



# NESTROFT—A Cost-Effective Mass Screening Tool for the Detection of $\beta$ -Thalassemia Carrier Status in Anemic Pregnant Women: A Step Toward Reducing the National Disease Burden

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## Abstract

**Introduction**  $\beta$ -Thalassemias are inherited hemoglobinopathies commonly encountered in practice. With chances of a promising cure being rare, the prevention of births with this disorder should assume priority, especially in low-resource countries. This can be achieved by the implementation of a mass screening program that is reliable and, at the same time, cost-effective.

**Objectives** This study focuses on the utility of Naked Eye Single Tube Red Cell Osmotic Fragility Test (NESTROFT) as a mass screening tool to detect thalassemia carriers. Hematological parameters that may predict carrier status were also evaluated.

**Materials and Methods** Hemoglobin estimation was performed on all consented pregnant women. If the patient was found to have hemoglobin < 11 g/dL, the blood sample was subjected to other routine hematological tests along with peripheral smear examination. NESTROFT was performed using 0.36% saline solution. Confirmation was done using high-performance liquid chromatography (HPLC).

**Statistical Analysis** Data obtained were tabulated using version 21 of the Statistical Package for Social Sciences. Means, standard deviations, and percentages were used to describe the sample. Chi-square test and Students' *t* test were used to identify differences between the groups.

**Results** Of 441 pregnant women enrolled, 206 were found to be anemic. Nineteen (9.2%) of the anemic pregnant women were detected to be carriers of hemoglobinopathies. Among the hematological parameters, mean red blood cell count and reticulocyte count were higher, while mean corpuscular hemoglobin concentration was lower in carriers. Also, carriers were more likely to present with microcytic hypochromic anemia. NESTROFT showed a sensitivity of 84.21%, specificity of 96.25%, a positive predictive value of 69.56%, and a negative predictive value of 98.36%. A false-positive result was seen in 3.74% of the tests, while a false negative result was seen in 15.78% of the tests.

**Conclusions** NESTROFT (0.36%) can be used as a simple and cost-effective mass screening tool for the detection of carrier status. This should be followed by confirmation using HPLC or hemoglobin electrophoresis.

## Keywords

- NESTROFT
- carrier screening
- thalassemia trait

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## Introduction

Hemoglobinopathies are genetically determined mendelian abnormalities that result in a quantitative or qualitative defect in the synthesis of globin molecules. Among the various hemoglobinopathies,  $\beta$ -thalassemias are seen most frequently in the Indian subcontinent (80%).<sup>1</sup> The global prevalence of  $\beta$ -thalassemia is estimated to be around 3%, while in India, the prevalence varies from 3 to 17% in North India and 1.3% in South India.<sup>2,3</sup> Based on genetic and clinical features, thalassemias have been classified as thalassemia major, thalassemia intermedia, thalassemia trait, and thalassemia minima.<sup>4</sup>

Thalassemia major patients present with classical clinical features of pallor, irritability, growth retardation, hepatosplenomegaly, jaundice, and skeletal deformities. These patients are dependent on blood transfusions every 3 weeks along with chelation therapy, failing which their lifespan will be sadly shortened.<sup>5</sup> The economic implications for the affected individuals are tremendous. The cost of treatment of one thalassemic child of around 3 years of age is around INR 90,000 to 1,00,000 annually and with progressing age, the costs would only increase proportionally.<sup>6</sup> Bone marrow transplantation is a potentially promising cure, but involves high cost in addition to compatible donor availability and successful transplant. Thus, it is imperative that prevention must be sought rather than a cure.<sup>7</sup> Screening for  $\beta$ -thalassemia trait is a major step in this direction, as such individuals are generally asymptomatic themselves but serve as carriers.

In this study, we evaluated the utility of Naked Eye Single Tube Osmotic Fragility Test (NESTROFT) as a simple and inexpensive screening method for the detection of  $\beta$ -thalassemia trait in anemic pregnant women. This group was chosen for the study since pregnant women present regularly to the hospital for checkups, blood investigations are routinely done, and the would-be parents are more receptive toward tests being done for the well-being of their unborn child.<sup>8</sup>

## Aims and Objectives

Following are the aims and objectives of this article:

1. To estimate the utility of NESTROFT as a simple and inexpensive screening method to detect  $\beta$ -thalassemia trait in anemic pregnant women.
2. To compare the hematological parameters of anemic pregnant women who are carriers as compared with noncarriers.

## Materials and Methods

This cross-sectional study was conducted over a period of 1 year in a tertiary care facility after obtaining institutional ethics committee approval. All consented pregnant women who presented to the obstetrics outpatient department were interviewed using a specially prepared proforma that included demographic data along with information pertaining to the present pregnancy, significant past medical history,

and family history. Three milliliters of venous blood were collected in an ethylene-diamine-tetraacetic-acid vacutainer under strict aseptic precautions. Hemoglobin estimation was performed and if the woman was found to have hemoglobin < 11 g/dL, then the blood sample was subjected to other routine hematological tests like complete blood count, packed cell volume, mean corpuscular volume (MCV), mean corpuscular hemoglobin (MCH), mean corpuscular hemoglobin concentration (MCHC), red cell distribution width (RDW), and peripheral smear examination.

NESTROFT was performed using 0.36% saline solution as first suggested by Kattamis et al, who showed that this concentration was sensitive and effectively detected 96% of  $\beta$ -thalassemia carriers.<sup>9</sup> The stock solution was prepared using sodium chloride (90 g), disodium hydrogen phosphate (13.65 g), sodium dihydrogen phosphate (2.43 g), and distilled water (1,000 mL). Two milliliters of 0.36% buffered saline were taken in a test tube labeled as "Test," while 2 mL of distilled water was taken in the "Control" tube. One drop of the subjects' blood was added to each tube and placed on a stand after mixing well. After 30 minutes, both the tubes were held against a black line on a white background. If the black line was blurred, it was considered positive; if clearly visible, it was interpreted as negative. This test is based on the reduced osmotic fragility demonstrated by microcytic red cells as compared with normal red cells.<sup>6</sup> Confirmation of carrier status was done using high-performance liquid chromatography.

Data obtained were tabulated using version 21 of the Statistical Package for Social Sciences. Means, standard deviation (SD), and percentages were used to describe the sample.

Chi-square test and Students' *t* test were used in identifying differences between the groups.

## Observations and Results

A total of 441 pregnant women were screened for anemia, of which 206 were anemic (46.7%). ► **Table 1** shows the socio-demographic data of anemic pregnant women. About 53.41% were between the ages of 21 and 25 years; 70.88% came from a rural setting. Out of these 206 pregnant women, 19 women (9.2%) were detected to be carriers of hemoglobinopathies, which included 16 cases of  $\beta$ -thalassemia trait, and 1 case each of delta- $\beta$  thalassemia trait, sickle cell trait, and hereditary persistence of fetal hemoglobin. Of the 19 women who were carriers of hemoglobinopathies, 1 was in the first trimester while 9 each were in the second and third trimester. Fifty-six women of the anemic group and six of the carrier group (total of 30.08%) were consanguineously married. The obstetric history pertaining to the rates of abortion between carriers (mean:  $0.11 \pm 0.072$  SD) and anemic group (mean:  $-0.8 \pm 0.024$  SD) were statistically insignificant. About 4.85% of the anemic women without hemoglobinopathies had received prior blood transfusions, while 0.48% of the carriers had received transfusions earlier.

Various hematological parameters were compared between anemic noncarriers and women who were carriers of hemoglobinopathies (► **Table 2**). It was observed that the

**Table 1** Sociodemographic data comparison between anemic pregnant women with and without hemoglobinopathies (n = 206)

Variable		Anemia without hemoglobinopathy		Anemia with hemoglobinopathy		t-Value / $\chi^2$ value	p-Value
		n/mean	%/SD	n/mean	%/SD		
Age groups	15–20	57	93.44	4	6.56	1.305	0.728
	21–25	98	89.10	12	10.90		
	26–30	28	90.32	3	9.68		
	31–35	4	100	0	0		
Age		22.7	3.30	23.21	2.485	0.654	0.514
Residence	Rural	130	89.04	16	10.96	1.803	0.179
	Urban	57	95.0	3	5.0		
Religion	Hindu	165	90.66	17	9.34	0.112	0.945
	Muslim	21	91.30	2	8.70		
	Christian	1	100	0	0		
Marital Status	Married	186	90.73	19	9.27	0.102	0.749
	Unmarried	1	100	0	0		
Occupation	Homemaker	170	90.91	17	9.09	1.841	0.606
	Laborer	6	100	0	0		
	Office work	3	75	1	25		
	Others	8	88.89	1	11.11		
Education	Illiterate	9	100	0	0	2.723	0.605
	Read and write	5	83.33	1	16.67		
	Primary	29	85.30	5	14.70		
	High school	131	91.60	12	8.40		
	Graduate	13	92.85	1	7.15		

Abbreviation: SD, standard deviation.

mean red blood cell (RBC) count in carriers (4.03 million) was higher, the mean MCHC (33.42%) was lower, and the mean reticulocyte count (2.10%) was higher as compared with anemics who were noncarriers (3.75 million, 34.28% and 0.99%, respectively). These differences were statistically significant. Other differences that were not statistically significant but were nevertheless present were that the RDW, MCV, and MCH were lower in the carriers as compared with the noncarriers. Among the patterns of anemia seen, it was observed that carriers were more likely to present with microcytic hypochromic anemia and this finding was statistically significant.

NESTROFT was positive in 16 out of 19 subjects with hemoglobinopathies. It could accurately identify 87.38% of the women who did not have hemoglobinopathies and this finding was statistically significant. The sensitivity of NESTROFT was 84.21% and it had a high specificity of 96.25%. The predictive value of a negative test was very high being 98.36%, which meant that it could precisely identify people who were not carriers. The predictive value of a positive test was 69.56%. A false-negative test was seen in 15.78% of cases, while only 3.74% of cases showed a false-positive test (► **Table 3**).

## Discussion

Hemoglobinopathies, especially thalassemias, constitute the most common fatal hereditary disorders and they are responsible for a significant amount of morbidity and mortality. Ignorance about the disorder and lack of knowledge regarding the genetics of thalassemia contributes significantly toward increasing the disease burden. Community education through awareness programs along with large-scale carrier screening are the pillars upon which the control of disease burden rests. Population screening in various settings is practiced to a certain extent, for example, extended family screening or community studies performed in certain high-risk groups. Premarital screening and screening of school-going children pose logistic, social, and economic constraints.<sup>5</sup> Antenatal screening for hemoglobinopathies, if made part of routine antenatal tests, could prove to be successful. If the pregnant lady is found to be a carrier, the partner can be tested for carrier status following the results of which prenatal diagnosis and appropriate genetic counseling can be offered.

The prevalence of hemoglobinopathies varies between 3 and 17% in different states and populations in India.<sup>10</sup> In the

**Table 2** Hematological investigations in anemic pregnant women with and without hemoglobinopathies

Sl. no.	Variable	Anemia without hemoglobinopathy		Anemia with hemoglobinopathy		t-Value/ $\chi^2$ value	p-Value	
		n/mean	%/SD	n/mean	%/SD			
1	Hemoglobin	9.36	1.23	9.50	1.09	-0.456	0.649	
2	RBC count	3.75	0.58	4.03	0.69	-1.998	0.047 <sup>a</sup>	
3	Hematocrit	27.37	3.34	28.23	3.32	-1.100	0.273	
4	RDW	16.86	2.95	16.15	2.64	1.014	0.312	
5	MCV	74.11	8.58	71.47	10.78	1.246	0.214	
6	MCH	25.46	3.77	24.02	4.67	1.555	0.121	
7	MCHC	34.28	1.47	33.42	1.94	2.368	0.019 <sup>a</sup>	
8	ESR	21.90	10.24	21.47	10.04	0.175	0.861	
9	Retic count	0.99	0.29	2.10	0.46	14.965	0.000 <sup>a</sup>	
10	Total count	10,585.56	4,184.37	9,421.05	2,876.07	1.184	0.238	
11	Neutrophils	75.98	6.49	73.84	7.29	1.352	0.178	
12	Lymphocytes	20.55	5.81	22.37	6.28	1.293	0.197	
13	Eosinophils	1.59	1.22	1.79	1.65	0.661	0.510	
14	Monocytes	1.91	1.42	2.00	1.49	0.249	0.804	
15	Abs granulocyte	8.24	3.70	7.12	2.31	1.290	0.198	
16	Abs lymphocyte	2.04	0.70	1.94	0.64	0.612	0.541	
17	Abs monocyte	0.35	0.22	0.36	0.24	0.206	0.837	
18	Platelet count	290,395.72	89,862.64	287,684.21	87,084.28	0.126	0.900	
19	MPV	8.03	0.91	8.11	1.03	0.361	0.719	
20	PCT	0.40	2.29	0.23	0.07	0.325	0.746	
21	PDW	12.68	2.51	12.92	2.68	0.380	0.704	
22	Patterns of anemia	MHA	54	26.21	12	5.83	9.227	0.010 <sup>a</sup>
		NHA	106	51.46	7	3.39	2.742	0.98
		DA	27	13.11	0	0	3.157	0.076

Abbreviations: DA, dimorphic anemia; ESR, erythrocyte sedimentation rate; MCHC, mean corpuscular hemoglobin concentration; MCV, mean corpuscular volume; MHA, microcytic hypochromic anemia; MPV, mean platelet volume; NHA, normocytic hypochromic anemia; PCT, platelet count; PDW, platelet distribution width; RBC, red blood cell; RDW, red cell distribution width; SD, standard deviation.

<sup>a</sup>Statistically significant ( $p < 0.05$ ).

**Table 3** NESTROFT as a screening test for the detection of hemoglobinopathies (n = 206)

Variable		Anemia without hemoglobinopathy		Anemia with hemoglobinopathy		t-Value/ $\chi^2$ value	p-Value
		n/mean	%/SD	n/mean	%/SD		
NESTROFT	Positive	7	30.44	16	69.56	112.596	0.000 <sup>a</sup>
	Negative	180	98.36	3	1.64		
Sensitivity				84.21%			
Specificity				96.25%			
% False negative				15.78%			
% False positive				3.74%			
Predictive value of positive test				69.56%			
Predictive value of negative test				98.36%			

Abbreviations: NESTROFT, Naked Eye Single Tube Red Cell Osmotic Fragility Test; SD, standard deviation.

<sup>a</sup>Statistically significant ( $p < 0.05$ ).

present study, the percentage of carriers detected was 9.2%. This high prevalence could be due to the existing sociocultural practices unique to this part of the country where consanguineous marriages with maternal kindred are an accepted

norm. The present study was undertaken to study the utility of NESTROFT as a screening method using a buffered saline solution of 0.36%, as studies have indicated that this concentration could detect 97.7% of the heterozygous  $\beta$ -thalassemia

**Table 4** NESTROFT—Comparison with other studies

	Present study	Chakrabarti et al <sup>10</sup> (2012)	Sumera et al <sup>16</sup> (2012)	Vijay et al <sup>11</sup> (2010)	Singh and Gupta et al <sup>9</sup> (2008)	Sirichotiyakul et al <sup>12</sup> (2004)
Sensitivity (%)	84.21	94.12	93	100	97.7	97.6
Specificity (%)	96.25	95.2	84	98.5	83.3	72.9
Positive predictive value (%)	69.56	41.02	78	96	95.5	33.6
Negative predictive value (%)	98.36	99.7	97	100	90.9	99.5

Abbreviation: NESTROFT, Naked Eye Single Tube Red Cell Osmotic Fragility Test.

patients with a specificity of 83.3%.<sup>10</sup> ► **Table 4** compares the sensitivity, specificity, positive predictive value, and negative predictive value of NESTROFT with other similar studies. The sensitivity in the present study was slightly less as compared with other studies, the probable cause being a larger sample size in the other studies. Specificity and negative predictive value in the present study is comparable to results obtained by Chakrabarti et al and Vijay et al.<sup>11,12</sup> The positive predictive value of the test shows a wide variation ranging from 33.6 to 96%.<sup>12,13</sup> Our study shows a positive predictive value of 69.56%. High sensitivity and specificity are desirable factors for judging the effectiveness of a screening test. A high negative predictive value almost rules out the possibility of a  $\beta$ -thalassemia trait.

Among the hematological parameters used to distinguish  $\beta$ -thalassemia carriers, the decrease in hemoglobin is minimal in heterozygous thalassemia cases.<sup>14</sup> The mean RBC count is increased as compared with normal and the mean RDW is lower in  $\beta$ -thalassemia carriers. These two features are useful in differentiating thalassemia traits from iron deficiency anemia, both of which present with a microcytic hypochromic picture. Iron deficiency anemia, however, presents with a reduced red cell count and increased RDW, whereas  $\beta$ -thalassemia carriers present with uniform microcytosis.<sup>4</sup> Few studies have suggested that an MCV of 72 femtolitres is maximally sensitive and specific for presumptive diagnosis of thalassemia cases.<sup>14,15</sup> Thalassemia carriers also have a higher reticulocyte count, especially in hematologically stressful conditions such as pregnancy.<sup>4</sup>

A national thalassemia screening program is the need of the hour, especially in a country like ours that lies along the thalassemia belt. Mass screening programs involving antenatal diagnosis followed by genetic counseling and public education have shown huge success rates in countries such as Greece, Cyprus, and Italy that have reduced thalassemia incidence by 52, 96, and 62%, respectively.<sup>5</sup> In a resource-poor country like ours, a viable and effective test can help us take huge steps along the road of thalassemia prevention. NESTROFT is an ideal screening test as it is simple, cheap (costs INR 1.5/test, and does not require expensive equipment).<sup>6</sup>

## Conclusion

This study addressing screening of  $\beta$ -thalassemia carriers performed in antenatal population is rare. The results of this

study establish NESTROFT as a cost-effective mass screening tool for the detection of carriers. NESTROFT has a sensitivity of 84.21%, specificity of 96.25%, negative predictive of 98.36%, and a positive predictive value of 69.56%. If the pregnant subject is detected to be a carrier, further testing of the partner is indicated followed by chorionic villous sampling and genetic counseling where indicated.

## Limitations of the Study

This study is limited by the small sample size as it is a facility-based study conducted in a tertiary care hospital. A wide-scale population-based study with a larger sample size is desirable.

### Conflict of Interest

None declared.

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