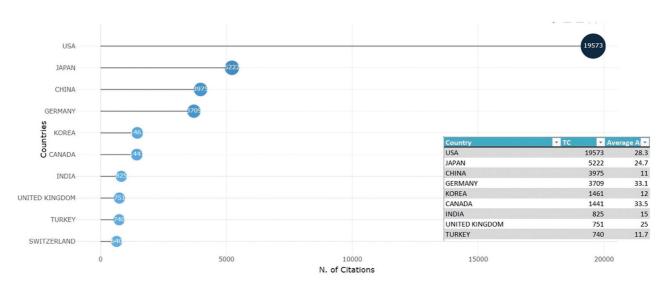


Fig. 16 Most cited country.

been a rapid advancement in the field with improvement in the quality of implants and development of novel tools and techniques for fixation. The development of high-resolution imaging modalities and availability of intraoperative imaging and navigation tools for assistance during surgery have increased our accuracy in terms of implant placement and have improved surgical outcomes in terms of final reduction achieved and decreased complication rates. There is a large amount of literature available on the topic (n = 1953 for WOS, n = 2105 for PubMed and n = 2928 for Scopus) that needs the help of software for detailed data analysis and obtaining qualitative and quantitative.

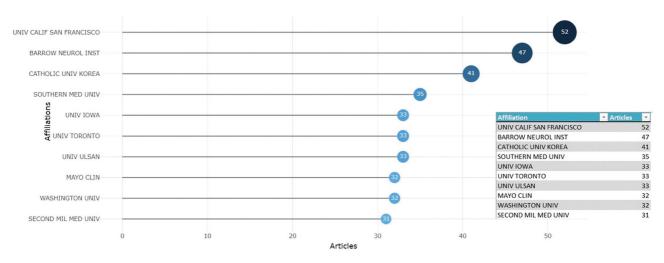


Fig. 17 Most relevant affiliation.

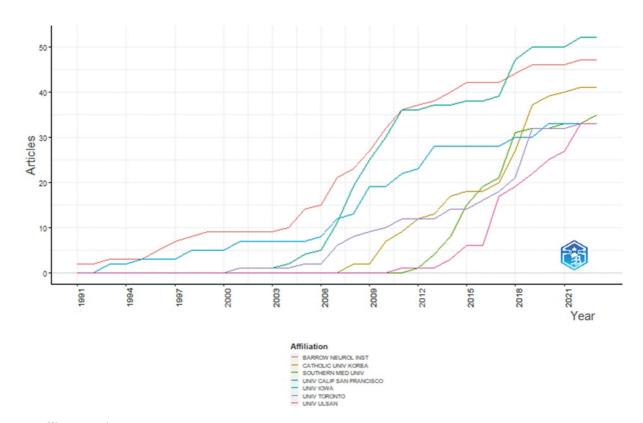


Fig. 18 Affiliation production over time.

We have used WOS for obtaining relevant data because it is one of the earliest and largest citation index platform and the metadata provided in this platform is complete in terms of authors, type of documents, journals, citations, keywords, and references sourced across multiple databases. The data available from PubMed and Scopus is not complete (**Fig. 28**).

It is evident that the annual scientific production in terms of articles published has been rising steadily since 1991. There were only six articles published in 1991 and the number rose to 132 in the year 2017 (highest for any given year till date). There have been 111 articles published in 2022 and the year 2023 has already has 21 publications till May (**Fig. 29**). The average citations per year rose from 1991 upto 2001 but has seen gradual decline after that because of the exponential increase in the annual article production rate (**Fig. 30**). The "Bradford law" was proposed in 1948. It states that "if we arrange the journals in decreasing order of article productivity on a given subject, then we may divide them into a nucleus of journals more

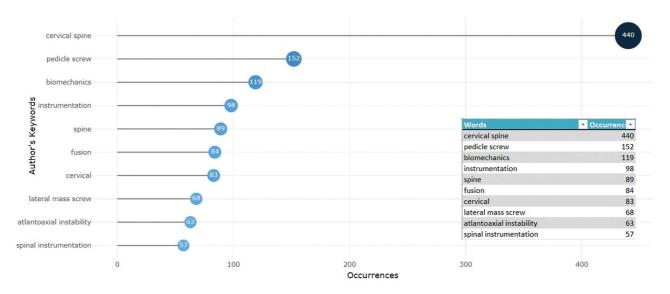


Fig. 19 Author's keywords.

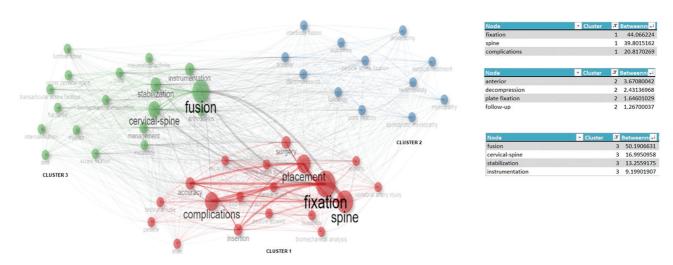


Fig. 20 Co-word network.

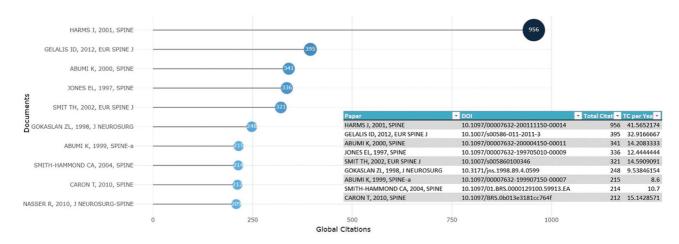


Fig. 21 Most global cited document.



Fig. 22 Most local cited document.

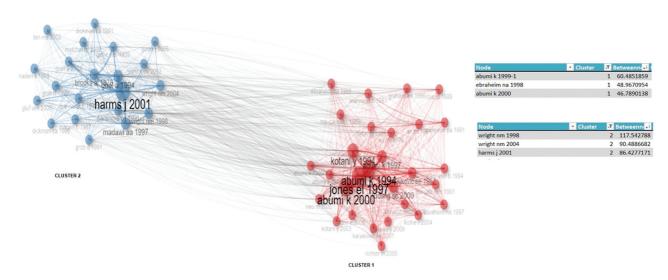


Fig. 23 Co-citation network.

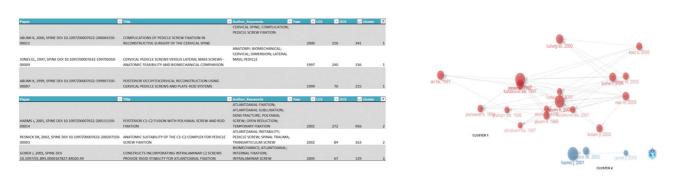


Fig. 24 Historiograph.

particularly devoted to the subject and several other groups containing the same number of articles as the nucleus. The number of articles in the nucleus and the succeeding groups will be 1:n:n²:..." The law is useful to assess the completeness of data, which gives a smooth curve on the graph. The graph also helps us to know the specific journals that are most relevant to the topic.²⁷ A team of authors are usually involved in the publication process; only 40 out of a total of 1953 publications were by a single author. The collaboration

was mostly among authors from within the country as the international co-authorship was seen in only 13.11% of the publications. United States being the leading country in terms of number of publications has the maximum number of authors involved in publications. The international collaboration is found only in 13.7% of the publications from United States' similarly the "MCP ratio" for high-volume countries like China (0.08), Japan (0.03), and Korea (0.17) is also very low, while the MCP ratio for countries with



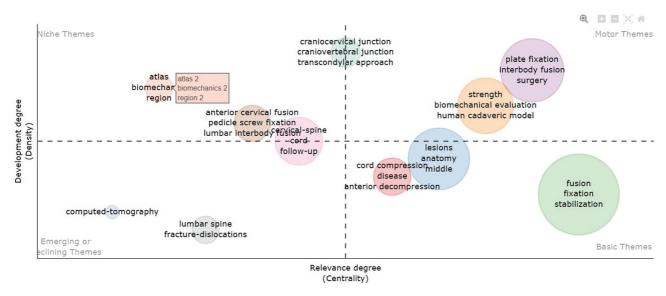


Fig. 25 Thematic map.

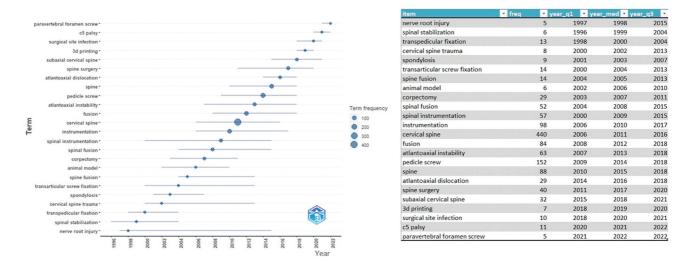


Fig. 26 Trend topics.

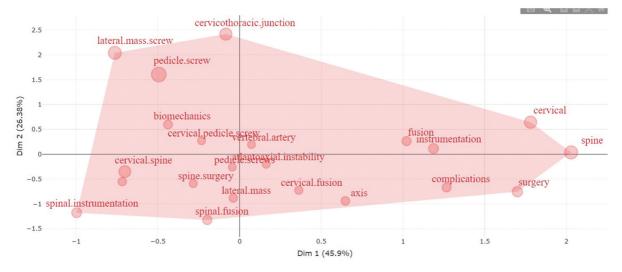


Fig. 27 Factorial analysis.



Fig. 28 Missing data table.

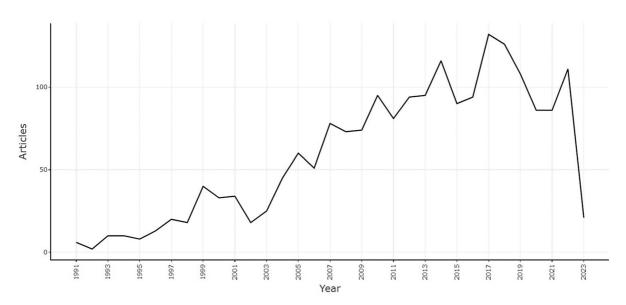


Fig. 29 Annual scientific production.

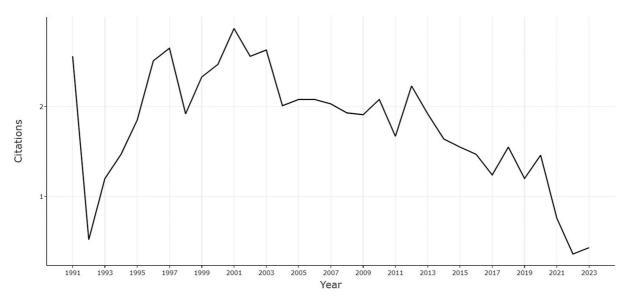


Fig. 30 Average citation per year.

200111150-00014, Spine, 2001) has been the most cited document. The most commonly used author's keyword is "fixation."

comparatively low volume of articles published is much higher. This can be explained by the presence of necessary expertise and equipment needed for research and innovation within the country for United States, China, and Japan but the researchers from other countries have to depend on the assistance and collaboration from researchers of the above countries because their domestic set up is lacking. The researchers from United States, Japan, China, and Germany were pioneers in the related field and contributed to the development of cervical spine instrumentation. This explains the huge number of citations for the publications done by researchers from these countries. Co-word analysis gives a graphical representation of the keywords (descriptors) most commonly and strongly associated with the documents being analyzed. It is helpful in analyzing the content of the documents as well as identifying the popular topics and trends in the particular field of research. The words appearing clustered together show the strength of their association.²⁸

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Conflict of Interest None declared.

Strengths and Limitations

It is the first comprehensive bibliometric analysis of over 30 years of research on posterior instrumentation of cervical spine. It has identified the most important journals, most relevant authors, most cited articles, nature and amount of collaboration among countries and authors, the landmark papers in the field, and the evolution of trending topics on the subject. The study gains its strength from the fact that data was collected from WOS that is a very comprehensive source and gives complete information regarding citations, indexing, and affiliations of the contributing authors. The bibliometric analysis was performed. Bibliometrix package of R software giving an automated and easy to understand result with beautiful illustration of all the tables generated. The main limitation is the time boundaries used for collection of studies that may have led to exclusion of some important articles and authors beyond the mentioned time period. We have also limited our study to the WOS as the only source of articles and not considered other sources like PubMed, Scopus, Google Scholar, etc.; thus, it may have missed on some important articles.

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Conclusion

The findings of this study are important as they enhance the knowledge on the topic of posterior instrumentation of cervical spine and helps in decision making. They also help to highlight the gaps in present literature and could guide the trends and directions of future research and innovation in the field.

It is evident that research and publication have grown over the years. United States has emerged as a leader in terms of number of publications and citations. It is a leader in terms of author's collaboration too. The university of California, San Francisco, is the leading institute, while VKH Sonntag and RM Xu are the leading authors. The "Spine" journal is most relevant. The article by J Harms (DOI – 10.1097/00007632-

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