Maximizing the Smile Symmetry in Facial Paralysis Reconstruction: An Algorithm Based on Twenty Years’ Experience

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Abstract

Over the last two decades, the senior author (B.H.) has had an extensive experience with facial paralysis reconstruction. During this period, the techniques have evolved substantially based on the experience and after observing and analyzing the surgical outcomes. The purpose of this article is to relay the lessons learned from the 20 years’ experience and suggest an algorithm. In this retrospective study, we have included 343 cases of facial paralysis cases. Complete facial paralysis cases were 285 and 58 were incomplete facial paralyses, both requiring surgical procedures. Complete facial paralyses were divided in to short term (n = 83) and long term (n = 202). In total, 58% of the patients were women and 42% were men. The age range was 6 to 82 years. The techniques employed were direct suture, nerve grafts, cross-facial nerve grafts (CFNGs), masseteric-to-facial nerve transference, hypoglossal-to-facial nerve transference, free muscle transplants, and lengthening temporal myoplasty to achieve the best symmetry after reanimation of unilateral, bilateral, complete, and incomplete facial paralysis. The type of paralysis, objective measurements, the personal patient’s smile, and the gender are key concepts to be considered before scheduling a dynamic facial paralysis reconstruction. For unilateral facial paralysis, the time of onset, the type of paralysis, the patient’s comorbidities, and the healthy side status are some of the determining factors when selecting the correct technique. The preferred techniques for unilateral facial paralysis are direct repair, CFNG, masseteric-to-facial transposition, and free gracilis transfer. For incomplete facial paralysis, the masseteric-to-facial nerve transference is preferred. In bilateral facial paralysis, bilateral free gracilis transfer is performed in two stages using the nerve of the masseter muscle as the source of innervation. The authors provide an algorithm which simplifies facial paralysis reconstruction to achieve the greatest facial symmetry while thinking about the potential comorbidities and developing spontaneity smile according to the gender of the patient.

Keywords
► facial paralysis
► algorithm
► reconstruction

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Facial paralysis reconstruction is one of the most challenging procedures in plastic surgery because it requires the restoration of not only the facial movement, but also the facial expression and spontaneity, which are very important in psychosocial interactions and nonverbal language. In addition, facial paralysis generates a lack of facial tone and negative consequences on essential facial functions such as eyelid closure, oral competence, and phonation, which diminish the quality of life of facial paralysis patients.

The smile is considered a fundamental form of emotional expressions and influences interpersonal judgments and life outcomes. According to our experience and the literature, asymmetry of the smile is usually the main concern of facial paralysis patients. The symmetry has been considered the most important aspect to determine the attractiveness of a face. Moreover, the smile has been rated as the facial expression with the highest positive emotional response and has been shown to reduce stress. Hence, the surgical rehabilitation of the oral commissure displacement should restore facial symmetry, both at rest and in active expression, with the normal side in unilateral reconstructions and between both sides in bilateral reconstructions.

Over the past decades, the senior author (B.H.) has performed many facial palsy reconstructions. During this extensive period, the indications of each technique have evolved based on the available technology and, more importantly, on the evaluation of the aesthetic results, complications, and patient satisfaction. The purpose of the present article is to detail different concepts and surgical techniques for facial palsy reconstructions that have shown excellent long-term results and a high patient satisfaction. Furthermore, we want to introduce an algorithm which indicates the best techniques to achieve a balance between symmetry and spontaneity upon reconstructing both unilateral and bilateral facial paralysis.

**Materials and Methods**

We have seen 652 facial paralysis cases in the last 20-year period. Of these, 263 cases were not included in this study as little deficiencies were presented; for these cases only ocular procedures were performed, such as gold weight implants or botulin toxin infiltration, or the patient decided not to perform any surgical procedure. We have included 389 cases of facial paralysis cases in this study. Complete facial paralysis cases were 285 and 58 were incomplete facial paralysis cases, both requiring surgical procedures. Complete facial paralyses were divided into short-term \( n = 83 \) and long-term \( n = 202 \) facial paralyses. When short-term facial paralyses were present, cross-facial nerve grafts (CFNGs; \( n = 30 \)), masseteric nerve transfer \( n = 32 \), and hypoglossal nerve transfer \( n = 21 \) were performed. In long-term facial paralysis, the gracilis muscle is used to connect to a CFNG \( n = 72 \), or to the masseteric nerve \( n = 44 \), or to the hypoglossal nerve \( n = 3 \). When microsurgeries were not indicated, a lengthening temporal myoplasty was performed \( n = 6 \). Static suspension with the plantaris tendon was indicated in people more than 70 years old \( n = 46 \). In total, 58% of the patients were women and 42% were men. The age range was 6 to 82 years.

**Results and Discussion**

**Unilateral Facial Paralysis**

**Dynamic Reconstruction**

The type of paralysis, objective measurements, the personal patient’s smile, and the gender are key concepts to be considered before scheduling a dynamic facial paralysis reconstruction.

**Objective measurements:** The quantification of the facial paralysis is one of the most important aspects when examining patients with facial palsy. Assessing the severity of the paralysis is useful not only for doing a precise diagnosis, but also when deciding a surgical procedure. Subjective methods of evaluation have been described for assessing various facial nerve disorders. These methods have historically been based on scale grades to rank the severity of the damage. In 2015, a systematic review identified 19 facial nerve grading scales. After comparison, only the Sunnybrook Facial Grading Scale satisfied all criteria for an ideal facial nerve grading instrument. However, these subjective instruments poorly characterize dynamic facial reanimation techniques since the surgeon needs to accurately quantify the damage to know how much should be restored.

In 2008, we introduced the FACIAL CLIMA, an automatic, quantitative, operator-independent, objective method that allows dynamic quantification of facial movements. The FACIAL CLIMA is used both for preoperative planning and for assessing postoperative outcomes. Using an objective analysis, it is possible to evaluate the degree of symmetry obtained by comparing the reanimated and the normal sides. This is an important aspect to consider when comparing different techniques of facial reanimation between different individuals’ smiles. Although the FACIAL CLIMA was described 13 years ago, it is still one of the most precise systems to quantify the facial movements when compared with others, as described by Gerós et al in 2016.

**Type of paralysis:** Determining the type of paralysis is imperative before deciding a treatment. In this sense, we divide facial paralysis in to complete or incomplete, also known as flaccid and nonflaccid facial paralyses. This distinction is important due to the timing, because in complete facial palsies the facial musculature does not receive neurotrophic input, the mimic muscles will be irreversibly atrophied if this time exceed 2 years, and a new muscle unit must be incorporated to restore motion.

Conversely, in an incomplete facial paralysis, the time of onset does not influence because the mimic muscles are receiving axonal input which avoids atrophy. The same techniques could be proposed for incomplete and subacute complete (3 months to 2 years) facial paralyses, since the mimic muscles are still viable. Moreover, our experience is that female patients with facial paralysis of up to 4 years can benefit from these techniques, avoiding a muscle transfer. On the other hand, the acute complete (less than 3 months)
facial paralysis could be restored by direct nerve repair or providing a new nerve source as the CFNG or the masseteric nerve. In our series, when comparing the use of both nerves (n = 17 and 26, respectively), we observed that the masseteric nerve achieves more symmetry and commissural displacement and satisfaction of spontaneity (especially in women) than the CFNG. However, he concluded that all types of smile overlap in a greater or a lesser degree, and consequently, in finite types of individuals regarding the commissural displacement. For example, a patient with a strong smile can have a higher value of commissural displacement than someone with a “weak” smile, without meaning that the latter has some form of paralysis. After retrospectively analyzing our sample and our results, the cut-off point to determine if a smile is strong or weak has been established at 8 mm of commissural displacement. Thus, a patient with a healthy side commissural displacement greater than or equal to 8 mm is considered to have a weak smile. Conversely, a patient with a healthy side commissural displacement less than 8 mm is considered to have a strong smile. This simple smile classification has enabled us to indicate the techniques that achieve

### Table 1: Intragroup comparison of commissure displacement and commissure contraction velocity comparing the CFNG and the masseteric transference

<table>
<thead>
<tr>
<th>Group</th>
<th>Healthy</th>
<th>Reanimated</th>
<th>p-Value</th>
<th>Healthy</th>
<th>Reanimated</th>
<th>p-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>I (CFNG)</td>
<td>8.6 ± 2.8</td>
<td>6.2 ± 3.1</td>
<td>0.001</td>
<td>33.5 ± 13.2</td>
<td>23.1 ± 12.4</td>
<td>0.019</td>
</tr>
<tr>
<td>II (masseteric)</td>
<td>9.1 ± 3.1</td>
<td>7.9 ± 2.9</td>
<td>0.52</td>
<td>35.6 ± 12.9</td>
<td>32.0 ± 14.3</td>
<td>0.35</td>
</tr>
</tbody>
</table>

Abbreviations: CCV, commissure contraction velocity; CD, commissural displacement; CFNG, cross-facial nerve graft; SD, standard deviation.

### Table 2: Intergroup comparison of commissure displacement, commissure contraction velocity, and percentage of recovery comparing the CFNG and the masseteric transference

<table>
<thead>
<tr>
<th>Group</th>
<th>Healthy side</th>
<th>Reanimated side</th>
<th>Recovery (%)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>I (CFNG)</td>
<td>8.6 ± 2.8</td>
<td>6.2 ± 3.1</td>
<td>33.5 ± 13.2</td>
<td>23.1 ± 12.4</td>
</tr>
<tr>
<td>II (masseteric)</td>
<td>9.1 ± 3.1</td>
<td>7.9 ± 2.9</td>
<td>35.6 ± 12.9</td>
<td>32.0 ± 14.3</td>
</tr>
</tbody>
</table>

### Table 3: Intergroup comparison of satisfaction and spontaneity comparing the CFNG and the masseteric transference

<table>
<thead>
<tr>
<th>Group</th>
<th>SP:NSP ratio (%)</th>
<th>SS:NSS ratio (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I (CFNG)</td>
<td>8.2 (80.0%)</td>
<td>8.2 (80.0%)</td>
</tr>
<tr>
<td>II (masseteric)</td>
<td>16:2 (88.8%)</td>
<td>10:8 (55.5%)</td>
</tr>
</tbody>
</table>

Abbreviations: CFNG, cross-facial nerve graft; NSP, nonsatisfied patients; NSS, nonspontaneous smile; SP, satisfied patients; SS, spontaneous smile. Fisher’s exact test shows no significant differences in satisfaction and spontaneity between the groups.

This vector is very important for the muscle orientation during flap inset when reanimating long-term complete facial paralysis. The flap should be transferred to the face and fixed to the modiolus and zygomatic arch using the same vector that is in the healthy side. However, the vector is not relevant in complete short-term or incomplete facial paralysis because the facial musculature is not atrophied yet, and consequently the patient’s vector smile is preserved.

**Patient’s smile:** Before indicating a surgical facial reanimation, the normal side smile should be thoroughly analyzed. The two most important factors that characterize the smile are the vector and the strength of pull. Both factors show a great interpersonal difference as established by Rubin, who classified the smile in three anatomical types. However, he concluded that all types of smile overlap in a greater or a lesser degree, and consequently, infinite types of smile can be found.

**Vector of the smile:** Although we identify it by the FACIAL CLIMA system, the vector of the smile can easily be identified on photographs of the healthy side at rest and at maximal commissural displacement. By superimposing both images, the resultant vector of oral commissural movement above the horizontal can be used to reconstruct the paralyzed side (Fig. 1).
less commissural displacement for the first group of patients, and the most powerful techniques for the latter. Once we introduced this classification in our practice, we have observed a greater improvement in the symmetry of our patients’ face after performing smile reconstruction. For this reason, the accurate quantitative preoperative analysis is very important before deciding a surgical technique for facial paralysis reanimation.

Gender: Numerous publications have shown that in different circumstances, women smile more than men.\textsuperscript{13,14} Thus, it is reasonable to think that the motivational drive toward smiling is greater in women and therefore it is very likely that after smile reanimation, female population develops brain plasticity earlier than men on the basis that smiling is more important for them.

Several studies have shown a dichotomy between the sexes in nerve regeneration and brain plasticity. Regarding the former, evidence that supports the fact that women recover from neural injury faster than men is abundant.\textsuperscript{15–18} Over the years, we have seen that women defend better from nerve injury and thus are more resistant than men to denervation and muscle atrophy, maybe influenced by sex hormones. These observations have led us to perform techniques indicated for palsy of short duration in women with longstanding disease achieving good functional and aesthetic results.\textsuperscript{19,20} This factor is especially important in those cases which are between short and longstanding facial paralyses. In our series, comparison between genders of movement recovery in patients with CFNG performed with more than 2 years of evolution and of movement dissociation in patients reanimated using the masseteric nerve shows that women’s recovery and the possibility of dissociation of smile are better than in men (\textit{\textit{Table 4}}).

Regarding brain plasticity, our studies have shown that female patients could develop an earlier cortical adaptation than male patients after smile reconstruction with nerves other than the facial, developing spontaneity and dissociation at a higher rate and earlier than male patients.\textsuperscript{20,21} Although we are unable to predict which patients will achieve dissociation and spontaneity after facial reanimation using nonfacial donor nerves, this must be taken into account when deciding a technique to get both symmetry and potential development of spontaneity.

Surgical Techniques for Unilateral Facial Paralysis

Direct nerve repair: The most desirable scenario when reconstructing the facial nerve is a direct nerve repair. This will only be possible if the time of onset is short (less than 3 months) and the proximal facial nerve stump and the different distal branches are identifiable. When planning a direct nerve repair, the length of the nerve gap and the vascularity of the surrounding recipient bed should be taken into consideration. If the length of the gap is less than 1 cm, the direct nerve repair between both ends could be an option as long as there is no tension. If the gap is greater or the nerve coaptation is under tension, nerve graft is a preferable option.

Our group most frequently employs the sural nerve as a donor autograft due to its well-known advantages. Although the morbidity of the sural nerve is considered minimal, it should be informed to the patients, an area of sensory loss of approximately the size of 5 to 6 cm is to be expected, and 20 to 30\% of the patients experienced minimal levels of pain, cold sensitivity, functional impairment, and scar discomfort.\textsuperscript{22}

Table 4

\begin{table}[h]
\centering
\begin{tabular}{|l|c|c|c|}
\hline
\textbf{Outcome} & \textbf{Female, n (\%)} & \textbf{Male, n (\%)} & \textbf{p-Value} \\
\hline
Movement restoration & & & \\
\textbullet CFNG > 2 y & 28 (80) & 0 (0) & 0.02 \\
\textbullet HNT > 2 y & 19 (100) & 2 (33.3) & 0.04 \\
\hline
Movement dissociation & & & \\
\textbullet Gracilis to masseteric & 31 (73.3) & 13 (25) & 0.02 \\
\hline
\end{tabular}
\caption{Comparison between genders of movement recovery in patients with CFNG performed with more than 2 years of evolution and of movement dissociation in patients reanimated using the masseteric nerve}
\end{table}

Abbreviations: CFNG, cross-face nerve graft; HNT, hypoglossal nerve transposition.

Note: Fisher’s exact test shows significantly higher recovery and dissociation in females.
After harvesting the nerve graft, it should be split in as many fascicules as the number of sectioned branches that need reconstruction, each of which will be connected to the transected facial nerve branches. On the other hand, the vascularization of the surrounded tissue must be confirmed with the aim to safeguard the viability of the nerve graft. In those cases where the sural nerve graft lays directly over a completely avascular bed, for example, after a radiation therapy or aggressive surgical resections, a superficial temporofascial flap should be harvested to wrap around the nerve circumferentially without tension or compression and sutured to the surrounding tissues to avoid damage from pulling, tearing, or retraction. Regarding oncologic adjuvant therapies, our group has demonstrated that the administration of brachytherapy during the immediate postoperative period followed by external beam radiotherapy does not affect the functional outcomes of facial nerve repair with interpositional grafts. When the proximal stump is not available, a CFNG or a masseteric transposition can be done.

**Cross-face nerve grafting:** The CFNG has been devised to help restore a smile that is apparently spontaneous and coordinated with the contralateral side. In these techniques, motor axons from the normal facial nerve are delivered to the contralateral paralyzed side. Despite the main advantages of this technique, it also has some disadvantages. First, two stages are required, although some authors have advocated a one-stage procedure. In this sense, Frey et al recommend one-stage CFNG with end-to-side coaptation distally on the recipient nerve of the paralyzed side, although the real advantages over traditional techniques remain to be investigated. Despite the aforementioned variation, we still prefer a two-stage approach to ensure that the axonal load grows exclusively from the healthy side to the affected side, especially important when reconstructing incomplete facial paralysis. Once Tinel’s sign is noted when tapping the paretic side, the second stage can be performed.

Another disadvantage of CFNG is that it needs a donor nerve, which, as we have explained, carries some morbidity. Furthermore, when a nerve graft is used, the axons must cross two sites of coaptation, which may result in suboptimal reinnervation of the target muscle. However, this loss of axons could be beneficial when reconstructing weak smiles, especially in the male population who develop spontaneity and dissociation at a lower rate when using nonfacial donor nerves. For this reason, CFNG is our technique of choice in these cases.

**Masseteric-to-facial transposition:** Masseteric-to-facial nerve transposition has gained increasing popularity in the reanimation of both complete and incomplete facial paralyses (►Fig. 2; ►Videos 1 and 2).

**Video 1**


**Video 2**

The same patient from video 1 after facial reanimation by masseteric nerve transfer at 12 months after surgery. Online content including video sequences viewable at: https://www.thieme-connect.com/products/ejournals/html/10-1055-s-0041-1722905.

The nerve of the masseter offers several advantages for facial reanimation. First, it is a one-stage procedure, in contrast with CFNG. Second, its strength of pull allows, on the one hand, reanimation of strong smiles and, on the other hand, acquisition of very good symmetry at rest and when smiling. Third, the proximity between the masseteric nerve and branches of the facial nerve eliminates the need for use a nerve graft, and thus reduces the morbidity of the donor site and avoids the loss of power that is attributed to the use of grafts when there are two coaptation sites. Lastly, the possibility of developing spontaneity, as noted above, with higher rates and earlier in women than in men. Thus,

**Fig. 2** A 51-year-old woman with right facial paralysis secondary to varicella zoster infection. Masseteric nerve transfer was performed for smile reanimation. Preoperative appearance at rest (A) and while smiling (B). Postoperative situation after 2 years, at rest (C) and while smiling (D).
when comparing the masseteric nerve versus hypoglossal nerve, we observed better results in the masseteric group than in the hypoglossal group (►Tables 5 and 6).

Furthermore, our working group has demonstrated the existence of cortical overlapping between smile and jaw-clenching cerebral areas in normal healthy volunteers. On the other hand, a study published in 2017 demonstrated a strong coactivation between the masseter muscle and the zygomaticus major, suggesting that the masseter nerve may be preferred in smile reanimation over other nonfacial nerves such as hypoglossal or spinal nerves. For this reason, masseteric-to-facial transposition has become our first-choice surgical technique in both incomplete and short-term complete facial paralyses for the female population, even in patients with weak smiles due to their brain plasticity and the capacity to modulate the strength of the pull to achieve symmetry.

**Gracilis transfer:** Longstanding unilateral facial paralysis is best addressed with free muscle transplantation to restore the face motion, because there is no viable facial musculature. Like other authors, the gracilis muscle is our preferred option. After harvesting the flap, the most powerful branch of the obturator nerve should be used for the nerve coaptation. If two or more branches have the same strength, we should include all of them in our nerve coaptation to ensure the correct reinnervation of the flap.

The position of the muscular flap should imitate the contralateral zygomaticus major muscle to obtain the same vector of the smile, thus the preoperative healthy side analysis is imperative. Although some variations and refinements have been described to improve the symmetry when using gracilis free flap, from our experience, recreating the same zygomaticus-modiolus vector as in the healthy side has optimal outcomes. Once the muscle is fixed in its position, the correct movement and position of both oral commissure and nasolabial fold should be checked by using a nerve stimulator. Neurotization can be obtained from the cross-facial or the masseteric nerve; however, the same distinction regarding the smile (weak or strong) and gender should be done to obtain excellent results (►Fig. 3; ►Videos 3 and 4).
trigeminal nerve, therefore the indication for this technique would be especially useful in women because of their higher rate of spontaneity when using a nonfacial nerve (Videos 5 and 6).

**Video 5**


**Video 6**

The same patient from video 5 after facial reanimation by lengthening temporalis myoplasty at 12 months after surgery. Online content including video sequences viewable at: https://www.thieme-connect.com/products/ejournals/html/10-1055-s-0041-1722905.

**Bilateral Facial Paralysis**

Bilateral facial paralysis is a rare clinical condition with an incidence of one per 5 million and an occurrence of 0.3 to 2% in facial paralysis cases, sometimes in the context of Möbius syndrome. Our preferred technique in bilateral cases is a bilateral-staged free gracilis transfer with a period of 6 months between the surgeries as reported by several authors. The flap is transferred to the face and fixed to the modiolus and zygomatic arch recreating the zygomaticus major muscle. In bilateral cases, there is no healthy side from which to reference the vector; therefore, a study in normal subjects has proposed a vector of 40° to 48° above the horizontal to be used in these patients.

Regarding the nerve source, since both facial nerves are damaged in bilateral cases, it is necessary to use other nerves such as the hypoglossal, the accessory nerve, or the masseter branch of the trigeminal nerve. Our preferred option is the masseteric nerve because it achieves a strong symmetrical smile, which is properly controlled by the patient, recreating a smile similar to a normal one. In addition, with practice it is possible to develop a spontaneous smile. Although the use of hypoglossal nerve has been relegated because of the morbidity caused by its loss, and is only used when the nerve to the masseter is not available, it should be considered as the first option as a donor motor nerve by performing an end-to-side coaptation with minimum repercussion in speech or swallowing.

Admittedly, the same technique should be done on both sides to achieve the maximum level of symmetry (Videos 7 and 8).

**Video 7**

Preoperative appearance of a 4-year-old girl with bilateral facial paralysis secondary to Möbius Syndrome. The patient presented an aberrant movement in the right side which was surgically denervated. Online content including video sequences viewable at: https://www.thieme-connect.com/products/ejournals/html/10-1055-s-0041-1722905.

**Video 8**

The same patient from video 7 after facial reanimation by a bilateral gracilis transfer innervated with masseteric nerve at 12 months after surgery. Online content including video sequences viewable at: https://www.thieme-connect.com/products/ejournals/html/10-1055-s-0041-1722905.
As we have explained previously, bilateral facial paralysis reconstruction requires two surgeries with a period of 6 months between them. However, our group has reported a Möbius syndrome case in which after unilateral reconstruction, the patient discovered what it was to smile and how it should be done, achieving a bilateral movement.52,53

**Static Facial Palsy Reconstruction**

When the patient is more than 70 years old and/or has several comorbidities, a static technique is indicated. Our preferred technique is to perform a suspension of the nasolabial fold and the oral commissure by a plantaris sling, although fascia lata and palmaris longus can also be used.54 This simpler technique achieves good symmetry at rest and it also solves the drooping of the oral commissure and enhances the oral competence (►Fig. 4).

In summary, considering the variety of techniques available, choosing the right technique for facial palsy reanimation in each type of patient is probably one of the most challenging aspects. To aid this decision making, we suggest a

![Algorithm for treating facial paralysis depending on the comorbidities, timing, patient’s gender, kind of smile, and paralysis type.](image)

**Fig. 4** A 74-year-old woman with right complete facial. Preoperatively (A) and postoperatively (B) after performing a static facial paralysis reconstruction.
Maximizing the Smile Symmetry in Facial Paralysis Reconstruction

LaFrance M, Hecht MA, Paluck EL. The contingent smile: a meta-analysis on the topic, in an attempt to bring us closer to the goal of reanimating unilateral, bilateral, or complete and incomplete facial palsies with the appropriate symmetry, synchrony, and spontaneity (→ Fig. 5).

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The authors of this article have not received any financial support.

Conflict of Interest
None declared.

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