Clinical Use of High-Intensity Focused Ultrasound (HIFU) for Tumor and Pain Reduction in Advanced Pancreatic Cancer

Klinischer Einsatz des hoch-intensiven fokussierten Ultraschalls (HIFU) zur Tumor- und Schmerzreduktion bei fortgeschrittenem Pankreaskarzinom

Authors

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Key words

- pancreatic carcinoma
- high-intensity focused ultrasound
- tumor ablation
- pain relief
- cancer pain

Abstract

Purpose: Evaluation of ultrasound-guided high-intensity focused ultrasound (HIFU) used for the first time in Germany in patients with inoperable pancreatic cancer for reduction of tumor volume and relief of tumor-associated pain.

Materials and Methods: 15 patients with locally advanced inoperable pancreatic cancer and tumor-related pain symptoms were treated by HIFU (n = 6 UICC stage III, n = 9 UICC stage IV). 13 patients underwent simultaneous standard chemotherapy. Ablation was performed using the JC HIFU system (Chongqing, China HAIFU Company) with an ultrasonic device for real-time imaging. Imaging follow-up (US, CT, MRI) and clinical assessment using validated questionnaires (NRS, BPI) was performed before and up to 15 months after HIFU.

Results: Despite biliary or duodenal stents (4/15) and encasement of visceral vessels (15/15), HIFU treatment was performed successfully in all patients. Treatment time and sonication time were 111 min and 1103 s, respectively. The applied total energy was 386 768 J. After HIFU ablation, contrast-enhanced imaging showed devascularization of treated tumor regions with a significant average volume reduction of 63.8 % after 3 months. Considerable pain relief was achieved in 12 patients after HIFU (complete or partial pain reduction in 6 patients).

Conclusion: US-guided HIFU with a suitable acoustic pathway can be used for local tumor control and relief of tumor-associated pain in patients with locally advanced pancreatic cancer.

Key points:

- HIFU can be used for tumor volume reduction.
- Using HIFU, a significant reduction of cancer-related pain was achieved.
- HIFU provides clinical benefit in patients with pancreatic cancer.

Zusammenfassung


Citation Format:

Introduction

Approximately 16,000 patients are diagnosed with pancreatic ductal adenocarcinoma in Germany each year; men and women are equally affected. Currently surgical treatment is the only curative form of therapy. However, at the time of initial diagnosis, more than 80% of patients are inoperable with a median survival time of 4–6 months so that this tumor has the worst prognosis of all gastrointestinal tumors [1, 2]. Palliative therapy of locally advanced pancreatic cancer involves chemotherapy or radiochemotherapy to decelerate tumor growth, avoid tumor-related complications and alleviate symptoms. Some new chemotherapy regimens such as nab-paclitaxel plus Gemcitabine, FOLFIRINOX and FOLFOX have demonstrated survival advantages for patients with pancreatic cancer [3–7]; however, the 1-year survival rate is only about 18–20% and the 5-year survival rate is less than 1% [8].

An increase in pain symptoms is one of the most frequent presentations of locally advanced pancreatic cancer. Treatment includes symptomatic measures (analgesia with opioids) or celiac plexus block [9, 10]. From the palliative care point of view, the development of alternative forms of therapy is urgently required for analgesia and local tumor control.

High-intensity focused ultrasound (HIFU) is an innovative treatment option for local therapy of pancreatic cancer. Using a special transducer, HIFU generates ultrasound (US) waves which are then focused on a target within the body. The US waves create heat in the target tissue above 80 °C, resulting in coagulation necrosis in the target region. The extracorporeal therapeutic transducer generates a cigar-shaped focal point (1–3 mm wide, 8–15 mm long) with coagulation necrosis in the target region, resulting in a significant reduction of tumor volume [11]. An interdisciplinary tumor board determined the indication for palliative chemotherapy and/or additive HIFU treatment for each patient on an individual basis (see Table 2 for inclusion/exclusion criteria).

At presentation all 15 patients exhibited tumor-related pain symptoms. 2 patients had severe pain (Numerical Rating Score – NRS 7–10) despite opioid medication, 7 patients reported moderate pain (NRS 4–6) while taking daily basic medication (opioids n = 4, NSAID/metamizole n = 4) and 5 patients had mild pain while taking basic or on-demand medication (daily opioid intake n = 1, daily NSAID/metamizole intake n = 2, NSAID/metamizole as needed n = 4). One patient reported no pain with daily metamizole intake.

HIFU ablation

At presentation, a medical history was obtained, a physical examination was performed and laboratory parameters were collected. On the day prior to treatment a special bowel preparation similar to colonoscopy preparation was performed. Directly prior to HIFU the skin of the patient was shaved, degreased and degassed.

Materials and Methods

Patient Selection and Characteristics

15 patients (7 females, average age 66.9 years, Table 1) with locally advanced inoperable pancreatic cancer were treated with US-guided HIFU. At baseline and follow-up they were prospectively evaluated using validated questionnaires (NRS, BPI) and imaging (US, MRI, CT). One patient was treated for recurrence after surgical resection and radiation therapy. All tumors were located in the direct vicinity of the stomach, intestine and/or visceral vessels (celiac trunk, splenic artery, superior mesenteric artery, common hepatic artery). An interdisciplinary tumor board determined the indication for palliative chemotherapy and/or additive HIFU treatment for each patient on an individual basis (see Table 2 for inclusion/exclusion criteria).

At presentation all 15 patients exhibited tumor-related pain symptoms. 2 patients had severe pain (Numerical Rating Score – NRS 7–10) despite opioid medication, 7 patients reported moderate pain (NRS 4–6) while taking daily basic medication (opioids n = 4, NSAID/metamizole n = 4) and 5 patients had mild pain while taking basic or on-demand medication (daily opioid intake n = 1, daily NSAID/metamizole intake n = 2, NSAID/metamizole as needed n = 4). One patient reported no pain with daily metamizole intake.
Degassing was performed using a special device to remove even the smallest residual air bubbles from the skin pores in order to prevent skin burning.

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HIFU: High-Intensity Focused Ultrasound.
1 number (%).
2 average ± standard deviation (range).
3 ECOG: Eastern Cooperative Oncology Group.
4 UICC: Union internationale contre le cancer.
5 carbohydrate antigen 19–9.

Inclusion criteria:
1 (1) age ≥ 18 years
2 (2) inoperable pancreatic cancer with indication for palliative standard therapy
3 (3) written documented consent to participate in study
4 (4) ECOG ≤ 2
5 (5) sufficient organ function:
   – absolute neutrophilia ≥ 1.5 × 10^9/L
   – hemoglobin ≥ 8 g/dL
   – platelets ≥ 75 × 10^9/L
   – AST/ALT ≤ 5 × normal value
   – gamma GT ≤ 5 × normal value
   – creatinine in normal range or creatinine clearance ≥ 50 mL/min
6 (6) tumor pain or local tumor growth
7 (7) sufficient sonographic visualization of tumor in prone position as well as safe acoustic path
8 (8) maximum distance between skin surface and furthest focal point in tumor, approx. 12 cm
9 (9) patient suitable for anesthesia

Exclusion criteria:
1 (1) surgical resection possible
2 (2) patient not suitable for anesthesia
3 (3) ECOG ≥ 3
4 (4) insufficient sonographic presentation of tumor
   (e.g., postoperatively or post-radiogenic)
5 (5) pronounced scarring along the acoustic path
6 (6) very extensive distant metastases (> 5 liver metastases, liver metastases > 2 cm)
the bowel away from the acoustic beam path and to maintain an optimum acoustic window. To avoid skin burning and to prevent subcutaneous edema, the skin in the acoustic pathway was regularly checked by palpation and cooled during HIFU treatment.

In the case of visible grayscale changes in the target area or after at least 50 seconds of sonication time at a specific focal point, the transducer was moved to the next focal point in order to achieve volume ablation. Toward the end of the procedure contrast-enhanced ultrasound (CEUS) was performed. If no perfusion was seen in the treated area, the therapy was considered successful; otherwise the procedure was continued.

The patients remained hospitalized for 1 – 3 days after HIFU treatment.

Imaging
Within 2 weeks prior to HIFU treatment, CT and MRI were performed to provide baseline images (Brilliance 64-slice CT scanner, Ingenia 1.5 Tesla MRI scanner, Philips Healthcare, Amsterdam, Netherlands). Directly prior to the HIFU procedure, tumor perfusion was determined using CEUS after intravenous administration of Sonovue® (Bracco, Italy). CEUS and CT were performed during the first 24 hours after HIFU and an MRI examination was carried out within 3 days. Long-term monitoring comprised CT/MRI/CEUS examinations after 6 weeks, 3 months and then in three-month intervals. The tumor ablation rate (%) was calculated as the ratio between the avascularized (ablated) volume and the total volume; the volume reduction (%) was determined after 6 weeks and 3 months. Therapy-related side effects and complications were recorded [14].

Evaluation of Pain Reduction
Changes in pain symptoms and medication were recorded during follow-up examinations. Measurement tools included: NRS (Numerical Rating Score 0 – 10), scores for pain intensity (current, average, minimum and maximum pain) from the BPI questionnaire (Brief Pain Inventory; intensity levels 0 – 10). In both questionnaires 0 means “no pain” and 10 represents the “worst pain imaginable” [15]. An NRS reduction to ≤ 1 was defined as complete pain response. Partial pain reduction was related to an NRS reduction by ≥ 2 [16 – 18].

Statistical Analysis
The collected data were analyzed using Stata Version 13.1 (Stata Corp, Lakeway, College Station, Texas USA). Primary statistical analysis was performed using a mixed panel data model. Model robustness was verified with a non-parametric Skilling-Mack test for unbalanced panel data. A p-value of less than 0.05 was considered statistically significant.

Results

HIFU Treatment
In accordance with inclusion and exclusion (Table 2), one third of the evaluated patients were accepted criteria for treatment. The pancreatic tumor could be sufficiently visualized sonographically in all of these patients. Fourteen patients were treated with US-guided HIFU in a single session, and one was treated in two sessions. Seven months after the first HIFU treatment, the patient treated twice demonstrated tumor regrowth left lateral to the post-therapeutic necrotic cavity in the pancreatic body. This recurrence was treated again with HIFU. The time interval between the initial diagnosis and HIFU therapy averaged 9 ± 8.1 months. In each patient an attempt was made to significantly ablate the tumor. However, by maintaining safety distances from adjacent high-risk structures (stomach, bowel, vessels, stents), some vital tumor tissue remained in the margin area. Given a safety margin of 0.5 – 1 cm with respect to vessels, no occlusion of larger vessels occurred (Fig. 3).

The therapeutic parameters as well as the duration of intervention are summarized in Table 3. In 10 of 15 patients grayscale changes in the B-mode image (distinct n = 5, moderate n = 5) were observed within treated regions during the HIFU procedure. In 5 cases, no visible grayscale changes were seen in the treated tumor areas, even though the CEUS examination performed during HIFU showed them as avascularized.

Follow-up Imaging
Within 24 hours after HIFU, treated tumor regions exhibited avascularization on CEUS (Fig. 2). CT ruled out severe therapy-related complications. Post-intervention contrast-enhanced examinations (MRI, CEUS) showed devascularization of the ablated area (Fig. 2, 3). Short-term MRI follow-up did not show any significant change in tumor volume (30.3 ± 18.8 ml vs. 31.4 ± 18.3 ml at baseline). The tumor ablation rate was 53.1 % (range 33.7 – 72.3 %). The average reduction in tumor volume was approx. 25.9 % after 6 weeks and 63.8 % after 3 months (Fig. 2, 4). Tumor growth outside the treated region was observed in 2 patients. One of them was treated again with HIFU; in the other case, the tumor had infiltrated the intestinal wall.

Pain Reduction
Up to 24 hours post-intervention 10 of the 15 patients reported minor (n = 4) to severe (n = 6) upper abdominal pain. 2 – 3 days after a single HIFU treatment, 12 patients reported pain reduction (Fig. 5, 6). Of these patients were pain-free (NRS ≤ 1), while the other 6 patients experienced significant pain reduction (NRS reduction ≥ 2). During the 6-week follow-up, the originally prescribed opioid analgesics were able to be discontinued as basic medication in 2 of 7 patients. The remaining 5 patients reported an NRS decrease of ≥ 2 with (n = 2) or without (n = 3) an opioid dose reduction. None of the patients reported severe pain. 4 patients indicated moderate pain (opioid with dose reduction n = 2, NSAID/metamizole on-demand n = 3). 6 patients reported minor pain (metamizole basic medication n = 1, NSAID/metamizole on-demand n = 4, no medication n = 1). In the case of 3 patients with progressive hepatic/peritoneal metastasis, there was no change in the pain score after HIFU. Tumor-related pain in 5 patients with peritoneal carcinosis and liver metastases responded well to HIFU.

Side Effects
Transient (sub)cutaneous edema of the proximal upper abdominal wall was observed in 9 of 15 patients. In one patient there was superficial skin burning in the region of the
navel (degree Ila) which healed spontaneously within 3 weeks. Transient induration of subcutaneous adipose tissue in the proximal upper abdominal wall was observed in one patient. Three patients experienced a temporary increase in pancreatic lipase after HIFU, but without clinical signs of pancreatitis. Severe or long-lasting side effects were not observed.

Discussion

There is an urgent need for locally effective therapies for inoperable locally advanced pancreatic cancer which not only achieve sufficient tumor control, but also provide palliation of tumor-related pain symptoms while improving the patient’s quality of life. In recent years local therapy of inoperable pancreatic cancer has included procedures such as cryotherapy, radiofrequency ablation and microwave therapy [19–22]. However, these approaches range from minimally invasive to invasive, since local energy can be generated only after one or more needles have been inserted into the human body with an increased risk of injury to adjacent structures (stomach, bowel, vessels). Currently there is no established strategy for local treatment of pancreatic cancer. Therefore, HIFU could be employed in particular to treat progressive tumor-related symptoms while providing additional clinical benefits. HIFU is an innovative procedure allowing targeted non-invasive thermal ablation of tissues accessible by US without requiring the insertion of needles, electrodes or probes. In addition to heat generation with coagulation necrosis [23–25], the action mechanisms also include cavitation and potential immunological effects [26–28].

MRI or sonography can be used to guide HIFU. Although MRI achieves very good anatomical resolution, the real-time resolution is limited. MRI-guided equipment is therefore generally used to treat uterine fibroids and bone tumors. In contrast, diagnostic US provides real-time imaging. Equipment design allows the use of larger and more powerful transducers, generating higher temperatures in the target region, thus allowing faster treatment. Although pancreatic cancer can be difficult to identify sonographically and therefore is often overlooked, the tumors could be visualized in all patients during US evaluation and subsequently treated with HIFU. An advantage of US-guided systems is that a tumor that can be identified sonographically can also be treated with HIFU. In contrast, MRI may visualize a tumor that however cannot be treated with ultrasound due to overlying bowel gas or bone. In addition, US-guided equipment makes real-time imaging possible. Although respiration results in less movement of the pancreas, displacement of ventrally located structures such as the stomach and colon often occurs. Air in the stomach or colon must be identified promptly in order to interrupt the therapy if necessary and select a different acoustic window. Using sonography for intrainterventional monitoring is more difficult since only about a third of patients exhibit hyperechoic changes in the treated region. The amount of tumor marker CA19–9 over the course of 12 months (reference range 2–37 Um/L; the gray line shows the upper normal value). A: Aorta. * ablation area. Arrow: pancreatic cancer. Arrowheads: superior mesenteric artery.

**Table 3** Therapeutic parameters.

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<td>Treatment time [min]</td>
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<td>Sonication time [s]</td>
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<tr>
<td>Total energy [J]</td>
<td>386 768 ± 173 256 (168 350–851 200)</td>
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<tr>
<td>Output range [W]</td>
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<tr>
<td>Average output [W]</td>
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<td>grayscale change</td>
<td>Significant 5 (33 %) Moderate 6 (40 %) No change 4 (27 %)</td>
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**Fig. 2** A 56-year-old male patient with inoperable locally progressive adenocarcinoma of the pancreatic head and pronounced tumor pain was treated in our clinic with HIFU after the first FOLFIRINOX cycle had been completed. Two days after HIFU treatment the patient was pain-free (NRS = 8 pre-HIFU; NRS = 1 one week post-HIFU). Contrast-enhanced transverse MR and US images of the treated tumor are presented as follows A–D. A. Pancreatic cancer prior to HIFU therapy with encasement of superior mesenteric artery, CA 19–9: 648.8 U/mL. B. Recognizable ablation cavity (*) without contrast enhancement 1 day post-intervention. C. Reduction of tumor volume by 90.1 % in 3-month follow-up. CA19 – 9: 205.6 U/mL. D. Tumor remission 12 months post-HIFU CA19 – 9: 15.8 U/mL. E. Tumor marker CA19 – 9 over the course of 12 months (reference range 2–37 Um/L; the gray line shows the upper normal value). A: Aorta. * ablation area.
applied energy (min. 50 s sonication time per focal point) or intermittently performed CEUS showing devascularization of the treated area is used to assess sufficient treatment at a focal point (Fig. 2, 3). Most of our patients were treated at 400 watts. The energy was reduced to 200 watts in the direct vicinity of vessels, the bowel or stents (Fig. 3). Even though US-guided HIFU devices are more efficient than MRI-guided equipment, the advantage of real-time imaging is much more important in the case of pancreatic cancer. Except for a retrospective report from Italy including 6 patients [29], all data regarding the feasibility and safety of US-guided HIFU therapy for inoperable pancreatic cancer come from East Asia and generally present retrospective observations [16 – 18, 29 – 34]. Meanwhile numerous Chinese studies were summarized in an overview article that reported on HIFU treatment of a total of 3022 patients with inoperable pancreatic cancer during the previous 15 years [35]. However, more than 70% of the publications are available only in Chinese, and more than 90% of the studies were carried out in China, Korea and Japan. To date, there have been no prospective randomized studies according to European scientific standards regarding the application and effectiveness of HIFU with respect to local tumor control, pain and symptom reduction, progression-
free survival and overall survival in combination with standard palliative therapy.

Therefore, we prospectively evaluated the effectiveness and clinical advantages of additive HIFU treatment for our Caucasian patient cohort. We used standardized questionnaires (NRS, BPI) and imaging (US, CT, MRI) for evaluation. Immediately after HIFU all patients exhibited therapy-based avascularization of the treated tumor regions. In the follow-up, significant tumor volume reduction was observed in 13 of 15 patients (Fig. 2, 4). The tumor volume reduction was 90.1% after 3 months for the first patient treated with HIFU (Fig. 2) and the 21-month follow-up indicated continued tumor remission. This emphasizes the advantages of additive HIFU ablation, not only regarding significant tumor reduction, but also with respect to slowing the progression of tumor-related local symptoms which is frequently not possible using chemotherapy alone. Sufficient local tumor control could also be achieved even in the case of advanced hepatic, pulmonary or peritoneal metastasis. Since HIFU treatment does not interact with standard palliative therapy and is a low-risk procedure with only a few transient side effects, chemotherapy can be continued without interruption.

Tumor-related pain is one of the most frequent symptoms in patients with pancreatic cancer, occurring in more than 80% of cases [2]. In advanced disease stages, opioid analgesics are frequently used, resulting in side effects such as nausea, vomiting, constipation and drowsiness. Local therapeutics such as percutaneous celiac plexus block [36] or radiotherapy [37, 38] have a limited long-term effect on pain control. In our patient cohort, 12 of 15 patients required daily basic medication at baseline, 7 of whom received opioids. After HIFU 80% of patients (n = 12) achieved significant pain reduction within 24 – 48 hours after treatment. Energy application in the direct vicinity of the celiac trunk with its pain fibers likely contributes to the analgesic effect resulting from damage to the celiac plexus [13]. Data published to date report comparable pain reduction in 84.7% of patients [16, 18, 29, 31 – 34]. For 10 patients who needed daily long-term medication to control their pain prior to HIFU, their dosage of medication was reduced by at least half after the intervention. The previous opioid analgesia of 2 patients was even able to be completely eliminated. The pain reduction continued up to the follow-up after 3 months (Fig. 5, 6). The reduction in pain intensity consequently led to an improvement of patient quality of life. Prior to HIFU one third of the patients reported mild pain (WHO level 1), and half of the patients did not require opioids. Nevertheless the observed pain reduction following from HIFU is distinct and considerable; therefore the analgesic effect should be evaluated in a larger study with patients taking basic opioid medication.

In addition to local tumor control and reduction of tumor-related pain, HIFU can have a positive effect on the progression-free and overall survival of patients [39]. A survival advantage of local tumor control can only be assumed, based on published data. Asian studies have reported a median overall survival of 6 – 11 months and a median progression-free time of 5 to 8.4 months [16 – 18]. Our observational study was not designed to evaluate survival rate since there were no control group data available. Nevertheless, a median overall survival of 13.3 months – comparable to published results – could be shown [30, 39] (Fig. 7). During the 15-month observation period, 8 patients died of progressive hepatic, peritoneal or pulmonary metastasis, and 2 patients died due to advanced bowel infiltration. Even though HIFU is considered a low-risk procedure with generally few and rare side effects compared to other therapies, various HIFU-related complications have been described [40]. In particular, organs with high acoustic absorption such as the skin and gastrointestinal tract have an increased risk of thermal damage. However, regular cooling of the skin and stringent bowel preparation can efficiently prevent these complications. Superficial skin and subcutaneous tissue injury has been reported in 3.1% of cases. Pancreatitis (1.9%) and pancreoprivic diabetes mellitus (1.3%) represent additional possible organ-specific complications [40].

One of our patients suffered superficial skin burning in the region of the navel; another experienced induration of subcutaneous adipose tissue; both healed within 3 – 6 weeks without special therapy. In addition up to 24 hours post-intervention, upper abdominal pain (n = 10) and cutaneous edema of the abdominal wall (n = 9) were observed in the majority of patients. Severe or long-lasting side effects were not observed.

Conclusion

US-guided HIFU therapy represents a safe and effective ablation procedure to reduce tumor volume in patients with inoperable pancreatic cancer. The use of HIFU can result in a significant reduction in tumor-related pain. Further prospective controlled, randomized studies with larger patient cohorts are required to evaluate the long-term effectiveness, especially with respect to progression-free and overall survival.

Fig. 7  Overall survival of patients with inoperable pancreatic cancer treated with HIFU (Stage III, IV according to UICC). Left: Overall survival time from initial diagnosis was 64% after 12 months with a median of 13.3 months. Right: Survival time after HIFU intervention was 32% after 12 months with a median survival of 6 months.
Clinical Relevance of the Study

1. Local US-guided HIFU treatment for inoperable pancreatic cancer in combination with standard palliative therapy is a safe and effective ablation procedure, given the presence of a suitable acoustic window.

2. With a low rate of side effects, this innovative therapy offers the additional clinical benefit of alleviating patient discomfort and symptoms as a result of pain reduction and possibly a decrease in tumor size.

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