




Swedish National Multicenter Study on Head and Neck Cancer of Unknown Primary: Prognostic Factors and Impact of Treatment on Survival

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Abstract

Introduction Head and neck cancer of unknown primary (HNCUP) is a rare condition whose prognostic factors that are significant for survival vary between studies. No randomized treatment study has been performed thus far, and the optimal treatment is not established.

Objective The present study aimed to explore various prognostic factors and compare the two main treatments for HNCUP: neck dissection and (chemo) radiation vs primary (chemo) radiation.

Methods A national multicenter study was performed with data from the Swedish Head and Neck Cancer Register (SweHNCR) and from the patients' medical records from 2008 to 2012.

Results Two-hundred and sixty HNCUP patients were included. The tumors were HPV-positive in 80%. The overall 5-year survival rate of patients treated with curative intent was 71%. Age ($p < 0.001$), performance status ($p = 0.036$), and N stage ($p = 0.046$) were significant factors for overall survival according to the multivariable analysis. Treatment with neck dissection and (chemo) radiation (122 patients) gave an overall 5-year survival of 73%, and treatment with primary (chemo) radiation (87 patients) gave an overall 5-year survival of 71%, with no significant difference in overall or disease-free survival between the 2 groups.

Conclusions Age, performance status, and N stage were significant prognostic factors. Treatment with neck dissection and (chemo) radiation and primary (chemo) radiation gave similar survival outcomes. A randomized treatment study that includes quality of life is needed to establish the optimal treatment.

Keywords

- ▶ head and neck cancer
- ▶ cancer of unknown primary
- ▶ prognostic factors
- ▶ human papillomavirus
- ▶ treatment

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Introduction

Head and neck cancer of unknown primary (HNCUP) is a rare form of head and neck cancer, with an incidence of $\sim 0.47/100,000$ people per year.¹ Head and neck cancer of unknown primary is a diagnosis of exclusion that is used when lymph nodes metastases are present in the neck and no primary tumor can be found despite extensive clinical and radiological examination.

Results from previous retrospective studies on HNCUP vary, and suggested prognostic factors in the literature are: age, gender, performance status, smoking, N-stage, extracapsular extension, and HPV (human papillomavirus) status.^{2–16} Various treatment regimens are used, but the optimal treatment is not established, and no randomized treatment study has been performed thus far.^{17,18}

A national register for head and neck cancer in Sweden, the Swedish Head and Neck Cancer Register (SweHNCR), was established in 2008.¹ Approximately 99% of all newly-diagnosed Swedish head and neck cancer patients have been reported in the register. The diagnostic work-up for HNCUP is similar among hospitals within Sweden. For curative treatment, one of two treatment regimens are used: either neck dissection combined with (chemo) radiation, or primary (chemo) radiation.¹⁹

The aim of the present national study was for a large group of HNCUP patients to explore prognostic factors for survival and compare treatment with neck dissection and (chemo) radiation versus (chemo) radiation.

Methods

Study Design

A national multicenter study on patients with HNCUP was performed after ethics approval was received from the regional ethics committee, in Gothenburg, Sweden. Patients with HNCUP (ICD-10 code C770) registered in the SweHNCR between January 1, 2008, and December 31, 2012 were examined. Data from the register and from the patients' medical records were analyzed. Only patients with squamous cell carcinoma (SCC) or undifferentiated carcinoma were included.^{20,21}

Management of HNCUP

Diagnostics

All patients were examined by a specialist in otolaryngology-head and neck surgery. A fine-needle aspiration was performed from the neck mass, and combined with a core-needle biopsy or an open biopsy to get a definitive diagnosis.²² The diagnostics followed national guidelines.²⁰ Human papillomavirus analysis was performed, but it was not routine during the time of the present study and was, therefore, not performed for all the patients. P16 immunostaining was used as the primary determinant of HPV status for 39 patients. Polymerase chain reaction was performed in 35 patients and in situ hybridization in 25 patients. Positron emission tomography/computed tomography (PET/CT) was performed for most patients, but was not routine during the time of this study; alternatively,

magnetic resonance imaging (MRI) was performed. A panendoscopy including an examination of the pharynx, larynx, esophagus, and lungs was performed, and bilateral tonsillectomy, and biopsies of the base of the tongue and the nasopharynx were performed. The Union for International Cancer Control (UICC) 7th edition was used for tumor classification.

Treatment

The patients were discussed at a multidisciplinary conference for tumor staging and treatment recommendations. There are two recommended treatment protocols for HNCUP in the Swedish national guidelines²³: 1. neck dissection followed by (chemo) radiation or 2. (chemo) radiation. For patients treated with definitive radiotherapy, chemotherapy was used in most university hospitals if no contraindications were present and administered concomitantly. Cisplatin was used as the chemotherapeutic agent.

The neck dissection was either radical neck dissection (neck level 1–5) or modified radical neck dissection (preserving the accessory nerve, internal jugular vein and/or the sternocleidomastoid muscle). Radiation dosage was 68 to 70 Gy administered in 2 Gy fractions to tumor volumes and 46 to 50 Gy to adjuvant neck volumes. Intensity-modulated radiotherapy (IMRT) was performed in most cases. Oropharynx was always irradiated and hypopharynx was included in some cases. If retropharyngeal nodes or nodes in level V were present, the nasopharynx was also irradiated. The radiation dose volumes were similar regardless of whether neck dissection was performed or not. In patients receiving post-operative radiation, chemotherapy was administered if high risk features were present.²⁴ Salvage surgery was performed in patients treated with (chemo) radiation and evidence of remaining resectable tumor.

Follow-up

After the treatment was completed, the patients were followed for 5 years with check-ups. A CT or MRI was performed 3 months after the end of the radiation treatment for evaluation of the treatment effect. Imaging was repeated in patients with suspected recurrences.

Statistics

The results are presented as the mean, standard deviation, median, minimum and maximum for continuous variables, and as numbers and percentages for categorical variables. For comparisons between two groups, the Mann-Whitney U test was used for continuous variables, and the nonparametric test was used for ordered categorical variables.^{25,26} The Fisher exact test was used for nonordered categorical variables and for dichotomous variables. A survival analysis was performed to analyze the time to death and tumor recurrence. Kaplan-Meier plots were used to describe overall survival and disease-free survival for the study group and for the subgroups. Comparisons of mortality between subgroups were analyzed using a log-rank test for categorical variables.

Univariable and multivariable Cox proportional hazard regression analyses were used to select independent predictors for overall survival and disease-free survival. All

significance tests were 2-tailed and were conducted at the 5% significance level. StataCorp 2017, Stata: Release 15 Statistical Software (StataCorp LLC, College Station, TX, USA) was used for all statistical analyses.²⁷

Results

Patient Selection

A total of 292 patients were diagnosed with HNCUP in the SweHNCR during the study period. Thirty-two patients were excluded, in most cases because of histopathology other than squamous cell carcinoma or undifferentiated carcinoma (e.g., malignant melanoma, salivary gland cancer), and, in a few cases, because the primary tumor was found. Finally, 260 patients with HNCUP were included in the study: 216

patients were treated with curative intent and 44 were treated with palliative intent (► **Table 1**).

Diagnostic Work-up

The histopathological diagnosis was established with fine-needle aspiration in 52%, core-needle biopsy in 16%, and open biopsy in 32%. Positron emission tomography was performed in 67%, MRI in 23%, and CT in 99% of the patients. Panendoscopy was performed in 95% of the patients, bilateral tonsillectomy in 48%, ipsilateral tonsillectomy in 29%, and biopsy of the tonsils in 19%, and no sample was obtained from the tonsils in 4% (these patients had undergone tonsillectomy in childhood) of the patients. Biopsies of the base of the tongue were performed in 83%, biopsies from the nasopharynx in 75%, and biopsies from the hypopharynx in 20% of the patients.

Table 1 Patient and tumor data for all patients. Patients were divided by treatment intent and by HPV status of the tumor

	All	Curative	Palliative	p Value	HPV+	HPV–	p Value
Subjects, n	260	216	44		81	18	
Age (years)							
Mean (SD)	65.3 (13)	62.5 (11)	79.0 (12)	0.017	60.5 (10)	67.9 (13)	0.017
Median (range)	64 (37–95)	62 (37–92)	83 (54–95)		61 (37–87)	70 (47–91)	
Gender, n (%)							
Male	191 (73)	165 (76)	26 (59)	0.024	61 (75)	12 (67)	0.56
Female	69 (27)	51 (24)	18 (41)		20 (25)	6 (33)	
Smoking habits							
Never smoker	19 (34)	17 (33)	2 (40)	0.79	9 (35)	1 (50)	0.51
Former smoker ^a	23 (41)	22 (43)	1 (20)		12 (46)	1 (50)	
Smoker	14 (25)	12 (24)	2 (40)		5 (19)	0	
Performance status ^b							
0	188 (80)	179 (89)	9 (26)	< 0.001	69 (95)	14 (82)	0.32
1	23 (10)	19 (9)	4 (12)		3 (4)	2 (12)	
2	8 (3)	3 (1)	5 (15)		0	1 (6)	
3–4	16 (7)	0	16 (47)		1 (1)	0	
Tumor histology							
SCC	234 (90)	202 (94)	32 (73)	< 0.001	80 (99)	15 (83)	0.018
Carcinoma N/S	26 (10)	14 (6)	12 (27)		1 (1)	3 (17)	
HPV status							
Positive	81 (82)	80 (84)	1 (25)	0.018	.	.	.
Negative	18 (18)	15 (16)	3 (75)		.	.	
N stage							
N1	62 (24)	53 (25)	9 (22)	0.006	24 (30)	3 (17)	0.14
N2	160 (63)	139 (65)	21 (51)		51 (63)	12 (67)	
N3	34 (13)	23 (11)	11 (27)		6 (7)	3 (17)	
M stage							
M0	244 (95)	216 (100)	28 (68)	< 0.001	80 (99)	16 (89)	0.084
M1	13 (5)	0	13 (32)		1 (1)	2 (11)	

Abbreviation: HPV, human papillomavirus; SCC, squamous cell carcinoma; SD, standard deviation.

^aFormer smoker quit smoking at least 1 year ago.

^bWHO Performance status.

Patient and Tumor Characteristics

The curatively treated patients had a median age of 62 years, 76% were male, and 90% had a World Health Organization (WHO) performance status 0, which can be seen in ►Table 1. Tumor histopathology was SCC in 94% and undifferentiated carcinoma in 6% of the cases. The most common N stage was N2, which constituted 65% of the patients, while 25% were N1, and 11% were N3 tumors.

The palliative treated patients ($n = 44$) were older (median age 83 vs 62 years, $p = 0.017$), had poorer WHO performance status ($p < 0.001$) and a more advanced N stage ($n = 0.006$) compared with the curatively treated patients (►Table 1). Thirty-two percent of the patients had distant metastases. The median survival for the palliative treated patients was 6.2 months (►Fig. 1A).

Survival and Recurrence

The overall 2-, 5- and 8-year survival rates for the patients treated with curative intent were 86%, 71%, and 68%, respectively (►Fig. 1A). The 2- and 5-year disease-free survival rates were 83% and 70%, respectively (►Fig. 1B). Seventeen patients (8%) had progressive disease during treatment and never achieved complete remission. Twenty patients (9%) experienced a recurrence within 5 years: 5 patients had an emergence of the primary tumor, 4 patients had regional recurrence, 9 patients had distant metastases, and 2 patients had recurrence at 2 or more locations. Of the patients who died within 5 years from diagnosis, 55% died with the tumor and 45% without tumor.

Prognostic Factors

Advanced age was a significant factor for overall survival (hazard ratio 1.076, $p < 0.001$, ►Table 2). The overall survival differed significantly among different age groups ($p < 0.001$, ►Fig. 1C), as did disease-free survival ($p < 0.001$). Patients 70 years of age or older had a significantly worse overall 5-year survival rate than the remaining patients ($p < 0.001$). Patients 60 to 69 years of age had a significantly worse survival than patients younger than 60 years ($p < 0.001$). No significant difference was observed in overall survival between genders (►Fig. 1D, ►Table 2). No significant difference was observed in overall survival among smokers, former smokers, and lifetime never-smokers (►Table 2). Survival differed significantly between patients with different WHO performance statuses ($p < 0.001$, ►Fig. 1E, ►Table 2). No significant difference was seen in overall survival between patients with SCC and undifferentiated carcinoma (►Table 2). The overall survival differed significantly for patients with different tumor N stages ($p = 0.002$, ►Fig. 1F, ►Table 2), as patients with N3 tumors had a significantly worse prognosis than the other patients ($p = 0.003$), and patients with N2 tumors had a significantly worse prognosis than patients with N1 tumors ($p = 0.045$, ►Table 2). Human papillomavirus diagnostics was performed in 95 of 216 patients (44%, ►Table 1). The tumors were HPV-positive in 84% of the cases. The patients with HPV-positive tumors were significantly younger (61 years vs 70 years, $p = 0.02$) and were more likely to have SCC tumor histology than undifferentiated carcinoma compared with patients with HPV-negative tumors (99% vs 83%, $p = 0.02$). Neither the overall survival ($p =$

0.39, ►Fig. 1G, ►Table 2) nor the disease-free survival ($p = 0.45$) differed significantly between patients with HPV-positive and those with HPV-negative tumors.

Treatment

The patients treated with curative intent were divided into three groups based on treatment (►Table 3). Treatment A consisted of neck dissection combined with (chemo) radiation (122 patients, of whom 104 patients underwent neck dissection before (chemo) radiation and 18 patients underwent neck dissection after (chemo) radiation). Treatment B consisted of (chemo) radiation (87 patients), and treatment C consisted of neck dissection (7 patients). The patients who had surgery as sole treatment modality either refused or were judged not to withstand postoperative radiotherapy.

The surgery consisted of a radical neck dissection in 12 patients (9%), a modified radical neck dissection in 92 patients (71%) and a selective neck dissection (in most cases a supraomohyoid neck dissection) in 25 patients (19%) (►Table 3). The radiation dose given to the curatively treated patients was 64 to 70 Gy in 163 patients (80%), the dose per fraction was 2 Gy in 189 patients (94%), 51% of the patients were irradiated to the oropharynx and 65% were irradiated bilaterally to the neck (►Table 3). Chemotherapy was given to 71 patients (35%); 79% of patients were given concomitant chemotherapy. In most cases, concomitant chemotherapy consisted of weekly cisplatin. Induction chemotherapy was a combination of cisplatin and 5-fluorouracil.

An aim of this study was to compare treatment outcome between combined modality treatment (treatment A) and definitive (chemo) radiation (treatment B). The patient groups did not differ regarding age, smoking habits, WHO performance status, tumor histology, HPV status, and N stage (►Table 3). The treatment A group contained significantly more male patients than the treatment B group (81% vs 68%, $p = 0.03$). Patients who received treatment B had a significantly higher radiation dose (93% vs 70% 64–70 Gy, $p < 0.001$), were more often irradiated to the bilateral neck (75% vs 58%, $p = 0.02$), and were more prone to receive chemotherapy (53% vs 25%, $p < .001$).

No significant differences were observed in overall or disease-free survival between patients treated with treatment A and those treated with treatment B (►Fig. 1H, ►Table 2). The overall 5-year survival of patients treated with treatment A was 73%, and that of patients treated with treatment B was 71%. The small group of patients treated with treatment C exhibited a significantly worse overall survival than patients in the treatment A and B groups (hazard ratio 3.07 C versus A, $p = 0.019$, ►Table 2, ►Fig. 1H).

Multivariable Analysis

Four factors were significant for overall survival in the univariable analysis in patients who were treated with curative intent: age, performance status, N stage and treatment (►Table 2). These four factors were included in the multivariable analysis of overall survival. Age (hazard ratio 1.072 per year, $p < 0.001$), performance status (hazard ratio 2.12 WHO 1 versus 0, $p = 0.036$), and N stage (hazard ratio 2.63 N3 versus N1, $p = 0.046$) were significant factors in the

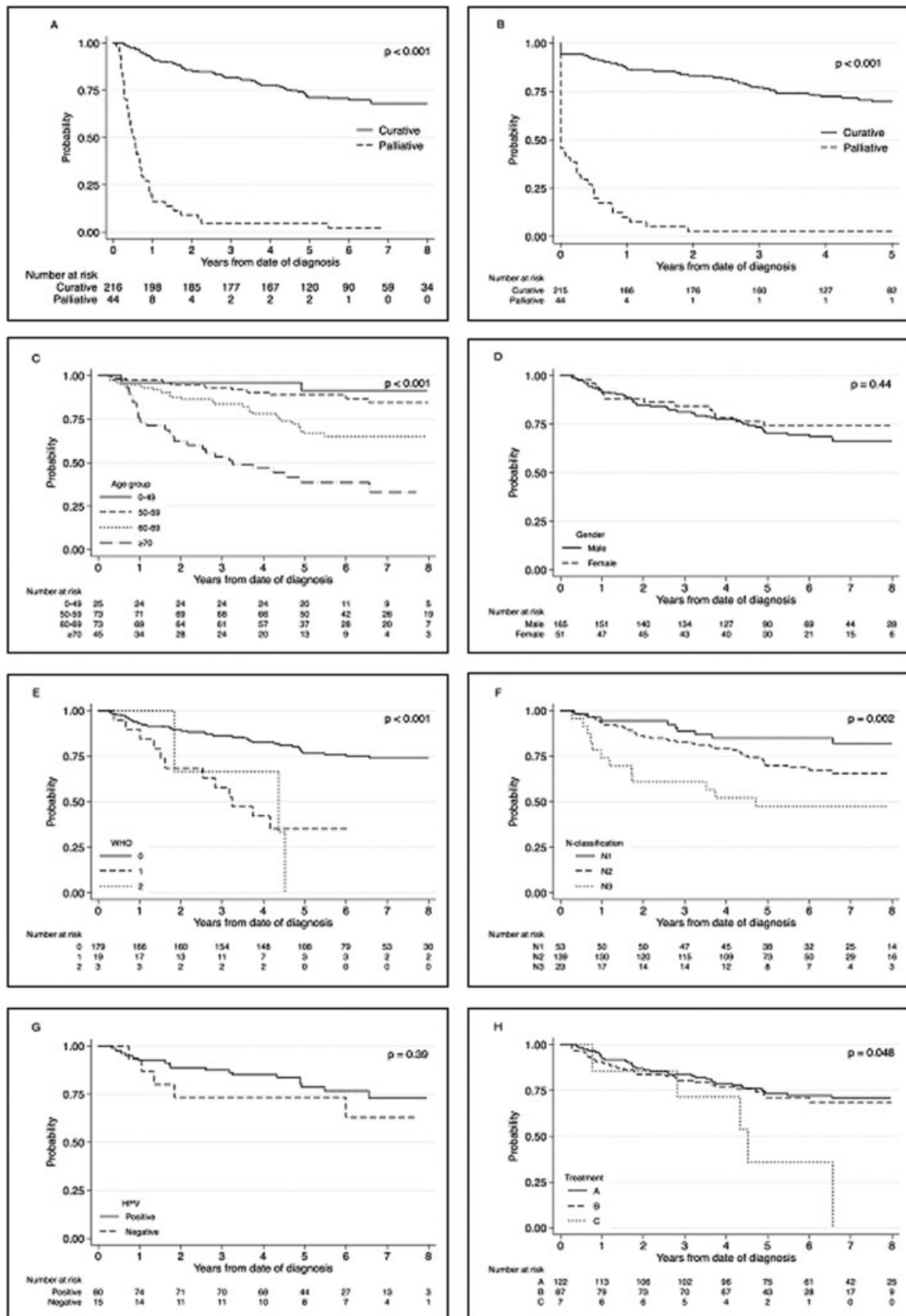


Fig. 1 (A–H) Kaplan-Meier plots of overall survival probability (A, C, D, E, F, G and H) and disease-free survival (B), for various prognostic factors. In C–H, only patients with curative treatment were included. The number of patients at risk is shown at the bottom of the figures. Significance levels were calculated with a log-rank test. A, B Treatment intent. C Age groups. D Gender. E Performance status (WHO). F N classification. G HPV status. H Treatment. Treatment A = neck dissection and (chemo)radiation, treatment B = (chemo)radiation, and treatment C = neck dissection.

Table 2 Uni- and multivariable Cox regression analyses on overall survival for patients treated with curative intent

	Univariable analyses			Multivariable analysis		
	n	HR (95% CI)	p	n	HR (95% CI)	p
Age (years)						
Continuous	216	1.076 (1.053–1.099)	< 0.001	201	1.072 (1.042–1.102)	< 0.001
Gender						
Male	165	1		.	.	
Female	51	0.79 (0.43–1.44)	0.44	.	.	.
Smoking habits						
Never smoker	17	1		.	.	
Former smoker ^a	22	0.73 (0.18–2.94)	0.66	.	.	.
Smoker	12	1.01 (0.23–4.53)	0.99	.	.	.
Performance status ^b						
0	179	1		179	1	
1	19	3.90 (2.04–7.47)	< 0.001	19	2.12 (1.05–4.26)	0.036
2	3	5.58 (1.72–18.1)	0.004	3	2.92 (0.80–10.7)	0.10
Tumor histology						
SCC	202	1		.	.	.
Carcinoma N/S	14	1.54 (0.66–3.58)	0.31	.	.	
HPV status						
Positive	80	1	0.39	.	.	.
Negative	15	1.54 (0.57–4.16)		.	.	.
N stage						
N1	53	1		51	1	
N2	139	2.08 (1.01–4.27)	0.045	130	1.82 (0.88–3.81)	0.11
N3	23	4.39 (1.85–10.4)	0.001	20	2.63 (1.02–6.78)	0.046
Treatment						
A	122	1		110	1	
B	87	1.11 (0.66–1.86)	0.70	84	1.44 (0.83–2.50)	0.20
C	7	3.07 (1.20–7.87)	0.019	7	0.63 (0.20–1.97)	0.42

Abbreviations: CI, confidence interval; HR, hazard ratio; SCC, squamous cell carcinoma.

Treatment A, neck dissection in combination with (chemo)radiation. Treatment B, (chemo)radiation. Treatment C, neck dissection.

^aFormer smoker quit smoking at least 1 year ago.

^bWHO Performance status.

multivariable analysis. Treatment was not a significant factor for overall survival in the multivariable analysis.

Human papillomavirus status was available in 95 of the patients (44%). Since HPV is an interesting prognostic factor for HNCUP, a second multivariable analysis of the curative patients was performed. Only age (hazard ratio 1.09, $p=0.003$) was a significant independent factor for overall survival, while the other factors, including HPV status (hazard ratio 1.25, $p=0.72$), were not significant for overall survival in this multivariable analysis.

Discussion

The SweHNCR covers 99% of all head and neck cancer patients in Sweden compared with the Swedish Cancer Register, and the current study, therefore, includes close to all patients with

HNCUP in Sweden during the study period. The patients in the study had a thorough medical work-up. Positron emission tomography/computed tomography was performed in 67% of the patients, which is a high number compared with previous studies (PET was performed in 1% in a study by Grau et al,² 9% in a study by Wallace et al,²⁰ and 50% in a study by Frank et al²¹). As in previous studies, the majority of our patients were male,^{28,29} and the majority of the tumors were SCC with some cases of undifferentiated carcinoma.^{8,20}

The overall 5-year survival rate for patients treated with curative intent was 71%, which is a similar outcome as for the total population of head and neck cancer patients in Sweden.¹ This is similar to newer studies of HNCUP,^{6,7,21} but better than the survival rate reported in older studies.^{9,30} Seventeen percent of the patients had either progressive disease or tumor recurrence within 5 years of the current

Table 3 Patient, tumor and treatment data for patients treated with curative intent, divided by treatment

	Treatment A	Treatment B	p A vs B	Treatment C
Subjects, <i>n</i>	122	87		7
Age (years)				
Mean (SD)	62.1 (11)	61.6 (10)		82.0 (8)
Median (range)	61 (37–89)	60 (39–92)	0.83	84 (66–89)
Gender, <i>n</i> (%)				
Male	99 (81)	59 (68)		7 (100)
Female	23 (19)	28 (32)	0.034	0
Smoking habits				
Never smoker	10 (31)	6 (33)		1
Former smoker ^a	14 (44)	8 (44)		0
Smoker	8 (25)	4 (22)	0.83	0
Performance status ^b				
0	101 (92)	74 (88)		4 (57)
1	8 (7)	9 (11)		2 (29)
2	1 (1)	1 (1)	0.42	1 (14)
Tumor histology				
SCC	114 (93)	82 (94)		6 (86)
Carcinoma N/S	8 (7)	5 (6)	0.55	1 (14)
HPV status				
Positive	55 (85)	24 (86)		1 (50)
Negative	10 (15)	4 (14)	1.0	1 (50)
N stage				
N1	27 (22)	24 (28)		2 (29)
N2	80 (66)	55 (63)		4 (57)
N3	14 (12)	8 (9)	0.35	1 (14)
Surgery				
RND	12 (10)	.		0
MRND	85 (70)	.		7 (100)
SND	25 (20)	.		0
Radiation dose				
64–70 Gy	85 (70)	78 (93)		.
60 Gy	31 (26)	6 (7)		.
≤ 56 Gy	5 (4)	0	< 0.001	.
Radiation to the pharynx				
All of pharynx	3 (3)	3 (4)		.
Hypo-oropharynx	49 (41)	27 (34)		.
Oropharynx	56 (47)	45 (56)		.
No radiation	11 (9)	5 (6)	0.51	.
Radiation to the neck				
Bilateral	70 (58)	62 (75)		.
Ipsilateral	51 (42)	21 (25)	0.017	.

(Continued)

Table 3 (Continued)

	Treatment A	Treatment B	<i>p</i> A vs B	Treatment C
Chemotherapy				
Yes	30 (25)	41 (53)		.
No	91 (75)	37 (47)	< 0.001	.
Chemotherapy				
Induction	4 (13)	11 (27)		.
Concomitant	26 (87)	30 (73)	0.24	.

Abbreviations: MRND, modified radical neck dissection; RND, radical neck dissection; SCC, squamous cell carcinoma; SND, selective neck dissection, in most cases supraomohyoidal neck dissection.

Treatment A, neck dissection in combination with (chemo)radiation. Treatment B, (chemo)radiation. Treatment C, neck dissection.

^aFormer smoker quit smoking at least 1 year ago.

^bWHO Performance status.

study. Previous studies have shown varying recurrence rates; two studies from around the year 2000 reported that more than 50% of the patients had tumor recurrence,^{2,9} while the results of two more recent studies were similar to the result of the current study, which found the tumor recurrence rate to be ~10%.^{6,21} One can speculate that the large difference in recurrence rates is due to differences in both patient selection, tumor characteristics and in treatment differences.

The patients treated with palliative intent had a poor prognosis: 82% of the patients were deceased within 1 year, which is comparable with the result of a previous study in which 6 palliative patients had a median survival of 8.5 months.³

Advanced age was a significant negative factor for survival, as shown in previous studies.^{2,3,6} The median age of the patients treated with curative intent was 62 years, which is higher than that reported in previous studies.^{4,6} It was noticed that patients older than 70 years died more often during the first year after diagnosis compared with the rest of the patients (–Fig. 1C). The explanation for this may be that the older patients were more fragile, had more comorbidities and more often died due to treatment side effects. More efforts should be made during the diagnostic work-up to better diagnose any comorbidity before treatment recommendation. Treatment guidelines should take age and comorbidities into greater consideration.

In the study, the patients who were treated with curative intent had a good performance status: 89% were asymptomatic (WHO 0), which is high compared with previous studies.^{2,31} Patients with WHO 0 had a significantly better survival than patients with WHO 1. This emphasizes the value of performance status as a clinical factor per se, since patients with WHO 0 and 1 were given the same treatment according to the guidelines.

The most common N stage was N2 (63%), whereas 13% of the patients were N3, and 24% were N1, which is similar to what was reported in two recent studies.^{6,32} The overall survival was worse with higher N stage. This was also seen in some previous studies,^{2,8} but in contrast to the current study, other studies showed a similar prognosis between N1 and N2.^{3,6}

Most HNCUP patients were HPV-positive, as seen in previous studies.^{6,12,32} This adds to the evidence that HNCUP is an undiscovered oropharyngeal cancer. The overall and disease-free survival for curatively treated patients with HPV-positive and HPV-negative HNCUP did not differ significantly, and this is in contrast to previous studies, which have shown a significantly better survival rate for HPV-positive patients.^{6,11,12}

An aim of this study was to compare the outcomes after treatment with neck dissection combined with (chemo) radiation versus definitive (chemo) radiation. The two treatment groups had similar overall and disease-free survival rates. The patients in the two groups were comparable with respect to background data, but the patients receiving definitive (chemo) radiation (treatment B) had a significantly higher radiation dose, more bilateral neck radiation and chemotherapy than the patients receiving combination treatment A; therefore, the results must be interpreted with caution. The question remains as to whether neck dissection or chemotherapy is needed routinely as part of HNCUP treatment. Most previous studies that compare neck dissection and (chemo) radiation with definitive (chemo) radiation reported results similar to those of the current study, with no statistically significant difference in survival between the two treatments.^{6,13,33} However, some studies found significantly better outcomes for neck dissection combined with (chemo) radiation than for (chemo) radiation only.^{14,15} Another question is whether the most advanced HNCUP (N3 tumors) could benefit from an aggressive treatment regimen that includes both neck dissection and radiation. Unfortunately, a subgroup analysis of only N3 patients included too few patients for any conclusions to be drawn (14 patients with combined treatment and 8 patients with definitive (chemo) radiation; 5-year survival 57% vs 33%, *p* = 0.28).

A factor that favors neck dissection as part of HNCUP treatment is that a better histopathological diagnosis is achieved than when it is based on fine-needle aspiration only. We have encountered some cases that were first classified as SCC or undifferentiated carcinoma on fine-needle aspiration; those patients then underwent neck dissection, and postoperative analysis showed salivary gland cancer and

even a case of Warthin tumor. Information of extracapsular extension and perineural growth is achieved with neck dissection, which are important prognostic factors.

The current study was one of the largest studies of HNCUP that compares curative treatment with neck dissection and radiation vs definitive (chemo) radiation with modern treatment regimens (2008–2012). However, a couple of limitations of the study were the nonrandomized design, and that there were differences in the oncologic treatment between the treatment groups. A positive aspect was that the patients in treatment A were treated at some university hospitals, while those with treatment B at some other university hospitals, making difference in patients and tumor factors between the two groups theoretically less likely. Another limitation of the study was the relatively high proportion of missing data for some factors (HPV status and smoking history, both became obligatory variables in the registry after 2013) and lack of some data (resection margin status, extracapsular tumor extension). A final limitation of the study was that information about toxicity and patients' quality of life is missing. If survival is comparable, it is necessary to consider the long-term quality of life and treatment side effects for future treatment guidelines. We recommend a future international randomized study of HNCUP treatment that includes a quality of life measurement to enable the best treatment recommendation that takes both survival and quality of life into consideration.

Conclusion

Human papillomavirus-positive tumors were common (~80% of patients). Age, performance status, and N stage were significant factors for overall survival in the univariable and multivariable analyses in HNCUP patients treated with curative intent. Treatment with neck dissection combined with (chemo) radiation and primary (chemo) radiation resulted in similar overall and disease-free survival rates. A future randomized treatment study including quality of life measurements is needed to establish treatment recommendations that lead to the most favorable survival and that have the least impact on quality of life.

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Conflict of Interest

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