



# Indications for Gastrointestinal Endoscopy during the COVID-19 Pandemic

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## Abstract

The global pandemic due to COVID-19 presents an unprecedented challenge to mankind including healthcare providers. Many COVID-19 infected patients may present with gastrointestinal symptoms. Endoscopic services may be required in complicated COVID-19 infected or suspected patients. In addition, resource allocation would demand judicious use of endoscopy services. There is a definite risk of transmission of COVID-19 during endoscopy to healthcare professionals. We recommend that only emergency and life-saving endoscopic procedures should be performed during COVID-19 pandemic, and semi-elective procedures should be performed after careful consideration. All routine endoscopy procedures should be deferred till normal hospital services resume. For emergency endoscopy procedures, prescreening of patients is critical to avoid nosocomial transmission. To prevent cross-infection among healthcare professionals, strict infection control measures should be practiced in endoscopy suite while providing essential endoscopic services to the patients.

## Keywords

- ▶ COVID-19
- ▶ severe acute respiratory syndrome
- ▶ coronavirus
- ▶ gastrointestinal endoscopy

## Introduction

COVID-19 is ravaging the world and has been declared a global pandemic by the World Health Organization (WHO). By April 18, 2020, it has spread its tentacles to more than 200 countries, infected > 2 million people, and led to the death of > 150,000 patients. COVID-19 spreads through person-to-person contact, aerosols and droplet infection, and there is growing evidence that it might be airborne too.<sup>1,2</sup> Initially, it was thought that it presented with predominantly respiratory symptoms, but recently various series have shown that many patients developed gastrointestinal (GI) manifestations in the form of diarrhea, anorexia, nausea, and abdominal pain. In a meta-analysis, the pooled prevalence of all GI symptoms was 17.6%: 11.8% of patients with non-severe COVID-19 and 17.1% of patients with severe COVID-19 had GI symptoms, respectively.<sup>3</sup> Approximately, 48% stool samples were positive for viral RNA and surprisingly 70% of

these stool samples were persistently positive for viral RNA even after viral clearance was documented in the respiratory samples, raising the possibility of feco-oral transmission.<sup>3</sup> A few studies have indeed suggested that feco-oral transmission of COVID-19 is possible.<sup>4,5</sup> Therefore, gastroenterologists should be cognizant of the GI involvement by COVID-19.

Various receptors have been identified as potential binding sites for COVID-19 in humans.<sup>6</sup> Angiotensin-converting enzyme 2 (ACE-2) receptor is the most common and well-defined host-cell binding receptor for COVID-19 spike protein which mediates its entry to the host cell.<sup>6</sup> ACE-2 receptor are abundantly present on liver cholangiocytes, colonocytes, esophageal keratinocytes, ileum enterocytes, rectum enterocytes, and gastric epithelial cells, explaining COVID-19's predilection for GI tract.<sup>6,7</sup> This might be the plausible explanation for GI manifestations of COVID-19 and probably responsible for feco-oral transmission as well.<sup>3,8,9</sup>

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## Risk of COVID-19 Infection During Endoscopy

GI endoscopy is essential for various diagnostic and therapeutic purposes. It is also commonly used for screening and surveillance programmes. Due to the ongoing COVID-19 pandemic, it has become difficult to perform routine endoscopic procedures. GI endoscopy is a contact and aerosol generating procedure, and healthcare workers (HCWs) do come in contact with body fluids (like blood, vomitus, secretions, lavage fluid, and fecal matter). Many of the advanced therapeutic procedures also require general anesthesia and mechanical ventilation, which are aerosol-generating procedures and pose a significant risk to the anesthesiologists and endoscopy staffs.

Sputum, blood, and fecal matter have shown presence of viral RNA and can effectively transmit infection.<sup>5,10-12</sup> Therapeutic endoscopy is especially time consuming, rendering the endoscopists and staff more vulnerable due to increased exposure time to infectious agents. Colonoscopy is generally believed not to generate aerosol; however, it is not without risks. In one of the studies, bioaerosol burden in the endoscopy suite was four times at the end of daily colonoscopy program as compared with beginning of the procedures.<sup>13</sup> Many studies have also proposed feco-oral transmission of COVID-19.<sup>12</sup> Viral RNA has been shown to be persistently present in anal swab.<sup>5,12,14</sup> Therefore, colonoscopy also should be considered as an aerosol-generating procedure mandating precaution.

A study has illustrated that endoscopists are at high-risk of exposure to infected biological samples which is a potential source for transmission of infectious disease.<sup>15</sup> In addition, this study revealed that approximately 60% of those who were not in direct contact of patients also had bacterial contamination.<sup>15</sup> Another study showed that therapeutic or diagnostic endoscopy has similar rates of mucocutaneous exposure of infected biological samples from patients.<sup>16</sup> Thus, endoscopists are definitely at increased risk of getting exposure to infected biological materials. A study by Akyuz et al reported that neither endoscopists and endoscopy nurses effectively used universal precautions against the risk of infection nor did they receive training for the same.<sup>7</sup> This simply suggests that there is a lackadaisical approach on the part of the endoscopists and HCWs when it comes to taking enough precautions during endoscopy procedures. In addition, there is a shortage of personal protective equipment (PPE) and respirators in most of the hospitals during the pandemic, making HCWs more prone for exposure to COVID-19.<sup>17</sup>

## Judicious Use of Endoscopic Procedures

One strategy to prevent cross-contamination involves restricting the use of endoscopy during the active spread of COVID-19. By restricting endoscopic procedures, endoscopists will have less chances of exposure to infection. This will also give adequate time to sterilize the instruments, clean floors, walls and trolleys, and lead to fewer chances of lapses in decontamination procedures. Availability of negative pressure room for endoscopy can significantly reduce the chance of airborne

infection, but that is a rare commodity in most of the endoscopy suites.<sup>18</sup> Many of HCWs have been reported to have contracted COVID-19, and many have died due to COVID-19 exposure, reducing the workforce and increasing the burden on the healthcare system.<sup>17,19,20</sup> Weighing all these risks, therefore, we should choose endoscopic procedures which outweigh the risks of infection to HCWs compared with the benefits to the patients. One must also keep in mind that HCWs may also propagate the infection within the system.

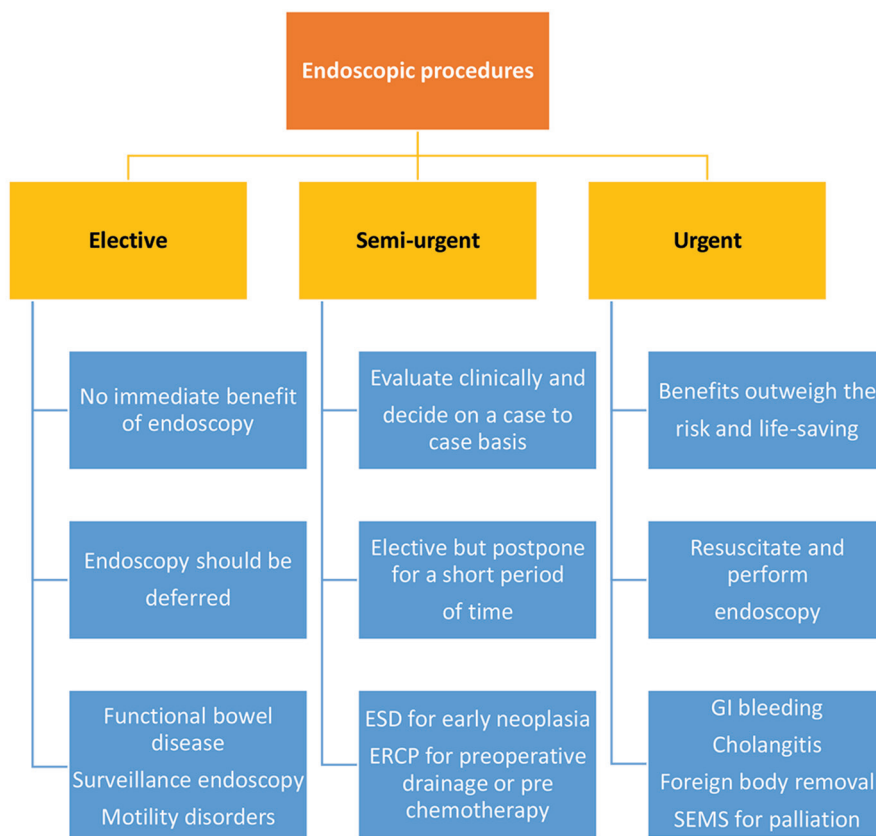
## Triaging Before Endoscopy

In general, routine elective endoscopic procedures should be deferred. The reasons are as follows:

1. Risk of infection.
2. Lack of availability of widespread testing.
3. Risk of transmission even from asymptomatic patients.
4. Optimal utilization of resources such as personal protection equipment (PPE).
5. Posting of personnel for COVID-19 duties.
6. Risk versus benefit.
7. Risk of procedure-related complications and difficulty of managing them.

GI endoscopy is not performed in sterile environment unlike surgery. Therefore, as discussed earlier, exposure to HCWs by cross-contamination is a definite possibility. Risk of infection is also higher because endoscopic procedures demand short physical distance between endoscopists, endoscopy staff, and the patient. Another major hurdle during COVID-19 epidemic is lack of availability of widespread testing due to resource constraint and nonavailability of modern diagnostic laboratory at many places for reverse transcription polymerase chain reaction (RT-PCR) tests. Rapid antibody-based tests are a way forward but false-negative results during incubation period will still be a problem. Asymptomatic COVID-19 infected patients will be another concern, because it will be difficult to diagnose them even after careful evaluation. Globally, there is shortage of HCWs due to posting of personnel for COVID-19 duties, and illness and death due to COVID-19 infection. There is also dearth of PPE in many countries including India and various other limitations in resource constraint settings. All these factors make it difficult to run endoscopy services at full pace. Yet, emergency endoscopy services must be provided.

Patients should be triaged into three different categories, depending on the likelihood of benefit of endoscopy and change in management after endoscopic procedures (►Fig. 1).<sup>21</sup> Elective diagnostic endoscopy is unlikely to yield immediate benefit and change the immediate management of the patient. For example, follow-up endoscopy for Barrett's esophagus, surveillance colonoscopy, endoscopy for dyspepsia and nonspecific abdominal pain, etc., are unlikely to be of much benefit. Urgent endoscopy that will provide immediate benefit with intervention include endoscopy for GI bleeding and endoscopic retrograde cholangio-pancreatography (ERCP) for acute cholangitis.

**Abbreviation:**

ERCP: endoscopic retrograde cholangiopancreatography; ESD: endoscopic submucosal dissection; GI: gastrointestinal; SEMS: self-expanding metal stent

**Fig. 1** Classification of endoscopic procedures depending on urgency of endoscopy requirement in COVID-19 positive patients.

**Table 1** Indications for endoscopy

Urgent/Emergency Indications	Semiurgent Indications	Elective Indications
1. Upper and lower GI bleeding 2. Acute cholangitis 3. Palliation for luminal obstruction like esophageal, gastric or colonic obstruction 4. Postsurgical leak correction by OTSC, clip or SEMS placement 5. Feeding tube placement 6. Endoscopic drainage and lavage in patients with infected pancreatic collections 7. Foreign bodies removal 8. Evaluation of significant symptoms, for example, malignancy	1. Strong suspicion of GI malignancy 2. Fecal occult blood test positive without alarm features 3. Repeat endoscopy for variceal obliteration, healing of peptic ulcer 4. ESD/EMR for early neoplasia 5. ERCP for preoperative biliary drainage if patient is planned for surgery or prior to chemotherapy 6. EUS for malignancy if patient planned for surgery 7. Endoscopic drainage if significant symptoms due to pancreatic fluid collections	1. Screening endoscopy: colorectal cancer screening, variceal screening, Barrett's screening 2. Functional bowel disease like dyspepsia, functional constipation, irritable bowel syndrome, abdominal pain 3. Endoscopy for suspected motility disorders 4. GI symptoms with no alarm features 5. Routine diagnostic endoscopy 6. ERCP and EUS in benign conditions

Abbreviations: EMR, endoscopic mucosal resection; ERCP, endoscopic retrograde cholangiopancreatography; ESD, endoscopic submucosal dissection; EUS, endoscopic ultrasonography; GI, gastrointestinal; OTSC, over the scope clip; SEMS, self-expanding metal stent.

Semiurgent endoscopy should be evaluated properly and can be decided on a case-to-case basis, taking into account the availability of resources and likely benefit to the patient, for example, endoscopy for fecal occult blood test positive

without alarm features, repeat endoscopy for variceal obliteration, and endoscopy for high suspicion of malignancy (► **Table 1**). Performing endoscopies only for urgent indications will reduce the number of endoscopies significantly.

The decision to perform endoscopy and ascertain its indications should be taken by senior gastroenterologists/endoscopists. All endoscopies should be performed by experienced endoscopists and not by the trainees. This is also true for the endoscopy nursing assistants. It will decrease the number of unnecessary endoscopies and will reduce the burden on the endoscopy suite, especially at a time when many of the endoscopy staffs in hospitals have been assigned to COVID-19 designated duties.<sup>17,19</sup>

Even for urgent endoscopy procedures, patients should not be rushed to the endoscopy suite. Proper history should be taken regarding travel, fever, respiratory and GI symptoms and then triage them in terms of the risk of COVID-19 infection before taking to endoscopy.<sup>21,22</sup> If any contraindication to endoscopy is found, it should not be attempted. Many studies have shown that urgent and early endoscopy in acute upper GI bleeding have similar outcomes.<sup>23-25</sup> Similarly, in acute lower GI bleeding, early colonoscopy compared with elective one does not benefit in terms of rebleeding, hospital stay, and mortality.<sup>26-28</sup> In patient with acute cholangitis with varying severity, most of the patients respond to aggressive hydration and IV antibiotics, and 20–30% of patients with severe cholangitis require biliary drainage.<sup>29</sup> ERCP is the best method for biliary drainage in patients with acute cholangitis, and emergent ERCP within 48 hours reduces mortality, hospital stay and organ failures.<sup>29</sup> However, these patients should be properly resuscitated and medically optimized before ERCP. This is also important because these patients poorly tolerate endoscopic procedures and sedation. Therefore, meticulous clinical history and triage, optimum stabilization, and ascertaining proper indication are prerequisites for endoscopy, and patients should not be rushed for emergency endoscopic procedures.<sup>30</sup>

In the endoscopy suite, one should arrange all endoscopic accessories that are likely to be used for a specific procedure, so that procedure time can be reduced. Minimal number of endoscopy personnel should be involved during the procedure to reduce the risk of exposure. Fellow training should be stopped to reduce exposure and for optimal utilization of PPE. Endoscope function should be carefully checked before starting the procedure.

## Indications of Endoscopy

Patients should be categorized into low-, intermediate- and high-risk on the basis of potential risk of COVID-19 infection to HCWs.<sup>22</sup> Non-COVID-19 patients should be considered low-risk category. COVID-19 positive or highly suspect patients, and if adequate patient history cannot be assessed, should be labeled as high-risk category.<sup>21,22</sup> Those patients who recovered from COVID-19 infection within the past 2 weeks should be considered as high-risk due to persistence of viral RNA in feces beyond 2 weeks.<sup>3-5</sup> Another worrying trend is the reactivation of COVID-19 infection in previously cured patients.<sup>31</sup> These patients should also be considered as high-risk. These categorizations will also help in rational use of equipment and PPE in the endoscopy suite. Elective endoscopic procedures should be deferred for all risk categories. Urgent endoscopic procedures should not be

withheld for any risk categories. Semiurgent endoscopic procedures should be temporarily postponed for a reasonable period of time and decided on a case-to-case basis, for example, endoscopic submucosal dissection (ESD) for high-grade dysplasia or intramucosal carcinoma should not be withheld indefinitely. However, stepwise resumption of elective and semiurgent endoscopy services should be implemented, depending on control of COVID-19 in the local community, and availability of equipment, PPE and manpower.

## Endoscopy in non-COVID-19 Patients

Various endoscopic societies have recommended that only emergency and urgent endoscopy should be performed.<sup>21,32</sup> The indications which require urgent endoscopic intervention and immediate care include acute GI bleeding, acute cholangitis, luminal obstruction requiring metal stent placement, feeding tube placement, postsurgical leaks, and iatrogenic perforations (► **Table 1**). In some cases, we should take help of our intervention radiology colleagues, for example, percutaneous biliary drainage, especially in hilar block,<sup>33</sup> and computed tomogram angiography followed by digital subtraction angiogram for obscure overt bleeding rather than deep enteroscopy.<sup>30</sup>

In choledocholithiasis, if there is no cholangitis and/or severe pain, immediate ERCP should be deferred, and patients should be apprised of complications and followed-up subsequently. Need for laser or mechanical lithotripsy and cholangioscopy should be avoided because of their complex nature, requirement for more staffs, and being time-consuming in patients with large common bile duct (CBD) stone and biliary stricture, respectively. For symptomatic large CBD stone, laparoscopic surgery is an alternative option. In uncomplicated concomitant gallbladder and CBD stones, single-stage laparoscopic strategy is better in terms of shorter hospital stay, need for fewer procedures, and cost-effectiveness than ERCP.<sup>34</sup>

Endoscopic ultrasound (EUS) should be avoided for evaluation of chronic or recurrent acute pancreatitis, intermediate risk category of pancreatic cystic neoplasm, and benign biliary strictures. Fine needle aspiration cytology in a suspected case of malignancy should not be withheld, because it may change the management; and if patients require curative surgery, they should be referred early. For symptomatic walled-off necrosis (WON) and pseudocyst-like worsening systemic inflammatory response syndrome, increasing abdominal pain or gastric outlet obstruction, endoscopic drainage can be contemplated if feasible endoscopically. Otherwise, for symptomatic collections at other sites like paracolic gutter, multiple collections, or extension to pelvis, percutaneous pigtail catheter placement should be done by the intervention radiologist. Asymptomatic WON or pseudocyst should be followed-up. During drainage procedures, one should take extra precautions to avoid contact with infected fluid from biopsy channel or fluid coming out through the oral cavity. There is some preliminary evidence that COVID-19 can cause pancreatic injury (in both exocrine glands and islets), as ACE2 is highly expressed in these cells.<sup>35,36</sup> COVID-19 infection can

result in mild pancreatitis and abnormal blood glucose, and similarly severe acute respiratory syndrome (SARS) Corona virus can result in acute diabetes.<sup>35-37</sup> Therefore, it is a possibility that COVID-19 virus might be present in pancreatic fluid collections and can be potentially infectious.

Finally, advanced therapeutic endoscopy like peroral endoscopic myotomy and endoscopic submucosal dissection should be postponed to a later date. These procedures require mechanical ventilation, posing significant risk to anesthesiologist and endoscopy personnel; therefore, it should be postponed to a later date. Some other procedures like fecal microbiota transplantation should be avoided during COVID-19 pandemic. It will be difficult to manage the postprocedure complications because of logistic issues in the hospital. To be aware of our own limitations during this pandemic is important.

### Endoscopy in COVID-19 Positive or Highly Suspect Patients

With the increasing number of patients with covid-19 who are hospitalized, gastroenterologists are likely to get consultation for many of them for endoscopy, particularly GI bleeding. The causes of GI bleeding in such patients are not known but most likely will be related to stress erosions and ulcers in patients with severe disease. In general, the advice should be conservative treatment with proton pump inhibitors, correction of coagulopathy, and transfusion, as required. In a study, six patients with COVID-19 pneumonia who presented with upper GI bleeding were managed conservatively.<sup>38</sup> Diagnostic endoscopy is highly unlikely to be of any benefit. Only in patients with severe ongoing bleeding with falling hemoglobin and failure of conservative treatment, should one contemplate therapeutic endoscopy.

In conclusion, only emergency and life-saving endoscopic procedures should be done during COVID-19 pandemic. Each endoscopic unit should have their own protocol for patients' flow, and endoscopy staff should be trained in infection control measures. For emergency endoscopy procedures, prescreening of patients is critical to avoid nosocomial transmission. Semielective endoscopy procedures should be done on a case-to-case basis.

#### Author Contributions

DG: acquisition of data and drafting of the manuscript; PG: study concept and critical revision of the manuscript.

#### Conflict of Interest

We have no conflicts of interest or financial disclosures to make.

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