

# Rate of Anti-NMDA Receptor Encephalitis in Ovarian Teratomas

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## Abstract

**Background** The rate of anti-N-methyl-D-aspartate receptor encephalitis (NMDARE) in ovarian teratomas is unknown. We aim to identify the prevalence of NMDARE as well as volumetric and histopathologic characteristics of ovarian teratomas in patients with versus without.

**Methods** We performed a retrospective cohort study to identify patients with confirmed ovarian teratomas and the characteristics of teratomas in NMDARE compared with non-NMDARE patients. Patients aged between 0 and 21 years with confirmed histopathological diagnosis of ovarian teratoma after resection were included. The rate of NMDARE in ovarian teratomas was identified. Moreover, volumes of ovarian teratomas and the frequency of neuronal glial elements on histopathology in NMDARE versus non-NMDARE patients were assessed.

**Results** Five out of one-hundred-and-sixty-three (3.07%) patients with histopathology confirmed ovarian teratomas were diagnosed with NMDARE. Age was not different between the NMDARE (mean: 13.8 years, standard deviation: 3.9) and non-NMDARE groups (median: 14, interquartile range [IQR]: 5). Teratoma volumes from NMDARE patients were smaller than those of non-NMDARE patients (median 28.3 cm<sup>3</sup> with IQR of 431.2 and median 182.8 with IQR of 635.0, respectively). Both age and NMDARE diagnosis were statistically significant variables in the analysis of variance on a multiple linear regression model. Age ( $p = 0.013$ ) had a positive correlation with teratoma size, whereas presence of NMDARE had a negative correlation ( $p = 0.008$ ).

**Conclusion** The rate of NMDARE in ovarian teratomas is low and NMDARE patients have smaller teratomas than non-NMDARE. Further studies are needed to understand the timing of anti-NMDA receptor antibodies in teratomas and the development of NMDARE.

## Keywords

- ▶ autoimmune encephalitis
- ▶ anti-NMDA receptor encephalitis
- ▶ ovarian teratoma
- ▶ paraneoplastic

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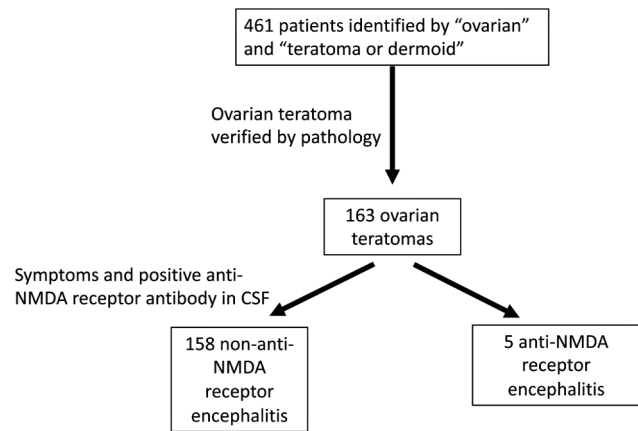
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## Introduction

Anti-N-methyl-D-aspartate receptor encephalitis (NMDARE) is an autoimmune encephalitis with neuropsychiatric symptoms caused by anti-NMDA receptor immunoglobulin G antibodies.<sup>1</sup> While a paraneoplastic syndrome is most commonly associated with ovarian teratomas,<sup>1–4</sup> the NMDARE rate in ovarian teratomas is unknown. In this retrospective study, we determine the rate of NMDARE in ovarian teratomas and compare tumor sizes between NMDARE and non-NMDARE patients.

## Methods

Institutional review board approval (STUDY00000209) was obtained for this study. Informed consent was waived. Patients were identified by the Montage database system of radiological imaging by the search terms “ovarian” and “teratoma or dermoid” at a single tertiary pediatric free-standing hospital between January 2011 and December 2019. Chart review was performed on 163 of 461 patients that had confirmed ovarian teratomas by resection and pathology. NMDARE was diagnosed if patients met criteria for confirmed NMDARE defined as having positive anti-NMDA receptor cerebrospinal fluid (CSF) antibodies and one or more of six neuropsychiatric symptoms: (1) abnormal psychiatric behavior/cognitive dysfunction; (2) speech dysfunction; (3) seizures; (4) movement disorder, dyskinesias, or rigidity/abnormal postures; (5)



**Fig. 1** Flow diagram of participants at each stage of the study. CSF, cerebrospinal fluid; NMDA, N-methyl-D-aspartate.

decreased level of consciousness; and (6) autonomic dysfunction or central hypoventilation<sup>5</sup> (see ► **Fig. 1**).

Descriptive summary statistics, Student's *t*-test, Fischer's exact test, and an analysis of variance (ANOVA) on a multiple linear regression model were performed using the R statistical software.

## Results

Clinical characteristics are shown in ► **Table 1**. Initial imaging methods to detect teratomas were ultrasound (70.55%),

**Table 1** Clinical characteristics in anti-NMDARE as compared with non-NMDARE patients

	NMDARE (n = 5)	Non-NMDARE (n = 158)	p-Value
Age, average years (SD)	13.8 (3.90)	12.4 (4.04)	0.5
Race, n (%)			
African American	4 (80)	67 (41)	
Caucasian	1 (20)	55 (34)	
Latino	0	24 (15)	
Asian	0	10 (6)	
Native American	0	1 (0.6)	
Mixed	0	4 (3)	
Unknown	0	2 (1)	
Ovarian teratoma volume, median cm <sup>3</sup> (IQR)	28.3 (431.2)	182.8 (635.0)	0.008
Ovarian teratoma pathology, n (%)			
Mature/benign	5 (100)	150 (95)	1.0
Neuroglial element	3 (60)	32 (20)	0.067
Neurological symptom, n (%)			
Psychiatric/cognitive decline	5 (100)	0 (0)	
Speech dysfunction	5 (100)	0 (0)	
Seizures	3 (60)	0 (0)	
Movement disorder	5 (100)	0 (0)	
Decreased level of consciousness	2 (40)	0 (0)	
Autonomic dysfunction or central hypoventilation	3 (60)	0 (0)	

Abbreviations: IQR, interquartile range; NMDARE, N-methyl-D-aspartate receptor encephalitis; SD, standard deviation.

computed tomographic scan (26.4%), and magnetic resonance imaging (3.0%). Five of 163 (3.1%) patients with confirmed ovarian teratomas on pathology were diagnosed with NMDARE with the presence of at least one neurological symptom along with positive anti-NMDA receptor antibody detected in the CSF. Student's *t*-test demonstrated no difference in the ages of NMDARE versus non-NMDARE patients. All five NMDARE patients and 151/158 non-NMDARE patients had ovarian teratomas with benign pathology. Three of five (60%) NMDARE had teratomas with identifiable neuroglial elements as compared with 32/158 (20.3%) non-NMDARE patients, which trended toward significance (Fisher's exact test,  $p = 0.067$ ). For neurological symptoms, zero of the non-NMDARE patients reported any of the neurological symptoms associated with anti-NMDARE. For the NMDARE patients, all five patients exhibited psychiatric symptoms, speech dysfunction, and a form of movement disorder. A subset of NMDARE patients had one or more additional neurological symptoms, that is, seizures, decreased level of consciousness, and autonomic dysfunction/central hypoventilation (► **Table 1**).

Using ANOVA, we evaluated predictors of ovarian teratoma volumes. Age had a positive correlation with teratoma size ( $p = 0.013$ ), whereas NMDARE had an inverse correlation ( $p = 0.008$ , adjusted for age and race). Teratoma volumes from NMDARE patients were smaller than that of non-NMDARE patients (median 28.3 cm<sup>3</sup> with interquartile range [IQR] of 431.2 and median 182.8 with IQR of 635.0, respectively;  $p = 0.01$ ).

## Discussion

In our cohort, 3.07% of patients with ovarian teratomas had NMDARE. Moreover, ovarian teratoma volumes are smaller in NMDARE compared with non-NMDARE patients and increased age associates with a larger teratoma size. Additionally, 80% of NMDARE patients were African American, in comparison to 42.4% of non-NMDARE patients in this study; prior studies have varied in rates of African American NMDARE patients but another larger NMDARE cohort is 67%.<sup>6</sup>

NMDARE pathogenesis can be a paraneoplastic response to the neural components in ovarian teratomas.<sup>2,7</sup> Neuroglial elements are possibly more commonly detected in NMDARE versus non-NMDARE ovarian teratomas, as our data trended toward significance and as discussed in a small case-control study.<sup>8</sup> We also found that teratoma volumes are smaller in NMDARE versus non-NMDARE, which is most likely due to earlier tumor detection, similar to how neuroblastomas are detected earlier in opsoclonus myoclonus ataxia syndrome<sup>9</sup> due to a paraneoplastic response to the tumor.

Limitations of this study include the retrospective nature of this study at a single center. Another limitation is that CSF anti-NMDA receptor antibody testing was not available for all individuals as routine anti-NMDA receptor antibody testing is not performed in patients with ovarian teratomas. How-

ever, none of the symptoms associated with NMDARE were present in the non-NMDARE group and positive antibody alone would not be sufficient for diagnosis.<sup>5</sup> Serum anti-NMDAR antibody testing is inadequate because anti-NMDAR antibody detection is more sensitive in CSF<sup>3</sup> than serum and serum anti-NMDAR antibody testing can have false positives.<sup>10</sup>

In conclusion, rates of NMDARE in ovarian teratomas are low (3.07%) and ovarian teratomas are smaller in NMDARE than in non-NMDARE. Neuroglial elements may contribute to the development of NMDARE. Further studies are needed to understand the timing of anti-NMDA receptor antibodies in teratomas and development of NMDARE.

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## Conflict of Interest

Jennifer Li has no disclosures.

Sarah Milla has no disclosures.

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