Novel technique of endoscopic full-thickness resection for superficial nonampullary duodenal neoplasms to avoid intraperitoneal tumor dissemination

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Background and study aims: Recently, laparoscopic and/or endoscopic full-thickness resection (FTR) has been reported to be a useful technique for the treatment of superficial duodenal neoplasms (SDNs). In the current study, we evaluated clinical outcomes in 5 consecutive patients who underwent resection of nonampullary SDNs using laparoscopy-assisted endoscopic full-thickness resection with ligation Device (LAEFTR-L), which is an alternative FTR method developed to avoid peritoneal dissemination. Using a snare technique with a ligation band, the duodenal lesions were easily resected. The provisional and additional sutures for the resected site prevented delayed perforation and bleeding and they also protected the abdominal cavity from direct exposure to malignant cells. Complete resection could be achieved and FTR was histologically confirmed in all cases. The mean operation time was 173 minutes (range 138–217 minutes). Mean diameter of the resected specimen was 24 mm (range 18–32 mm). No adverse events (AEs) were observed. LAEFTR-L, which can achieve complete resection of nonampullary SDNs without severe AEs and peritoneal dissemination, could be a useful technique for the treatment of such lesions.

Introduction
The therapeutic strategy for superficial duodenal neoplasms (SDNs) remains unestablished. Although endoscopic resection can be a curative procedure for such lesions [1], it still remains technically challenging because of the high risk of severe adverse events (SAEs) including delayed perforation [2]. On the other hand, surgical treatment of such lesions often results in extensive resections, causing significant morbidity. Recently, laparoscopic and/or endoscopic full-thickness resection (FTR) has been reported to be a useful technique for the treatment of nonampullary SDNs [3–5]. Despite their minimally invasive nature, all FTR procedures are associated with the possibility of intraperitoneal tumor dissemination. Therefore, we developed an alternative FTR method, which we call laparoscopy-assisted endoscopic full-thickness resection with ligation device (LAEFTR-L). Herein we report on resection of nonampullary SDNs using LAEFTR-L in 5 consecutive patients and an evaluation of the efficacy and safety of these procedures.

Case reports
Patients
From June 2015 to September 2015, 5 patients underwent resection of nonampullary SDNs using LAEFTR-L. Written informed consent was obtained from each patient. This study was approved by the ethics committee of the NTT Medical Center.

LAEFTR-L procedure
The LAEFTR-L procedure was performed under general anesthesia. The set up for the LAEFTR-L procedure was previously described [3]. After mobilizing the duodenum from the retroperitoneum, a forward-viewing endoscope (GIF260J; Olympus, Medical Systems Corp., Tokyo, Japan) was inserted into the duodenum and the target lesion was identified (Fig. 1a). Endoscopic light was used to help the surgeon identify the tumor location. Using an endoclip as a marker, the tumor (including the seromuscular layer) was ligated using a ligation device (PNEUMO-ACTIVATE EVL DEVICE; Sumitomo Bakelite Corp., Ltd. Tokyo, Japan) (Fig. 1b). The laparoscopist then temporarily sutured the seromuscular layer, after confirming the presence of a full-thickness aspirated sign (Fig. 2a). Then, an endoscopist resected the tar-
geted lesion under the ligation band using snare technique (Fig. 1c, Fig. 1d, Fig. 1e). Consequently, the presutured site was reinforced laparoscopically (Fig. 2b). Finally, the specimen was retrieved intraluminally using the endoscope (Fig. 1f). Despite the lack of a seromuscular layer, the provisional and additional sutures prevented exposure of the abdominal cavity to malignant cells. In addition, vessels were ligated during the closure of the defect in the duodenal wall. Therefore, the LAEFTR-L procedure was completed in a closed manner without complications. Inhibitors of gastric acid and protease secretion were administered to the patients during their hospitalizations. A normal diet was resumed approximately 2 days after the procedure, and the patients were discharged after a 1-week hospital stay.

Clinical outcomes

All lesions were successfully resected with the LAEFTR-L procedure. Clinicopathological characteristics are shown in Table 1. Two of the 5 tumors were located at the duodenal bulb, and the others at the second part of the duodenum (opposite to the ampulla of Vater). The mean operative time was 173 minutes (range 138–217 minutes). Mean diameter of the resected specimen was 24 mm (range 18–32 mm), and the mean tumor size was 9 mm (range 7–11 mm). Complete resection was achieved, and full-thickness resection was histologically confirmed in all cases (Fig. 3). Two of the 5 lesions were intramucosal carcinoma. No AEs were observed. Importantly, all the procedures were completed without the tumors contacting the peritoneum.
Because the malignant potential of SDN is difficult to define from the preoperative endoscopic diagnosis [6], complete en-bloc resection is necessary to achieve appropriate pathological evaluation and treatment. Although conventional endoscopic mucosal resection (EMR) could be a curative strategy for SDNs, complete resection is challenging, especially for flat or non-lifting lesions. In recent years, the indications for ESD have been expanded to SDNs [7]. However, delayed perforation in the duodenum, in particular, may cause potentially fatal peritonitis or retroperitoneal complications, requiring emergency open surgery to counter leakage of bile and pancreatic juices [8]. Moreover, the technical difficulty of this procedure is extremely high, due to the poor maneuverability of the endoscope and the thin wall. Therefore, duodenal ESD is not a standard clinical procedure. On the other hand, laparoscopic FTR procedures reportedly achieve higher complete resection rates without SAEs [3, 4]. Although short-term clinical outcomes were preferable, we need to consider the risk of intraperitoneal tumor dissemination, given the lack of data on long-term outcomes in patients undergoing conventional FTR procedures.

Compared with conventional FTR procedures, LAEFTR-L has two advantages. Most importantly, the resected specimen can be collected intraluminally, avoiding contact with the peritoneum. Shen et al. conducted an animal model study and revealed that pneumoperitoneum from laparoscopic surgery could be a risk factor for peritoneal dissemination of tumor cells [9]. Because long-term outcomes of SDNs treated with the conventional FTR method rarely have been investigated, peritoneal exposure of tumor cells should be avoided as far as possible. Moreover, LAEFTR-L does not require proficient experience in ESD. The simplicity of this procedure enables endoscopists to resect target lesions easily. Indeed, total operative time was not different from previous reports on conventional FTR for SDNs [3, 4], and endoscopic resection time was even significantly shorter.

Tumor size is a major limiting factor when considering the indication for LAEFTR. In the current study, mean specimen size was 24 mm. Lee et al. reported that esophageal subepithelial lesions no larger than 13 mm could be successfully resected by EMR with a ligation device [10]. Considering the size of the ligation device, lesions smaller than 15 mm in diameter may be candidates for this procedure. Although additional studies are needed, application of a large oblique cap may enable en-bloc resection of larger lesions. In addition, tumor location is also important to deci-

**Table 1** Characteristics and therapeutic results of the enrolled patients.

<table>
<thead>
<tr>
<th>Case</th>
<th>Age</th>
<th>Sex</th>
<th>Location</th>
<th>Total operative (endoscopic resection) time (min)</th>
<th>Size of resected specimen (mm)</th>
<th>Tumor size (mm)</th>
<th>Macropscopic classification</th>
<th>Pathological diagnosis</th>
<th>R0 resection</th>
<th>Hospital stay, day</th>
<th>Adverse events</th>
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<tbody>
<tr>
<td>1</td>
<td>60</td>
<td>M</td>
<td>Second part of duodenum</td>
<td>180 (5)</td>
<td>20</td>
<td>9</td>
<td>depressed</td>
<td>Cancer</td>
<td>Yes</td>
<td>8</td>
<td>None</td>
</tr>
<tr>
<td>2</td>
<td>50</td>
<td>F</td>
<td>Second part of duodenum</td>
<td>143 (4)</td>
<td>18</td>
<td>11</td>
<td>Depressed</td>
<td>Adenoma</td>
<td>Yes</td>
<td>7</td>
<td>None</td>
</tr>
<tr>
<td>3</td>
<td>52</td>
<td>M</td>
<td>Third part of duodenum</td>
<td>187 (4)</td>
<td>26</td>
<td>7</td>
<td>Flat-elevated</td>
<td>Adenoma</td>
<td>Yes</td>
<td>6</td>
<td>None</td>
</tr>
<tr>
<td>4</td>
<td>65</td>
<td>M</td>
<td>Second part of duodenum</td>
<td>138 (3)</td>
<td>32</td>
<td>7</td>
<td>Depressed</td>
<td>Adenoma</td>
<td>Yes</td>
<td>8</td>
<td>None</td>
</tr>
<tr>
<td>5</td>
<td>68</td>
<td>M</td>
<td>Duodenal bulb, Posterior wall</td>
<td>217 (5)</td>
<td>24</td>
<td>11</td>
<td>Flat-elevated</td>
<td>Cancer</td>
<td>Yes</td>
<td>7</td>
<td>None</td>
</tr>
</tbody>
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**Fig. 3** Pathological findings. HE staining of resected specimen (Case 1). Intraepithelial adenocarcinoma was completely resected with the muscular layer. a Low magnification. b High magnification.
sions about the indication for LAEFTR-L. LAEFTR-L cannot to be applied to lesions close to the ampulla of Vater, because mobilization of these portions is technically difficult with laparoscopic procedures.

In conclusion, LAEFTR-L may be a promising technique for the treatment of nonampullary SDNs which can achieve complete resection without peritoneal exposure of tumor cells or SAEs. Prospective studies are needed to further evaluate the efficacy and safety of LAEFTR-L procedures.

Competing interests: None

References