Pharmacologic Thromboprophylaxis and Thrombosis in Hospitalized Patients with COVID-19: A Pooled Analysis

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Abstract

Background Coronavirus disease 2019 (COVID-19) increases thrombosis in hospitalized patients prompting adoption of different thromboprophylaxis strategies. Safety and efficacy of escalated-dose pharmacologic thromboprophylaxis are not established.

Objectives To determine the pooled incidence of thrombosis/bleeding in hospitalized patients with COVID-19 for standard-dose, intermediate-dose, therapeutic anticoagulation, and no pharmacologic thromboprophylaxis.

Methods MEDLINE, EMBASE, and Cochrane CENTRAL were searched up to August 29, 2020 for studies reporting pharmacologic thromboprophylaxis and thrombosis or bleeding. Pooled event rates were calculated using a random-effects model.

Results Thirty-five observational studies were included. The pooled incidence rates of total venous thromboembolism (N = 4,685) were: no prophylaxis 41.9% (95% confidence interval [CI]: 28.1–57.2, I² = 76%), standard-dose prophylaxis 19.8% (95% CI: 13.2–28.6, I² = 95%), intermediate-dose prophylaxis 11.9% (95% CI: 4.3–28.6, I² = 91%), and therapeutic-dose anticoagulants 10.5% (95% CI: 4.2–23.8, I² = 82%, p = 0.003). The pooled incidence rates of arterial thrombosis (N = 1,464) were: no prophylaxis 11.3% (95% CI: 5.2–23.0, I² = 0%), standard-dose prophylaxis 2.5% (95% CI: 1.4–4.3, I² = 45%), intermediate-dose prophylaxis 2.1% (95% CI: 0.5–7.7, I² = 45%), and therapeutic-dose anticoagulants 1.3% (95% CI: 0.2–8.8, I² = 0, p = 0.009). The pooled bleeding event rates (N = 6,393) were nonsignificantly higher in therapeutic-dose anticoagulants compared with standard-dose prophylaxis, (6.3 vs. 1.7%, p = 0.083).

Conclusion Thrombosis rates were lower in hospitalized COVID-19 patients who received pharmacologic thromboprophylaxis. Thrombosis and bleeding rates for patients receiving intermediate-dose thromboprophylaxis or therapeutic anticoagulation were similar to those who received standard-dose pharmacologic thromboprophylaxis.

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Introduction

The ongoing coronavirus disease 2019 (COVID-19) pandemic has affected over 20 million people globally since the emergence in December 2019 of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in China.\(^1\) It is now well recognized that patients with COVID-19 are predisposed to venous and arterial thromboses.\(^2\) Mechanisms linking the viral illness to the prothrombotic state are not fully elucidated but potential links include an immunothrombosis mediated through activated neutrophils and platelets, proinflammatory cytokine storm, complement activation, and endothelial injury.\(^3\)–\(^6\)

High rates of thrombosis in hospitalized patients with COVID-19 have been reported from centers across the world as the pandemic spread.\(^7\)–\(^11\) This has led to varying pharmacologic thromboprophylaxis use in cohorts of patients with COVID-19 based on rapidly changing societal guidance, institutional protocols from local expertise, and geographic patterns of practice.\(^12\)–\(^18\) Current national and international guidelines recommend universal pharmacologic thromboprophylaxis with subcutaneous low-molecular-weight heparin (LMWH) or unfractionated heparin in hospitalized patients.\(^12\)–\(^13\)\(^,\)\(^15\)\(^,\)\(^16\)\(^,\)\(^19\)–\(^25\) Institutional practices have varied based on individual and collective experience and range from standard prophylactic doses to full therapeutic anticoagulation strategies in select populations.\(^26\)\(^,\)\(^27\) Although randomized controlled studies evaluating different anticoagulation strategies are at various stages of development, there is no current consensus on best practices regarding the use of anticoagulation in this population to prevent thrombosis given the lack of high-quality prospective data.

Due to the current equipoise surrounding pharmacologic thromboprophylaxis in hospitalized patients with COVID-19 coupled with maturing observational data in the field, we conducted a systematic review and pooled analysis of studies reporting thrombotic (arterial or venous) events according to anticoagulation status. We compared summary thrombosis rates in hospitalized patients with COVID-19 stratified by anticoagulation dosing (none, standard dosage, intermediate dose, and full therapeutic anticoagulation) to assess the impact of anticoagulation on thrombosis and bleeding outcomes.

Methods

The study protocol is registered on PROSPERO (CRD42020203107). We followed the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) guidelines. The PRISMA 27-item checklist pertaining to the content of a systematic review and meta-analysis is presented in Supplementary Table S1 (available in the online version).

Data Sources and Search Strategies

We searched MEDLINE, EMBASE, and Cochrane CENTRAL from inception to August 29, 2020. The following search terms were used: ("thrombosis" OR "thromboembolism" OR "acute coronary syndrome" OR "stroke") AND ("Novel coronavirus 2019" OR "COVID-19" OR "SARS-CoV-2" OR “2019-nCoV”). No language restriction was applied. Reference lists of relevant studies and review articles were screened for potentially eligible studies.

Study Selection

Three authors (T.C., R.P., and E.B.) independently searched the literature, screened titles and abstracts, and reviewed full texts to identify potentially eligible studies. Disagreements were resolved by consensus or a fourth reviewer (J.I.Z.) when necessary. Eligible studies were randomized controlled trials, retrospective and prospective observational studies, or case series of adults (aged ≥18 years) hospitalized with SARS-CoV-2 infection, which were confirmed by a standardized test or clinical criteria. Studies were required to report the pharmacologic thromboprophylaxis strategies that were used in the study cohort as well as the thrombosis rates and/or bleeding rates within each group. Studies were excluded if they were secondary publications (such as commentaries, editorials, and reviews), enrolled fewer than 10 patients, or published in language other than English. If multiple studies used the same or overlapping samples, we included only the one with the largest sample size in the quantitative analysis.

Data Extraction

Three authors (T.C., R.P., and E.B.) independently extracted data from included studies in duplicate using a standardized evidence table. Discrepancies were resolved by consensus or a fourth reviewer (J.I.Z.) when necessary. Pharmacologic thromboprophylaxis strategies were categorized into the following four groups according to the anticoagulation dosage: (1) No prophylaxis, (2) Standard-dose prophylaxis (enoxaparin 40 mg per day or equivalent dosing of other anticoagulant including other LMWH, unfractionated heparin, or direct oral anticoagulant [DOAC]), (3) Intermediate-dose prophylaxis (weight-adjusted, double-dose prophylaxis, or any dosage that is greater than the standard dose and lower than the therapeutic-dose anticoagulants), and (4) Therapeutic-dose anticoagulants (enoxaparin 1 mg/kg twice daily or 1.5 mg/kg once daily or equivalent dosages of other anticoagulants including other LMWH, unfractionated heparin, or DOAC). The primary outcome was the rate of symptomatic or asymptomatic venous thromboembolism (VTE; lower and upper extremity deep vein thrombosis [DVT], pulmonary embolism, and catheter-associated thrombosis) in each pharmacologic thromboprophylaxis group. The secondary outcomes were the rates of arterial thrombosis (acute coronary syndrome and cerebrovascular accidents), bleeding, and overall mortality. Bleeding events were extracted as defined by individual studies. The following data were collected: authors, year of publication, country of study, study design, inclusion and exclusion criteria, baseline characteristics of participants, pharmacologic thromboprophylaxis strategies and regimen, number of participants in each group, methods and timing of VTE assessments, use of screening for asymptomatic DVT, definition of bleeding, and rates of the primary and secondary outcomes in each group.
Quality Assessment
Methodological quality assessment of included studies was performed independently by three authors (T.C., R.P., and E.B.) using the validated methodological index for nonrandomized studies (MINORS) quality score at the study level. MINORS included eight and 12 methodological items for noncomparative studies and comparative studies, respectively. Each item was scored 0 if not reported; 1 when reported but inadequate; and 2 when reported and adequate. The global ideal score was 16 for noncomparative studies and 24 for comparative studies. Included studies were classified according to the overall MINORS score as having low, moderate, or high risk of bias (<9). Any differences in quality rating were resolved by consensus or adjudication by a fourth reviewer (J.I.Z.).

Statistical Analysis
Data analysis was performed using Comprehensive Meta-analysis (version 3.0, Eaglewood, New Jersey, United States). Pooled event rates of VTE, arterial thrombosis, and bleeding with 95% confidence intervals (CIs) were calculated by the DerSimonian and Laird method using a random-effects model. A Cochrane Q test was used to assess the difference between pharmacologic thromboprophylaxis strategy groups. A p-value of <0.05 was considered significant for between-group heterogeneity. Interstudy heterogeneity was evaluated using the Cochran Q test and I² statistic. A Cochran Q test p-value of <0.05 was considered significant for interstudy heterogeneity. An I² value of ≤25% represents insignificant heterogeneity, 26 to 50% low heterogeneity, 51 to 75% moderate heterogeneity, and >75% high heterogeneity. Prespecified subgroup and sensitivity analyses were performed in patients requiring intensive care and in studies using screening ultrasonography to detect asymptomatic VTE. The presence of publication bias was assessed using funnel plots of event rates versus standard error and Egger’s regression test, for which a p-value of <0.1 was considered significant for publication bias.

Results
Study Identification
The PRISMA flow diagram is shown in Fig. 1. A total of 2,458 records were retrieved from the literature search. After screening by title and abstract, 2,372 records were excluded. The remaining 86 references underwent full-text review, 35 of which met eligibility criteria and were included in the analysis. These 35 studies collectively enrolled 10,857 patients diagnosed with SARS-CoV-2 infection. No additional eligible studies were identified by screening the reference

Fig. 1 PRISMA diagram.
lists of included studies. A total of 4,685 patients were analyzed for VTE, 1,464 patients for arterial thrombosis, and 6,393 patients for bleeding outcomes. All 35 studies were observational studies. We did not identify any randomized controlled trial that met the eligibility criteria.

**Study Characteristics and Quality Appraisal**

The characteristics of included studies and the methodological quality assessment are summarized in [Supplementary Tables S1 and S2](available in the online version).

Of the 35 observational studies included, four were prospective[9,29–31] and the remaining 30 were cross-sectional and retrospective studies.[7,11,30,32–58] All studies enrolled hospitalized patients with confirmed diagnosis of COVID-19; 11 focused on intensive care unit (ICU) population.[7,9,11,29,30,38,40,42,48,50–53] The total numbers of studies reporting the incidence of VTE or bleeding in patients receiving no prophylaxis, standard-dose prophylaxis, intermediate-dose prophylaxis, and therapeutic-dose anticoagulants were 7, 32, 8, and 13, respectively. Anticoagulants used for pharmacologic thromboprophylaxis included unfractionated heparins, LMWH, and DOACs. All studies were conducted during January and May 2020. Systematic screening for asymptomatic DVT was performed in 16 studies.[7,9,11,29,30,33,35–37,40,42,48–50,53,55,58] Among the included studies, 6 studies reported bleeding events: 2 utilized the International Society of Thrombosis and Hemostasis bleeding criteria[56,59], 1 utilized the World Health Organization bleeding grading system[32]; 1 defined clinically significant bleeding as any bleeding requiring or resulting in red cell transfusion, cessation of anticoagulation, or administration of reversal agents[54]; and 2 did not specify the definition for bleeding events.[35,57]

For quality appraisal, 31 studies (89%) scored 11 to 13 out of the 16 ideal global score for MINORS index. Scores were deducted from the items “unbiased assessment of the study endpoint” and “prospective calculation of the study size” in all studies. None of the included studies reported blinding of VTE assessors from COVID-19 status or pharmacologic thromboprophylaxis regimens. Eleven and 24 studies were classified as having low and moderate risk of bias, respectively. None of the studies included were classified as having high risk of bias.

**Pharmacologic Thromboprophylaxis Strategies and Incidence of VTE**

The pooled incidence of total VTE according to the pharmacologic thromboprophylaxis received were as follows: no prophylaxis 41.9% (95% CI: 28.1–57.2, \( I^2 = 76\% \)), standard-dose prophylaxis 19.8% (95% CI: 13.2–28.6, \( I^2 = 95\% \)), intermediate-dose prophylaxis 11.9% (95% CI: 4.3–28.6, \( I^2 = 91\% \)), and therapeutic-dose anticoagulants 10.5% (95% CI: 4.2–23.8, \( I^2 = 82\% \)) (p-value for between-group heterogeneity = 0.003; [Fig. 2](available in the online version) and [Table 1](available in the online version)). Pair-wise comparison revealed a significant difference between no prophylaxis versus standard-dose prophylaxis (\( p = 0.007 \)), no prophylaxis versus intermediate-dose prophylaxis (\( p = 0.009 \)), and no prophylaxis versus therapeutic-dose anticoagulants (\( p = 0.002 \)). Notably, there was no significant difference in the pair-wise comparison between standard-dose prophylaxis versus intermediate-dose prophylaxis (\( p = 0.32 \)) or therapeutic-dose anticoagulants (\( p = 0.18 \)). Funnel plots ([Supplementary Fig. S1A](available in the online version)) and Egger’s regression asymmetry tests were performed to evaluate for publication bias. The funnel plot was symmetrical upon visual examination and there was no significant publication bias (\( p = 0.18 \)).

**Pharmacologic Thromboprophylaxis Strategies and Incidence of Arterial Thrombosis**

The pooled incidence rates of arterial thrombosis according to the pharmacologic thromboprophylaxis received were as follows: no prophylaxis 11.3% (95% CI: 5.2–23.0, \( I^2 = 0\% \)), standard-dose prophylaxis 2.5% (95% CI: 1.4–4.3, \( I^2 = 45\% \)), intermediate-dose prophylaxis 2.1% (95% CI: 0.5–7.7, \( I^2 = 45\% \)), and therapeutic-dose anticoagulants 1.3% (95% CI: 0.2–8.8, \( I^2 = 0 \)) (p-value for between-group heterogeneity = 0.009; [Table 1](available in the online version) and [Supplementary Fig. S2](available in the online version)). Pair-wise comparison revealed significant difference between no prophylaxis versus standard-dose prophylaxis (\( p = 0.002 \)), intermediate-dose prophylaxis (\( p = 0.03 \)), and therapeutic-dose anticoagulants (\( p = 0.04 \)). There was no significant difference in the pair-wise comparison between standard-dose prophylaxis versus intermediate-dose prophylaxis (\( p = 0.81 \)) or therapeutic-dose anticoagulants (\( p = 0.54 \)). The funnel plot was symmetrical upon visual examination ([Supplementary Fig. S1B](available in the online version)) and there was no significant publication bias (\( p = 0.50 \)).

**Pharmacologic Thromboprophylaxis Strategies and Incidence of Bleeding**

Among the limited number of studies where data were available, bleeding events were numerically higher in therapeutic-dose anticoagulants compared with standard-dose prophylaxis (6.3 vs. 1.7%; [Table 1](available in the online version) and [Supplementary Fig. S3](available in the online version)). The funnel plot was symmetrical upon visual examination ([Supplementary Fig. S1C](available in the online version)) and there was no significant publication bias (\( p = 0.61 \)).

**Pharmacologic Thromboprophylaxis Strategies and Overall Mortality**

The pooled rates of overall mortality were 23.1% (95% CI: 4.3–67.1, \( I^2 = 96\% \)) in the no prophylaxis group and 21.2% (95% CI: 17.3–25.7, \( I^2 = 57\% \)) in the standard-dose prophylaxis group. There was one study that reported overall mortality rate in the intermediate-dose prophylaxis group (21.0%, 95% CI: 14.2–29.8), and one study in therapeutic-dose anticoagulants group (16.8%, 95% CI: 15.0–18.8). There was no significant difference among the pharmacologic thromboprophylaxis strategies (p-value for between-group heterogeneity = 0.19; [Table 1](available in the online version) and [Supplementary Fig. S4](available in the online version)).

**Subgroup and Sensitivity Analyses**

A prespecified subgroup analysis was performed in only patients in the ICU ([Supplementary Table S4](available in the online version)). There was no significant difference in the
total VTE rate among the pharmacologic thromboprophylaxis strategy groups. Of note, the pooled incidence of total VTE was markedly higher in the ICU population (30.7–45.5%) compared with the non-ICU counterpart (3.0–7.3%), regardless of pharmacologic thromboprophylaxis strategies received. Similarly, in a sensitivity analysis that included only studies that performed a systematic screening for DVT by ultrasound, there was no significant difference in the total VTE rate among the pharmacologic thromboprophylaxis strategy groups (>Supplementary Table S4, available in the online version). Additional sensitivity analysis included studies that were judged to have a low risk of bias (based on a MINORS score of 13–16) and studies that explicitly stated that only thrombotic outcomes based on imaging studies.
were included and had similar results (Supplementary Table S4, available in the online version). Notably several of these sensitivity analyses had limited numbers in the various categories limiting conclusions.

To further explore the source of heterogeneity, we performed sensitivity analyses according to the studies' geographic location (Europe, North America, and China; Supplementary Fig. S5 and Supplementary Table S5, available in the online version). However, high statistical heterogeneity persisted even within regions.

**Discussion**

In this pooled analysis that included 35 studies, we observed that the pooled incidence rates of VTE that were approximately 50% lower in patients receiving standard-dose pharmacologic thromboprophylaxis than in those who did not receive pharmacologic thromboprophylaxis. Compared with standard-dose prophylaxis, both intermediate and therapeutic anticoagulation were associated with lower pooled VTE rates and higher pooled bleeding rates, although the differences did not reach statistical significance. In a recently published systematic review of prophylactic anticoagulants in hospitalized patients with COVID-19, which only included studies that compared pharmacologic thromboprophylaxis with an active comparator, placebo, or no treatment, seven retrospective nonrandomized studies (5,929 participants) were identified. The reduction of all-cause mortality with prophylactic anticoagulants compared with no prophylaxis was inconsistent among the included studies and the analyses of VTE events were not performed due to the lack of data. Using a pooled analysis, our study quantifies and compares the estimates of these outcomes.
according to the dosage of pharmacologic thromboprophylaxis, and therefore, adding value to the existing literature.

This pooled analysis suggests that standard pharmacologic thromboprophylaxis is effective in preventing total venous thrombotic events during hospitalization compared with no anticoagulation and supports current societal guidance that recommends at least a universal pharmacologic thromboprophylaxis for all hospitalized patients with COVID-19. SARS-COV-2 infection is also associated with an increased risk of arterial thrombotic events including ischemic strokes.61 We found that compared with patients who received no pharmacologic thromboprophylaxis, rates of arterial events were significantly lower in patients treated with any form of anticoagulant therapy, suggesting that the potential benefit of pharmacologic thromboprophylaxis may extend beyond that of preventing VTE.

Given the high rates of thrombosis despite standard-dose pharmacologic thromboprophylaxis, numerous institutions have operationalized protocols that include dose escalation to intermediate dosing (including weight-based or twice-daily dosing of LMWH) or therapeutic anticoagulation. Considerable practice variation exists regarding the indication for dose escalation.62 ICU admission, obesity, presence of additional VTE risk factors, and high D-dimer are among the reasons that lead physicians to escalate to therapeutic-dose anticoagulation. In our pooled analysis, we included eight studies with a total of 458 patients who received intermediate-dose prophylaxis. In such studies, the pooled total VTE trended lower than that of the 28 studies that reported on standard pharmacologic thromboprophylaxis (11.9 vs. 19.6%) but was not statistically significant. Interestingly, the pooled rates of VTE reported for intermediate versus therapeutic dosing were similar, suggesting that there may be little additive benefit beyond a threshold dose of pharmacologic thromboprophylaxis.

Recent reports suggest high rates of hemorrhage among hospitalized patients with COVID-19.10 We noted a high rate of hemorrhage among the nonanticoagulant group, which potentially is a reflection of baseline hemorrhagic risk that influenced the decision to not administer pharmacologic thromboprophylaxis. Other explanations may include the difference in health care settings and the bleeding definitions and grading systems that were used in each study. The overall incidence of major hemorrhage among patients receiving therapeutic heparin was greater than 6%, which is approximately fivefold higher than what is typically reported in primary pharmacologic thromboprophylaxis trials in hospitalized patients and approximately threefold higher than the pooled rates for standard and intermediate-dose prophylaxis in this analysis.

Critically ill patients are at increased risk of thrombosis compared with other hospitalized patients, at least in part from a constellation of prothrombotic risk factors such as prolonged immobilization and hyperinflammatory states. Among hospitalized patients with COVID-19, thrombosis rates are significantly higher in patients requiring critical care compared with ward-level care within a general medical area. In theory, a dosage higher than the standard anticoagulation dosage for pharmacologic thromboprophylaxis would have the greatest impact among critically ill patients. However, in a subgroup analysis limited to ICU patients, none of the strategies were associated with preventing total venous thrombotic events.

Limitations
There are several limitations to this study, some of which are intrinsic to observational and retrospective cohort studies that were included which are prone to biases by design. Due to the lack of randomization, selection bias may result from the potential imbalances in the baseline characteristics of patients receiving different pharmacologic thromboprophylaxis regimens. Reports spanned the globe which may be beneficial in terms of generalizability but also likely contributed to high statistical heterogeneity. Other factors contributing to statistical heterogeneity included diverse populations, methods of COVID-19 diagnosis (standardized test vs. clinical criteria), severity of disease, institutional practices, and outcome measurement (such as definition of primary outcomes, inclusion of only symptomatic vs. asymptomatic events detected by systematic screening in some reports, and lack of blinding to treatments received, given majority of diagnoses were made in the clinical setting and duration of hospitalization). Several sensitivity subgroup analyses were performed to address the heterogeneity observed and the differences in outcomes’ definitions, which are included in “Supplementary Tables S4 and S5 (available in the online version). Some of the groups are small, which limits interpretation but overall trends are consistent with the main analysis. Although anticoagulation strategies were grouped as standard, intermediate, and therapeutic dosing levels, each category included different therapeutic agents and varying algorithms. For instance, intermediate-dose LMWH included both weight-based subtherapeutic strategies and twice-daily fixed dosing. The lack of granularity in data available and inadequate numbers do not permit comparing these strategies separately in a meaningful manner. Finally, we acknowledge that the subgroups are unbalanced, which may lead to challenges in statistical comparison. Thus, despite inclusion of approximately 800 patients receiving intermediate or therapeutic dosing regimens, insufficient power (compounded by interstudy heterogeneity) precludes definitive conclusions regarding relative efficacy or safety differences between these two approaches.

Conclusion
This pooled analysis showed that hospitalized patients with COVID-19 treated with standard-dose pharmacologic thromboprophylaxis have lower rates of thrombosis compared with those receiving no pharmacologic thromboprophylaxis, and supports current societal guideline recommendations. In this analysis, higher dosing regimens of pharmacologic thromboprophylaxis (i.e., intermediate or therapeutic dosage) were not associated with lower in-hospital thrombotic events compared with standard-dose prophylaxis. This is especially important considering the safety profile of intermediate-dose prophylaxis and therapeutic anticoagulation relative to standard-dose.
prophylaxis in hospitalized patients with COVID-19 is also not established. Multiple clinical trials are at different stages of development to examine the role of escalated doses of pharmacologic thromboprophylaxis in patients with COVID-19 (NCT04359277, NCT04345848, and NCT04344756). Until randomized and prospective data are available, this study provides estimates of benefits and risks with anticoagulation that can inform shared decision making with patients and practice decisions.

What is known about this topic?
- Novel coronavirus disease 2019 is associated with increased rates of venous and arterial thromboses in patients hospitalized with acute illness.
- Efficacy and safety of therapeutic or intermediate dosing of in-hospital thromboprophylaxis relative to standard dosing are not established.

What does this paper add?
- Rates of thrombosis are lower in hospitalized patients with COVID-19 receiving pharmacologic thromboprophylaxis compared with those who did not receive any anticoagulant treatment.
- Rates of thrombosis and bleeding for patients receiving intermediate-dose pharmacologic thromboprophylaxis or therapeutic anticoagulation were similar to those treated with standard-dose pharmacologic thromboprophylaxis.
- Data from randomized controlled trials are needed to determine the relative efficacy and safety profiles of the individual strategies for pharmacologic thromboprophylaxis.

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Conflict of Interest
J.I.Z. reports research funding from Incyte and Querçegen; consultancy for Sanoﬁ, CSL, and Parexel; and having been a member of honoraria/advisory boards of Pfizer/BMS, Portola, and Daiichi. R.P., T.C., and E.B. have no disclosures.

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