

# Hypercoagulopathy in Severe COVID-19: Implications for Acute Care

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## Abstract

### Keywords

- anti-coagulation
- coagulopathy
- immunothrombosis
- severe acute respiratory syndrome
- venous thrombosis

COVID-19 was first described in late 2019 and has since developed into a pandemic affecting more than 21 million people worldwide. Of particular relevance for acute care is the occurrence of COVID-19-associated coagulopathy (CAC), which is characterised by hypercoagulability, immunothrombosis and venous thromboembolism, and contributes to hypoxia in a significant proportion of patients. This review describes diagnosis and treatment of CAC in the emergency department and in intensive care. We summarise the pathological mechanisms and common complications of CAC such as pulmonary thrombosis and venous thromboembolic events and discuss current strategies for thromboprophylaxis and therapeutic anti-coagulation in the acute care setting.

## Introduction

In late 2019, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) was identified as the cause of the global coronavirus disease 2019 (COVID-19) pandemic.<sup>1,2</sup> In patients with COVID-19, higher than expected rates of venous and arterial thrombosis have been reported.<sup>3–7</sup> As a consequence, the prognostic role of biochemical markers of coagulopathy, the need for adequate clinical suspicion of the presence of venous thromboembolism (VTE) and the role and optimal doses of anti-coagulant drugs have become a matter of intense discussion and of particular relevance in the emergency department (ED) and in intensive care units (ICUs).

Since the first reports of overt disseminated intravascular coagulation (DIC) in non-survivors of COVID-19,<sup>8</sup> it has become clear that the biochemical coagulation phenotype of patients with COVID-19 differs to that observed in patients with sepsis-induced coagulopathy (SIC).<sup>8–10</sup> Therefore, the

term COVID-19-associated coagulopathy (CAC) has been coined to describe the unique changes in haemostasis and fibrinolysis observed in SARS-CoV-2 infection.<sup>11</sup> CAC has been characterised clinically,<sup>3,12</sup> radiologically,<sup>4–6</sup> and on autopsy.<sup>13–17</sup> Coagulopathy is, however, clearly not unique to COVID-19 and micro- and macrovascular thrombi are common in critically ill patients.<sup>18</sup>

## Prevalence of VTE in Patients with COVID-19

A striking feature of COVID-19 is the high incidence of pulmonary and extrapulmonary thrombosis observed in patients with severe disease (► **Table 1**). Depending on investigations performed, follow-up time documented and thromboprophylactic regimens used, the reported incidence of VTE varies between 6 and 86%, with most trials reporting prevalences between 15 and 40%. This is significantly higher than described for non-COVID-related critical illness where there is a VTE prevalence between 5 and 30%.<sup>3,4,19–26</sup> The

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**Table 1** Reported prevalence of venous thromboembolism in critically ill patients with COVID-19

Author	Country	Number of ICU patients	Imaging strategy	Follow-up time	Combined VTE prevalence	PE prevalence	DVT prevalence	Thromboprophylaxis	Bleeding rate
Al-Samkari et al <sup>112</sup>	USA	3239	Clinical suspicion	14 days	6.3%	Not reported	Not reported	88% prophylaxis, 12% anti-coagulation	2.8%
Bompard et al <sup>113</sup>	France	24	Clinical suspicion	Median 7 days from symptom onset	Not reported	50.0%	Not reported	Intermediate dose LMWH	Not reported
Cui et al <sup>26</sup>	China	81	Ultrasound screening	Not reported	Not reported	Not reported	25%	Nil	Not reported
Desborough et al <sup>28</sup>	UK	79	Clinical suspicion	28 days	15%	8%	9%	83% prophylaxis, 17% anti-coagulation	10.6%
Fraissé et al <sup>95</sup>	France	41	Clinical suspicion	Not reported	40.0%	27%	13%	47% prophylactic, 53% anti-coagulation	20%
Grillet et al <sup>114</sup>	France	39	All 'with features of severe disease'	Median 12 days from symptom onset	Not reported	43.6%	Not reported	Not reported	Not reported
Hékimian et al <sup>115</sup>	France	51	Clinical suspicion	Not reported	Not reported	16%	Not reported	Not reported. 7 of 8 patients with PE had received prophylaxis	Not reported
Helms et al <sup>3</sup>	France	150	Clinical suspicion	Median 5 days ICU after admission	18%	16.7%	2%	70% prophylactic, 30% anti-coagulation	2.7%
Hippensteel et al <sup>116</sup>	USA	91	Clinical suspicion	Entire hospital admission	26.1%	5.5%	12.1%	54.3% full anti-coagulation	Not reported
Klok et al <sup>4</sup>	Netherlands	184	Clinical suspicion	Median 7 days	31%	13.6%	1.6%	100% received at least prophylaxis	Not reported
Léonard-Lorant et al <sup>117</sup>	France	48	Clinical suspicion of PE in 63%; rest 'other'	Not reported	Not reported	50%	Not reported	Not reported for ICU, 46% at least prophylaxis for total	Not reported
Llütjos et al <sup>6</sup>	France	26	Ultrasound screening	7 days	69%	23%	69%	31% prophylactic, 69% anti-coagulation	Not reported

(Continued)

**Table 1** (Continued)

Author	Country	Number of ICU patients	Imaging strategy	Follow-up time	Combined VTE prevalence	PE prevalence	DVT prevalence	Thromboprophylaxis	Bleeding rate
Lodigiani et al <sup>118</sup>	Italy	48	Clinical suspicion	Median 12 days	8.3%	4.2%	4.2%	Prophylaxis 69%; higher dose in 31%	0%
Longchamp et al <sup>119</sup>	Switzerland	25	Ultrasound screening	10 days	32%	20%	24%	100% prophylaxis	Not reported
Maatman et al <sup>120</sup>	USA	109	Clinical suspicion	Mean 8 days	28%	4.6%	27.5%	100% prophylaxis	Not reported
Mei et al <sup>121</sup>	China	45	Ultrasound screening if Padua score > 4	Not reported	6.7%	Not reported (CTPA not performed in COVID group)	Not reported	100% prophylaxis (chemical or mechanical)	Not reported
Middeldorp et al <sup>5</sup>	Netherlands	75	Clinical suspicion	Median 7 days	47%	15%	32%	100% received at least prophylaxis	Not reported
Nahum et al <sup>122</sup>	France	34	Ultrasound screening	48 hours	Not reported	Not reported	79% (26% proximal)	100% prophylaxis	Not reported
Poissy et al <sup>27</sup>	France	107	Clinical suspicion	15 days	Not reported	20.6%	4.6%	91% prophylaxis, 9% anti-coagulation	Not reported
Ren et al <sup>123</sup>	China	48	Ultrasound screening	Median 23 days	85.4%	Not reported	85.4% (10.4% proximal)	98% prophylaxis	Not reported
Shah et al <sup>124</sup>	UK	187	Clinical suspicion	Duration of ICU admission (all > 10 days)	43.3%	22.5%	11.8%	95% on at least prophylaxis; intermediate dosing used halfway through study period	4.8% major bleeding
Spiezia et al <sup>46</sup>	Italy	22	Not reported	Not reported	Not reported	Not reported	23%	All cases of DVT received prior thromboprophylaxis	Not reported
Thomas et al <sup>125</sup>	UK	63	Clinical suspicion	Median 8 days	29%	7.9%	0%	100% prophylaxis	Not reported
Voicu et al <sup>126</sup>	France	56	Ultrasound screening	Median 8 days	Not reported	Not reported	46% (23% proximal)	100% prophylaxis	Not reported

Abbreviations: CTPA, computed tomography pulmonary angiogram; DVT, deep vein thrombosis; ICU, intensive care unit; LMWH: low molecular weight heparin, PE, pulmonary embolism; VTE, venous thromboembolism.

direct comparison of studies describing the incidence of VTE is limited by the various thromboprophylactic regimes used, by differences in patient populations and by the differing imaging modalities used to establish a diagnosis of VTE.

A few studies have compared patients with COVID-19 to historical cohorts of patients with acute respiratory distress syndrome (ARDS) or to critically ill patients with bacterial or viral pneumonia. Helms et al describe an almost sixfold increase in the occurrence of pulmonary embolism (PE) in patients with COVID-19 ARDS compared with non-COVID-19 ARDS (11.7 vs. 2.1%,  $p < 0.008$ ).<sup>3</sup> Poissy et al report PE rates three times as high in patients with COVID-19 compared with an unselected historic cohort of critically ill patients (20.6% vs. 6.1%). Compared with patients with confirmed influenza, the prevalence of PE in patients with COVID-19 was still significantly higher, indicating a difference between CAC and the coagulopathy found in other viral pneumonias.<sup>27</sup> Of interest, in the same study a low incidence of deep vein thrombosis (DVT) was observed, suggesting primary pulmonary thrombosis rather than embolism of venous thrombi as the primary cause of pulmonary vessel occlusion.<sup>27</sup> Desborough et al postulate that studies overestimate the number of PEs by labelling segmental and sub-segmental thromboses as emboli.<sup>28</sup> This concept is supported by imaging studies<sup>29</sup> which demonstrate that pulmonary thromboses in infected lung parenchyma are smaller and more peripherally located, suggesting that immunothrombosis is more likely to be the causative mechanism of CAC than the typical embolisation of peripheral DVTs to the lungs.

## Diagnosis of CAC

### Biochemical Markers

The diagnosis of COVID-19 is made based on a combination of clinical, laboratory and imaging results (► **Table 2**). Bio-

markers not only serve to identify patients with concomitant organ system failures as a marker of poor prognosis,<sup>30</sup> but also correlate with the severity of COVID-19.<sup>9</sup> Typical patterns of laboratory results in patients with COVID-19 have been described and are used to direct management strategies in the ED. C-reactive protein, absolute lymphocyte count and other markers of inflammation such as ferritin are independent predictors of disease severity and mortality.<sup>1,31</sup>

Increased D-dimer levels are associated with the need for hospitalisation and ICU admission,<sup>8,32</sup> reflect the severity of disease and predict outcomes.<sup>8,33–39</sup> Prothrombin time (PT) was found to be modestly prolonged at admission in non-survivors and in patients requiring ICU admission, but not in those not requiring ICU admission.<sup>8</sup> Some studies have reported significantly lower platelet levels in patients with more severe disease and have demonstrated a correlation between thrombocytopenia and mortality.<sup>40</sup>

Given the associations between coagulation test results and patient outcomes, routine measurement of D-dimer, PT and platelet count are widely recommended in all patients with suspected SARS-CoV-2 infection to identify those at higher risk of adverse outcome, including a worsening coagulopathy.

In severe COVID-19, and in particular during the later stages of the disease, normal or mildly reduced platelet counts,<sup>40</sup> high fibrinogen levels<sup>41</sup> and mildly elevated PTs have been noted. In contrast, SIC is characterised by severe thrombocytopenia,<sup>42,43</sup> PT prolongation<sup>44</sup> and hypofibrinogenaemia.<sup>45</sup>

Several studies have indicated that CAC differs from SIC. Patients with acute respiratory failure due to COVID-19 present with severe hypercoagulability, characterised by fibrin formation and polymerisation rather than a consumptive coagulopathy.<sup>3,46</sup> Drastically increased thrombin production has been observed, as evidenced by up to 10-fold increased prothrombin fragments 1 + 2 concentrations.<sup>47</sup>

**Table 2** Summary of main clinical signs and symptoms, laboratory and imaging findings of patients with COVID-19 upon admission to the emergency department

		Main findings	Other findings
Clinical manifestations	Mild	Non-specific symptoms (fever, cough, sputum production, fatigue, dyspnoea)	Diarrhoea, skin rash, conjunctivitis
	Severe	Respiratory failure, ARDS, sepsis, shock	Myocarditis, acute MI, exacerbation of heart failure, AKI, neurological complications
Laboratory findings		Elevated CRP, LDH, fibrinogen, ferritin and D-dimer levels; eosinopaenia; lymphocytopenia; thrombocytopenia	Elevated transaminases; anaemia; modest PT prolongation
Imaging findings	CXR	Often inconclusive, bilateral infiltrates	
	CT	Bilateral and multi-lobe ground glass opacities; sub-pleural consolidations	Vascular enlargement; inter-lobe septal thickening; crazy-paving pattern
	LUS	Separate and confluent B lines; thickening and irregularity of the pleura; bilateral consolidation	Pleural effusions

Abbreviations: AKI, acute kidney injury; ARDS, acute respiratory distress syndrome; CRP, C-reactive protein; CT, computed tomography; CXR, chest X-ray; LDH, lactate dehydrogenase; LUS, lung ultrasound; MI, myocardial infarction; PT, prothrombin time.

Interestingly, in survivors, thrombin generation significantly decreased at follow-up, whereas it remained stable or increased in non-survivors.<sup>47</sup> Similarly, fibrin generation is massively activated in patients with acute respiratory failure due to COVID-19 infection, with several studies highlighting the markedly elevated fibrinogen levels in critically ill patients.<sup>46–48</sup>

In contrast, SIC is characterised by a consumptive picture, including low platelet counts, decreased plasma levels of clotting factors and prolonged PT.<sup>49</sup> Excessive activation of plasminogen activator inhibitor-1 reflects vascular endothelial cell dysfunction and leads to fibrinolytic shutdown.<sup>49</sup> Neither platelet nor clotting factor consumption are commonly observed in CAC,<sup>50</sup> which emphasises the different pathomechanisms underlying these syndromes. Not surprisingly, compared with patients with SIC, the *International Society for Thrombosis and Haemostasis* DIC scores are lower in patients with COVID-19.<sup>51</sup>

### Imaging

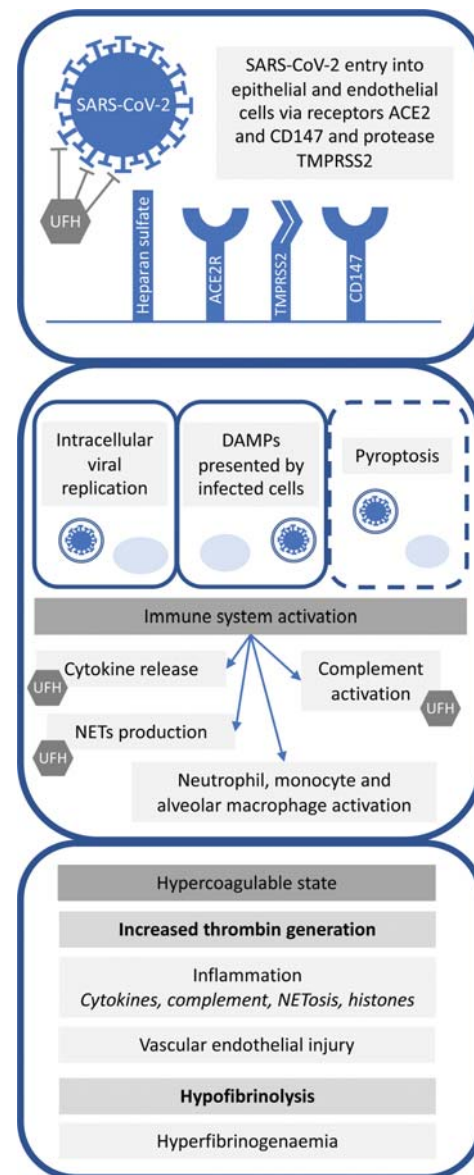
Imaging represents a cornerstone of diagnosis in COVID-19 in the ED setting. This is of particular relevance in light of the not negligible rate of false negative real-time reverse transcriptase-polymerase chain reaction tests.<sup>52</sup> Lung ultrasound (LUS) has rapidly become the first diagnostic approach used at the bedside.<sup>7,53–55</sup> LUS is not only used in the ED as a screening tool for patients with suspected SARS-CoV-2 infection, but also for monitoring during their hospital admission. Transthoracic echocardiography for patients with elevated cardiac enzymes<sup>55</sup> or to assess for right heart strain where computed tomography pulmonary angiogram is not feasible and compression ultrasound of the veins of the limbs for patients with a suspected DVT, represent other important applications of bedside ultrasonography in patients with COVID-19.<sup>7</sup> Of note, the prevalence of asymptomatic DVT detected by ultrasound testing upon admission at the ED was found to be low in patients with COVID-19 and routine screening is not considered cost-effective.<sup>56</sup>

## Pathological Mechanisms

### COVID-19 and ARDS

While COVID-19-related lung disease is mild in the vast majority of patients, progression to ARDS and multi-organ dysfunction is observed in up to 15% of cases.<sup>57</sup> Mild, moderate and severe forms of ARDS often involve acute-phase diffuse alveolar damage, which is characterised by exudates and fibrin accumulation in the lung alveoli.<sup>58</sup> Clinically, pulmonary micro- and macrothrombosis are leading features of COVID-19-induced ARDS, affecting mainly small- to medium-sized arteries.<sup>16</sup> Fibrin deposits and thrombus formation clog intrapulmonary vessels and contribute to ventilation/perfusion mismatch. As a consequence, hypoxic respiratory failure requiring non-invasive or invasive respiratory support is the most common reason for admission to ICU.

Angiotensin-converting enzyme 2 (ACE2), a metallopeptidase, acts as a functional co-receptor for coronavirus entry (→ Fig. 1). ACE2 is present in the epithelium of nose, mouth



**Fig. 1** Overview of pathological mechanisms underlying COVID-19 infection, immune activation and coagulopathy. SARS-CoV-2 has been found within epithelial and endothelial cells, which it enters by binding to CD147 receptors and ACE2 receptors with the assistance of type 2 transmembrane serine protease (TMPRSS2). Heparan sulphate interacts with the SARS-CoV-2 spike glycoprotein adjacent to ACE2R to facilitate binding. Unfractionated heparin binds to the spike protein and blocks this interaction in vitro, whereas low molecular weight heparins (LMWHs) such as enoxaparin and dalteparin are less effective. SARS-CoV-2 replicates within the cell before copies are released. Damage-associated molecular pathogens (DAMPs) are presented by infected cells and pyroptosis triggers an immune response, which results in the recruitment and activation of neutrophils, monocytes and macrophages and the release of cytokines, activation of complement and the production of neutrophil extracellular traps (NETs). Unfractionated heparin counteracts cytokine production, complement activation and NETosis, in addition to reducing other pro-inflammatory molecules. Activation of the immune system also activates the coagulation system, leading to increased thrombin generation. Vascular endothelial injury contributes to thrombin generation and hypercoagulability. High levels of fibrinogen in the presence of raised D-dimer levels indicate a hypofibrinolytic state.<sup>59,64,70,111</sup> ACE2, angiotensin converting enzyme 2; ACE2R, angiotensin converting enzyme 2 receptor; CD147, cluster of differentiation 147 receptor; DAMPs: damage-associated molecular pathogens; NET, neutrophil extracellular trap; TMPRSS2, transmembrane serine protease 2; UFH, unfractionated heparin.



and lungs. In the lungs, ACE2 is highly expressed in type I and type II pneumocytes and serves as an entrance site for SARS-CoV.<sup>59</sup> Goshua et al report elevated plasma von Willebrand factor (VWF) concentrations in patients with COVID-19.<sup>60</sup> VWF is produced in endothelial cells and is either secreted into the plasma or stored within intracellular organelles. Following endothelial cell activation, stored VWF is secreted, binds to platelets, neutrophils and monocytes to initiate microvascular thrombosis.<sup>61</sup>

### Immunothrombosis

Extensive crosstalk exists between the coagulation and immune systems, with both working together to provide effective host defense.<sup>62</sup> As such, activation of the coagulation system is an integral part of the immune response to infection,<sup>63</sup> where a dysregulated immune response leads to overactivation of the coagulation system that can progress into DIC and multi-organ failure.

SARS-CoV-2 is thought to trigger dysregulation of thrombo-immune homeostasis, resulting in a prothrombotic state, with hypercoagulability and relative hypofibrinolysis.<sup>64,65</sup> The increased circulating D-dimer concentrations observed in many patients reflect not only pulmonary vascular bed thrombosis, but also activation of fibrinolytic pathways.<sup>66</sup> However, the high prevalence of PE in the absence of consumptive coagulopathy appears to be due to immunothrombosis as the predominant cause of VTE in patients with severe COVID-19, with endothelial dysfunction being a key event in activation of thrombo-inflammatory cascades.<sup>67,68</sup>

### Damage-Associated Molecular Patterns, Neutrophil Extracellular Traps and Histones

SARS-CoV-2 is thought to trigger pyroptosis of predominantly endothelial cells,<sup>69,70</sup> causing release of damage-associated molecular patterns such as histones, which in turn activate the thrombo-inflammatory cascade.<sup>71</sup> Previous studies have shown that extracellular histones, released following cell damage or death, are strong inducers of immunothrombosis.<sup>72,73</sup> Elevated levels of neutrophil extracellular traps (NETs), web-like structures of deoxyribonucleic acid and proteins expelled from the neutrophil that ensnare pathogens,<sup>14</sup> have been detected in patients with COVID-19 infection when compared with controls, and a correlation has been identified between the level of NETs and disease severity.<sup>74,75</sup> Plasma NETosis also correlates directly with the Sequential Organ Failure Assessment score and can predict the development of DIC and mortality.<sup>76</sup> Histones can directly amplify the production of NETs.<sup>77</sup> Excessive NETosis contributes to organ damage by releasing cytokines, activating the coagulation cascades and contributing to microthrombosis.<sup>74,78</sup>

The presence of histones can affect two anti-coagulant components: activated protein C (APC) and thrombomodulin. Histones inhibit the generation of APC, which can cleave and inactivate histones.<sup>79</sup> Histones have been shown to bind to both endothelial and soluble thrombomodulin, thereby reducing the production of APC.<sup>79</sup> The dampening of these

anti-coagulation components can therefore remove their inhibition of histones, increase NETosis, lead to an increase in microvascular and macrovascular thrombosis and in turn increase the risk of multi-organ failure.<sup>76,80</sup>

### Histological Findings in COVID-19

Several studies have reported histological analysis of post-mortem samples of lung tissue and describe endotheliitis<sup>13,15,69,81,82</sup>; thrombotic microangiopathy and thrombosis<sup>13,15,16,69,81–84</sup>; infiltration of mononuclear cells<sup>13,15,16,69,81–85</sup>; presence of NETs<sup>13,14</sup>; infiltration of complement proteins<sup>86</sup>; and angiogenesis<sup>81,84</sup> as the main pathologies. Endotheliitis, thrombotic microangiopathy and evidence of viral inclusion were also noted within the heart, kidney, liver and skin.<sup>15,69,83,86</sup> Ackermann et al compared the lungs of patients with COVID-19 to those with influenza and found that alveolar capillary microthrombi were nine times as prevalent in patients who died following severe COVID-19 infection.<sup>81</sup> However, the reasons for the pathogen-dependent variation in appearance and occurrence of microthrombi remain to be investigated.

Investigations to understand the role of NETosis, endothelial activation and ACE2-mediated effects are underway and may provide routes for development of therapeutic agents. Currently, therapeutic anti-coagulation or intermediate dose thromboprophylaxis with heparins are the only strategies to prevent and treat micro- and macrothrombosis in clinical practice. Differing results have been published from observational studies regarding the clinical outcomes and mortality rates of critically ill patients receiving increased doses of heparins.<sup>17,87,88</sup> Hence, results from randomised clinical trials are urgently needed.

### Prevention of VTE in Patients with COVID-19

#### Thromboprophylaxis for Critically Ill Patients with COVID-19

Thromboprophylaxis with either low molecular weight heparin (LMWH) or unfractionated heparin (UFH) is routinely recommended for all critically ill patients,<sup>89</sup> because of their high risk of VTE from a combination of risk factors, including immobilisation, indwelling catheters, mechanical ventilation and inflammation.<sup>19,90</sup> However, the optimal strategy for thromboprophylaxis in patients with COVID-19 remains controversial. Current discussions focus on the type of heparin used, dosing and frequency of administration. The efficacy of thromboprophylaxis for prevention of VTE in patients with COVID-19 remains uncertain, and further investigation is needed to identify the best monitoring strategies for therapeutic and prophylactic anti-coagulation.

Recommendations for thromboprophylaxis in patients with COVID-19 vary nationally and internationally<sup>91</sup> (→ **Table 3**). North American guidelines commonly recommend standard dosing of thromboprophylaxis with use of higher doses restricted to clinical trials, whereas European societies suggest using intermediate dose thromboprophylaxis for high-risk and critically ill patients. Common regimens for intermediate thromboprophylaxis include twice

**Table 3** Summary of existing guidelines on thromboprophylaxis for critically ill patients with COVID-19

Group/Author	Country	Recommended thromboprophylaxis for invasively ventilated patients with no clinical suspicion of VTE	Recommended thromboprophylaxis for non-invasively ventilated patients	Recommended thromboprophylaxis for patients on step-down from ICU to ward	Recommended length of thromboprophylaxis post-hospital discharge
Anti-coagulation Forum Barnes et al <sup>127</sup>	USA	Intermediate dose	Intermediate dose	De-escalate to standard dose	Consider if prolonged critical illness and still needing extensive rehabilitation (e.g. 6–14 days enoxaparin)
American College of Cardiology Bikdeli et al <sup>128</sup>	USA	Standard dose	Standard dose	Standard dose	Consider extended prophylaxis (up to 45 days) for elevated risk of VTE (critical illness not specified)
American Society of Haematology Kreuziger et al <sup>92</sup>	USA	Recommend clinical trial participation	Not specified	At least standard dose	Can be considered if high risk or significantly reduced mobility
British Thoracic Society Condcliffe et al <sup>101</sup>	UK	Intermediate dose for 'high risk' critically ill patients	Intermediate dose for 'high risk' critically ill patients	Standard dose if 'low risk'	Consider 4 weeks thromboprophylaxis post-discharge
Chinese Consensus Statement Zhai et al <sup>129</sup>	China	Standard dose	Standard dose	Not specified	Consider if 'high risk'
American College of Chest Physicians Moore et al <sup>99</sup>	USA	Standard dose	Standard dose	Standard dose	Not recommended
French Society of Thrombosis and Haemostasis (GFHT) Susen et al <sup>97</sup>	France	Intermediate dose. Higher dosing if BW over > 120 kg. UFH if CrCl < 30 mL/min. Xa monitoring to avoid overdose. Therapeutic dose if fibrinogen > 8 or D-dimer > 3000 or renal filter thrombosis	Intermediate dose	Standard dose if only on oxygen and BMI < 30	Not specified
Intensive Care Society, Faculty of Intensive Care Medicine, Royal College of Anaesthetists, Royal College of Physicians <sup>93</sup>	UK	Intermediate dose Therapeutic anticoagulation if frequent renal circuit clotting or if clinical suspicion of VTE without confirmatory imaging	Intermediate dose	Consider reducing to standard dose	Consider 14–28 days prophylactic LMWH post-discharge
Italian Society on Thrombosis & Haemostasis (SISTE) Marietta et al <sup>130</sup>	Italy	Intermediate dose for 'high risk' patients	Intermediate dose for 'high risk' patients	Intermediate dose if BMI > 30, VTE, active cancer	7–14 days post-discharge if high risk (e.g. BMI > 30, VTE, active cancer)
International Society of Thrombosis and Haemostasis (ISTH)	International	Consider intermediate dose in 'high risk' patients	Not specified	Not specified	At least 14 days LMWH or DOAC can be considered in 'high risk' patients

**Table 3** (Continued)

Group/Author	Country	Recommended thromboprophylaxis for invasively ventilated patients with no clinical suspicion of VTE	Recommended thromboprophylaxis for non-invasively ventilated patients	Recommended thromboprophylaxis for patients on step-down from ICU to ward	Recommended length of thromboprophylaxis post-hospital discharge
Spyropoulos et al <sup>100</sup>					
National Institutes of Health (NIH) <sup>131</sup>	USA	Standard dose	Standard dose	Standard dose	Not recommended routinely but can be considered if high risk
National Institute of Public Health of the Netherlands Oudkerk et al <sup>132</sup>	Netherlands	Standard dose	Standard dose	Standard dose	Not specified
Swiss Society of Haematology Casini et al <sup>133</sup>	Switzerland	Consider intermediate or therapeutic anticoagulation if signs of severe inflammation or respiratory failure	Not specified	At least standard dosing	Not specified

Abbreviations: BMI, body mass index; BW, body weight; CrCl, creatinine clearance; DOAC, direct oral anticoagulant; ICU, intensive care unit; LMWH, low molecular weight heparin; UFH, unfractionated heparin; VTE, venous thromboembolism; Xa, anti-Factor Xa.

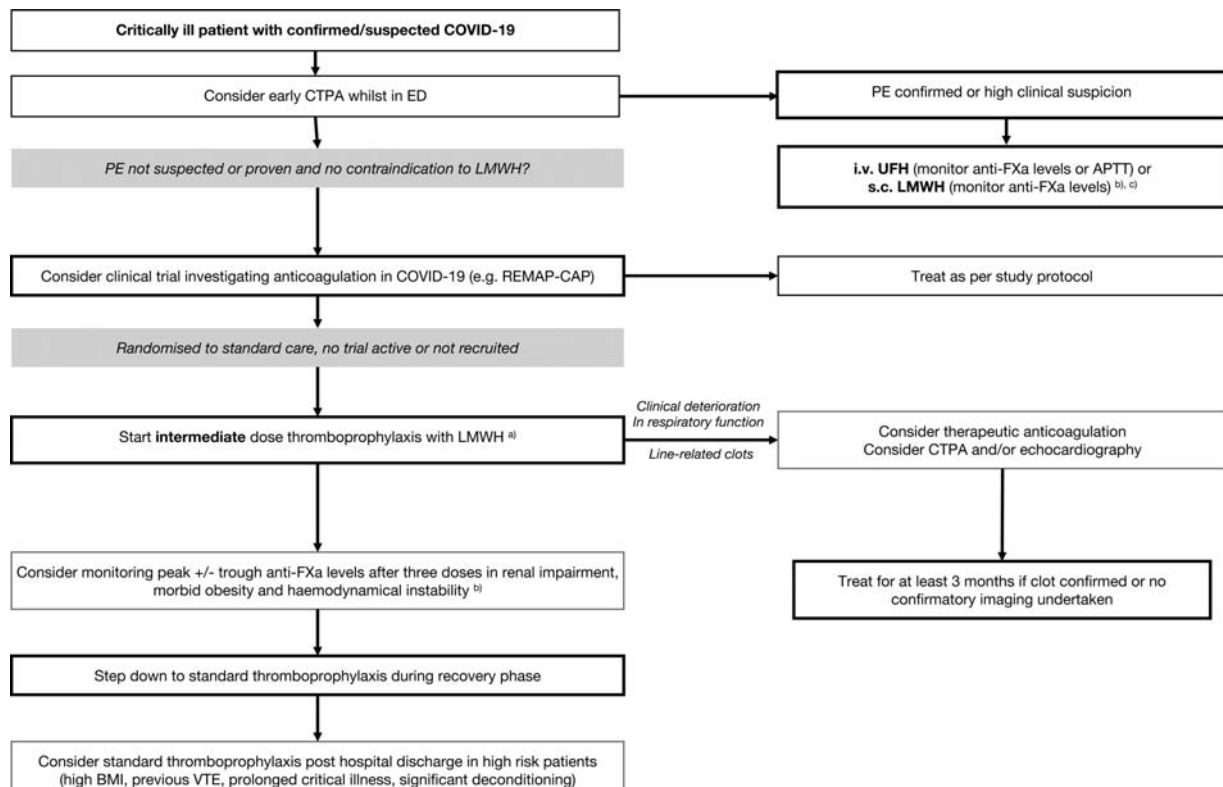
daily administration of standard doses LMWH, for example, enoxaparin 0.5 mg/kg bodyweight. Most guidelines recommend against using therapeutic anti-coagulation for primary clot prevention, unless extracorporeal membrane oxygenation is used. It remains unclear as to whether this recommendation should be extended to patients on other forms of extracorporeal support, including haemofiltration or haemodialysis for renal replacement therapy. In clinical practice, recurrent clotting of renal replacement lines is treated with increasing the dose of anti-coagulant therapy.<sup>92,93</sup>

As discussed, several studies have shown high rates of PE, likely caused by immunothrombosis,<sup>28</sup> and unrelated to typical DVT. Although pharmacological thromboprophylaxis is effective at reducing VTE rates in critically ill patients, the prophylactic value of thromboprophylaxis with LMWH or UFH in CAC with immunothrombosis as the primary pathomechanism remains unclear. Therefore, increasing the heparin dose for thromboprophylaxis may be ineffective, or even harmful due to the increased bleeding risk.<sup>94</sup> The risk of major haemorrhagic events<sup>28</sup> needs to be considered before initiating therapeutic anti-coagulation without confirmed or highly suspected VTE outside a clinical trial. In a previous cohort study assessing critically ill patients infected with SARS-CoV-2, 53% of patients received full therapeutic anti-coagulation, and an overall incidence of 20% for major haemorrhagic events was observed.<sup>95</sup> Eighty-four per cent of patients with major bleeds were therapeutically anti-coagulated, and in 50% of patients therapeutic anti-coagulation was started empirically. A large cohort study from the United States found no survival benefit from therapeutic anti-coagulation, as the risk of major haemorrhage was 2.8% compared with a 6.3% risk of VTE.<sup>96</sup>

While more evidence is needed, the use of 'intermediate' dose anti-coagulation for critically ill patients with COVID-19 should be considered.<sup>93,97–100</sup> A summary of recommendations and an algorithm for anti-coagulatory strategies in critically ill patients with COVID-19 is presented in ► **Fig. 2**. Some guidelines include patients requiring non-invasive respiratory support, such as high flow oxygen, non-invasive ventilation or continuous positive airway pressure within the same risk category as invasively ventilated patients.<sup>93,97,101</sup>

For patients on chronic oral anti-coagulant treatment prior to hospital admission, continuation of the usual drug is not without risks as drug metabolism and enteral absorption are uncertain and the risk of bleeding is increased when admission to critical care is required. The role of direct oral anti-coagulants (DOACs) in the treatment and prophylaxis of CAC remains unclear and is the subject of several on-going randomised controlled trials. In acutely ill patients presenting to ED or ICU, there is currently no role for these agents as oral absorption is commonly disturbed, reversal of anti-coagulation in cases of severe bleeding is limited and adverse effects due to drug–drug interactions and lack of controllability of drug effects due to altered metabolism are to be expected. Hence, DOACs are not recommended for thromboprophylaxis in hospitalised patients with COVID.<sup>99</sup> The same applies to vitamin K antagonists, which exhibit unreliable and often uncontrollable effects in critically ill patients. Vitamin K antagonists should therefore be discontinued on hospital admission.<sup>102</sup> When continuation of therapeutic anti-coagulation is required, a switch to UFH or LMWH is advisable. For patients with mechanical heart valves, daily monitoring of the international normalised ratio is required





**Fig. 2** Proposed scheme for anticoagulation in critically ill patients with COVID-19 based on existing guidelines and evidence.<sup>91–93,97,100,101,124,127,133</sup> (A) Different dosing regimens exist for intermediate dose thromboprophylaxis, depending on the type of LMWH used: Fixed dose: 40 mg BD enoxaparin or 5000 units BD dalteparin or 4500 units BD tinzaparin, weight-based dose: 0.5 mg/kg BD enoxaparin, 50 units/kg BD tinzaparin, reduced dose in renal impairment (e.g. CrCl < 30 mL/min): 40 mg OD or 20 mg BD enoxaparin, 5000 units BD or TDS s.c. UFH. For extremes of body weight (< 50 kg or > 100 kg) dosing based on actual body weight is recommended. (B) Target range of anti-FXa levels: intermediate thromboprophylaxis with LMWH: 0.2–0.4 units/mL, therapeutic anticoagulation with LMWH 0.6–1.0 units/mL and therapeutic anti-coagulation with UFH 0.3–0.7 units/mL. (C) APTT ratio target range depends on reagents used and varies, local guidance should be used. APTT, activated partial thromboplastin time; BD: twice daily; BMI, body mass index; CPAP, continuous positive airway pressure; CrCl, creatinine clearance; CTPA, computed tomography pulmonary angiogram; ED, emergency department; i.v., intravenous; LMWH, low molecular weight heparin; NIV, non-invasive ventilation; OD, once daily; PE, pulmonary embolism; REMAP-CAP, Randomized, Embedded, Multifactorial Adaptive Platform Trial for Community-Acquired Pneumonia; RRT, renal replacement therapy; s.c., subcutaneous; TDS, three times daily; UFH, unfractionated heparin; VTE, venous thromboembolism.

to titrate heparin against the decreasing effect of vitamin K antagonists to achieve uninterrupted anti-coagulation.

### Anti-Factor Xa Monitoring during Thromboprophylaxis

Anti-factor Xa (anti-FXa) levels are commonly measured to monitor therapeutic anti-coagulation with LMWH.<sup>91,93</sup> Measurement of anti-FXa activity for thromboprophylaxis is less common, but has been reported in critically ill patients, with anti-FXa levels of 0.2 to 0.4 units/mL considered adequate for thromboprophylaxis.<sup>103–105</sup> Recently, monitoring of anti-FXa activity has been proposed to titrate dosing of LMWH for thromboprophylaxis in patients with COVID-19.<sup>106</sup> Critically ill patients failed to reach prophylactic anti-FXa levels in over 90% of cases when standard enoxaparin doses were applied.<sup>106</sup> Markedly increased acute phase reactants including fibrinogen could contribute to the heparin resistance observed in COVID-19.<sup>107</sup> Anti-thrombin III deficiency, a recognised cause of heparin resistance, is unlikely to play a role, because anti-thrombin levels are only mildly reduced in

severe COVID-19.<sup>10</sup> There is currently a lack of evidence to indicate which dose of LMWH is required to achieve the recommended thromboprophylactic levels of anti-FXa. It also remains unclear how efficient thromboprophylactic levels of anti-FXa activity are in preventing CAC and in lowering the risk of thromboembolism.

### D-Dimer Levels to Guide Management of Thromboprophylaxis

Elevated D-dimer levels have been repeatedly demonstrated in patients with COVID-19<sup>108</sup> and are associated with worse outcomes.<sup>1</sup> Prophylactic doses of LMWH have been suggested to decrease mortality in patients with a SIC score > 4 or D-dimer levels > 3.0 mg/L.<sup>17</sup>

In view of drastically increased D-dimer concentrations in COVID-19<sup>1</sup> together with the high incidence of PE and line-related DVT, the use of increased doses of LMWH or UFH has been suggested for thromboprophylaxis based on D-dimer concentrations.<sup>85</sup> Recommendations range from standard thromboprophylactic doses to doubling doses to aim for

therapeutic anti-coagulation depending on D-dimer concentrations.<sup>98</sup> Potential advantages of using D-dimer over anti-FXa levels to guide thromboprophylaxis include more rapidly available results and lower per test costs. However, so far no controlled studies support this approach, and observational studies failed to demonstrate a survival benefit for anti-coagulating patients based on raised D-dimers concentrations.<sup>96</sup> More research is required before D-dimer-guided anti-coagulation can be recommended.

## Treatment of CAC with Anti-Coagulants

CAC is considered a precipitant factor for severe respiratory failure, ARDS and adverse outcomes. In contrast to other forms of ARDS a surprisingly high respiratory compliance has been observed in some patients, despite severe hypoxaemia and bilateral ground glass opacities on imaging, indicating diffuse and severe lung injury.<sup>109</sup> Clinically, COVID-19-associated ARDS manifests with profound hypoxia, high respiratory drive and elevated dead space. Microvascular thrombosis and endotheliitis causing occlusion of smaller lung vessels could explain this constellation of clinical symptoms. Hence, administration of anti-coagulants may represent a promising approach to prevent and treat CAC. Prevention and treatment of CAC in acute care represent a continuum, with early thromboprophylaxis upon hospital admission and increased doses of anti-coagulants in severe illness, as well as administration of therapeutic anti-coagulation in established or highly suspected pulmonary thrombosis now being cornerstones of treatment.

The SARS-CoV-2 spike protein has been shown to interact with UFH and LMWH.<sup>110</sup> UFH inhibited viral infection by 70% in an in vitro assay and was significantly more potent than equivalent doses of enoxaparin. Together with the observation that anti-coagulant treatment is associated with decreased mortality in COVID-19 patients with coagulopathy,<sup>17</sup> clinical trials have been designed to assess the efficacy of therapeutic doses of LMWH or UFH in the prevention and treatment of CAC.

The REMAP-CAP trial (<https://www.remapcap.org>) is an international adaptive platform trial initially developed to investigate community-acquired pneumonia. Its adaptive design has not only allowed addition of novel treatment arms, but also rapid application in a pandemic setting. So far, over 1700 patients with COVID-19 have been recruited. Recently, the COVID-19 therapeutic anti-coagulation domain, which compares local standard venous thromboprophylaxis to therapeutic anti-coagulation with intravenous UFH or subcutaneous LMWH, has opened.

The Antithrombotic Therapy to Ameliorate Complications of COVID-19 (ATTACC) trial, which aims to recruit 3000 participants, is a prospective, open-label, multi-centre, adaptive randomised clinical trial to establish whether therapeutic-dose parenteral anti-coagulation improves outcomes for patients hospitalised with COVID-19 compared with usual care (<https://www.clinicaltrials.gov/ct2/show/NCT04372589>), defined as thromboprophylactic dose anti-coagulation according to local practice.

As part of the Accelerating COVID-19 Therapeutic Interventions and Vaccines (ACTIV) initiative, the National Institutes of Health has launched an adaptive Phase 3 clinical trials evaluating the safety and effectiveness of LMWH and UFH to treat hospitalised adults diagnosed with COVID-19.

Smaller studies, such as the COVID-HEP (Preventing COVID-19 Complications With Low- and High-dose Anticoagulation) study in Switzerland, are assessing the effects of therapeutic doses of UFH or LMWH (enoxaparin) compared with standard thromboprophylaxis on a composite outcome of arterial or venous thrombosis, DIC and all-cause mortality (<https://clinicaltrials.gov/ct2/show/NCT04345848>). In Germany, the COVID-PREVENT (Effect of Anticoagulation Therapy on Clinical Outcomes in COVID-19) trial is using rivaroxaban as an intervention to investigate the effects of therapeutic anti-coagulation versus standard care (<https://clinicaltrials.gov/ct2/show/NCT04416048>).

Based on trials in patients with acute lung injury, which found that inhaled UFH reduced pulmonary dead space, coagulation activation, microvascular thrombosis and clinical deterioration, nebulised heparin may represent an attractive alternative to systemic application.<sup>111</sup> In the United Kingdom, a clinical study on nebulised UFH has been started under the national ACCORD program (ACCORD 2: A Multi-centre, Seamless, Phase 2 Adaptive Randomisation Platform Study to Assess the Efficacy and Safety of Multiple Candidate Agents for the Treatment of COVID 19 in Hospitalised Patients).<sup>111</sup> An international multi-centre randomised controlled trial for nebulised heparin is currently in preparation.

## Conclusion

Despite numerous publications on CAC, the pathophysiology of the disease and why it differs from SIC is not yet fully understood. It has become clear that micro- and macrothrombosis together with inflammation are major drivers of the lung damage observed. In severe SARS-CoV-2, the constellation of hypoxia and consolidation on imaging should trigger a high suspicion of thromboembolic and immunothrombotic events, with a low threshold for computed tomography imaging and consideration of higher doses of anti-coagulation. Extensive research is underway to find more specific therapies for this disease and to build a stronger evidence base for management strategies. Given the hyper-coagulable features of COVID-19 infection, anti-coagulatory strategies present promising routes to explore.

## Conflict of Interest

None declared.

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