

Original Article

# Comparative Distalization Effects Of Conventional Pendulum Appliance And Bone Anchored Pendulum Appliance

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#### Abstract :

Introduction: The introduction of intraosseous screws as temporary orthodontic anchorage devices has proved successful in achieving sufficient molar distalization without major anchorage loss in Class II malocclusions. Bone-anchored pendulum appliance is used to obtain an effective and compliance-free molar distalization without anchorage loss.

Aims And Objectives: This prospective study was designed to evaluate and compare the skeletal and dentoalveolar effects produced by 2 types of pendulum appliance with different anchorage modalities - the Bone anchored pendulum appliance (BAPA) and Conventional pendulum appliance (CPA) in subjects with Angle's ClassII malocclusion.

Materials and Methods: BAPA group consisted of 5 patients with mean age, 16.4±1.5years. The CPA group consisted of 5 patients with mean age, 16.6±1.1 years. Lateral cephalograms & dental casts were obtained at pre-treatment stage& after achieving Class I molar relation. Dentoalveolar, skeletal, soft tissue measurements and dental cast measurement were recorded & compared between the groups.

Results and Conclusions: Successful distalization was achieved in both groups in a mean period of 6.2 months for BAPA and 5.2 months for CPA. It was concluded that, both theBAPA and CPA were effective for the distalization of maxillary molars. Though the distal molar movements obtained were similar between the BAPA and the CPA groups, anchorage loss was observed with the CPA in the form of premolar mesialization& incisor proclination, whereas absence of anchorage loss, significant spontaneous distal premolar movement, and distal incisor movement was observed with the BAPA, making it a viable choice compared to the CPA.

#### Introduction

The etiology, components and orthodontic management of Class II malocclusion have been a subject of frequently differing philosophies among practitioners. Treatment modalities for correction of Class II malocclusion have included extraction of teeth, extraoral forces, interarch elastics, functional appliances, noncompliance techniques with Herbst appliance, and, more recently, intra-arch maxillary molar distalizing techniques.<sup>1</sup>

Distalization of molars has become a popular nonextraction treatment alternative to get upper molars into a final Class I relationship.The Pendulum appliance, developed by Hilgers<sup>2</sup>, has become one of the more popular non compliance appliance designs. Howevercertain side effectslike anchorage loss in the form of increased overjet and molar tipping were evident.<sup>3</sup>

The introduction of intraosseous screws as temporary orthodontic anchorage devices has proved successful in achieving sufficient molar distalization without major anchorage loss.<sup>4,5</sup> Kircelli et al<sup>6</sup> designed the Bone-anchored pendulum appliance (BAPA), to obtain an effective and compliance-free molar distalization without anchorage loss.

The present study intends to evaluate and compare the dentoalveolar and skeletal effects obtained with Pendulum appliance using (a) conventional anchorage and (b) bone anchorage.



The study was conducted with the following aims and objectives:

- 1. To evaluate the distalization of the maxillary molars, and the movement of teeth anterior to maxillary first molars, in both CPA and BAPA
- 2. To compare the dentoalveolar and skeletal effects obtained with Bone anchored pendulum appliance and Conventional pendulum appliance.

# Materials and Methods

Source of Data:

This study was conducted in the Department of Orthodontics and Dentofacial Orthopaedics, A.B. Shetty Memorial Institute of Dental Sciences, Mangalore.

The sample of this study consisted of 10 subjects seeking orthodontic treatment with Angle's Class II malocclusion requiring maxillary molar distalization with an average or horizontal growth pattern, in the age group of 14-18 yrs.

An informed consent was taken and the 10 subjects were divided into 2 groups.

GroupA represented 5 orthodontic patients in whom maxillary molar distalization was attempted with a Bone Anchored Pendulum Appliance (BAPA) (Fig 1, 2)



Fig. 1 : Placement of Intraosseous screws intraorally



Fig. 2 : Bone Anchored Pendulum Appliance placed intraorally

Group B represented 5 orthodontic patients in whom maxillary molar distalization was attempted with a Conventional Pendulum Appliance (CPA) (Fig 3)



Fig. 3 : Conventional Pendulum Appliance placed intra orally

Inclusion criteria:

- 1. Patients with good oral hygiene.
- 2. Patients with average or horizontal growth pattern.
- 3. Patients with permanent dentition and Class II molar relation.
- 4. Moderate space deficiency in the maxillary arch and minimal or no crowding in the mandibular arch
- 5. Patients with fully erupted second molars.

Exclusion criteria:

- 1. Uncooperative patients
- 2. Poor oral hygiene
- 3. Skeletal Class II relation

# Methodology

This prospective study was designed to evaluate and compare the skeletal and dentoalveolar effects produced by 2 types of pendulum appliances with different anchorage modalities -the Bone anchored pendulum appliance (BAPA) and Conventional pendulum appliance (CPA) in subjects with Angle Class II malocclusion.

The BAPA group consisted of 5 patients (4 girls, 1 boy; mean age,  $16.4\pm1.5$  years). The CPA group consisted of 5 patients (4 girls, 1 boy; mean age,  $16.6\pm1.1$  years). The treatment results of BAPA group were compared with that of the CPA group.

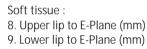
The maxillary second molars were fully erupted in all patients in both the groups. The maxillary third molars were extracted in 8 of the total 10 patients selected for this study. In the remaining subjects, germectomy was not

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advised as the tooth buds were highly placed above the second molar roots.

Cephalometric analysis an Dental Cast Measurements Lateral cephalograms and dental casts were obtained at pre treatment and after achieving Class I molar relation (T2) to assess dentoalveolar, skeletal and soft tissue changes. Cephalometric analysis was done on the cephalograms using various parameters (Fig 3,4,5). Measurements were also recorded on the dental casts (Fig 6).

Skeletal : 1. SNB (') 2. SNB (') 3. ANB (') 4. FMA (') 5. SnGoGn (') 6. PTV-A Point (mm) 7. PTV -Palatal plane (')



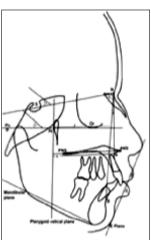


Fig. 4 : Skeletal and Soft tissue measurements

Dental -linear sagital (mm)

- 1. Maxillary first molar -PTV
- Maxillary second premolar -PTV
- 3. Maxillary first premolar -PTV
- 4. Maxillary incisor -PTV
- 5. Mandibular first molar -PTV
- Overjet

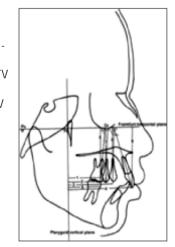


Fig. 5 : Dental linear (Sagittal) measurements

Dental -linear vertical

- 6. Maxillary first molar -FH
- 7. Maxillary second premolar -FH
- 8. Maxillary first premolar -FH
- 9. Maxillary incisor -FH



- Dental angular (')
- 10. Maxillary first molar FH
- 11. Maxillary second premolar -FH
- 12. Maxillary first premolar -FH
- 13. Maxillary incisor -FH

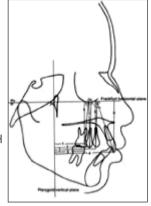


Fig. 6 : Dental linear and Dental angular measurements

- Intermolar distance (mm)
   Length of total arch
   parimeter (mm)
- perimeter (mm) 3. Length of anterior arch perimeter (mm)
- 4. Maxillary first molar -MPP (')

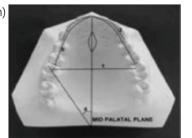


Fig 7. : Dental Cast Measurements

# Statistical Analysis

Data obtained was analyzed using Statistical Package for Social Sciences. Analysis was done using SPSS version 14. The total number of samples in each group were 10(5 in BAPA group and 5 in CPA group) Comparison of mean scores were done for various parameters. Paired sample test for angular and linear skeletal measurements before and after treatment (T2-T1), Independent samples test for comparison of angular and linear skeletal measurements before and after treatment (T2-T1) between the groups were used. A p-value of <0.05 was set to be statistically significant.

# Results

Clinically successful distalization was achieved in both groups in a mean period of 6.2 months for BAPA and 5.2 months for CPA (Table 1). The p value for the same is<0.05

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#### Table 1: Duration of months

The data collected presented with the following findings:

		Minimum	Maximum		Std.	
Group	Ν			Mean	Deviation	p-value
BAPA	5	5.5	7	6.20	.57	0.024
CPA	5	4.5	6	5.20	.57	0.024

Angular and linear skeletal measurements: (Table2,3) (graph 1)

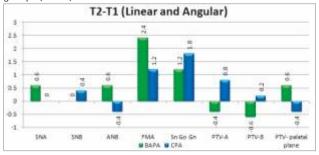
Table 2 : Paired sample test for angular and linear skeletal measurements

Group		Т	1	Т	2	
		Mean	SD	Mean	SD	p-value
	SNA	81.20	1.79	81.80	2.17	0.07
	SNB	79.40	1.52	79.40	2019	1
	ANB	1.80	0.84	2.40	0.89	0.07
	FMA	23.60	1.14	26.00	2.45	0.06
BAPA	Sn Go Gn	30.60	1.82	31.80	1.30	0.033
	PTV-A	56.40	3.91	56.00	3.24	0.374
	PTV-B	50.80	2.95	50.20	3.27	0.07
	PTV-PP	1.20	0.84	1.80	0.45	0.07
	SNA	79.80	3.19	79.80	2.95	1
	SNB	77.60	2.70	78.00	2.55	0.62
	ANB	2.20	0.84	1.80	1.10	0.477
	FMA	25.00	5.24	26.20	3.63	0.284
CPA	Sn Go Gn	31.00	3.39	32.80	2.17	0.088
	PTV-A	50.60	3.65	51.40	3.36	0.242
	PTV-B	42.40	7.80	42.60	7.64	0.799
	PTV-PP	1.80	0.84	1.40	0.96	0.099

Table 3 : Independent samples test for angular and linear skeletal measurements (T2-T1)

	BA	BAPA		PA	
	Mean	SD	Mean	SD	p-value
SNA	0.60	0.55	0.00	1.41	0.416
SNB	0.00	0.71	0.40	1.67	0.642
ANB	0.60	0.55	-0.40	1.14	0.115
FMA	2.40	2.07	1.20	2.17	0.397
Sn Go Gn	1.20	0.84	1.80	1.79	0.516
PTV-A	-0.40	0.89	0.80	1.30	0.128
PTV-B	-0.60	0.55	0.20	1.64	0.332
PTV-Palatal Plane	0.60	0.55	-0.40	0.42	0.012

Graph 1 : Comparison of Pre- Treatment and Post Distalization skeletal (linear and angular) measurements between the two groups (T2-T1)



### Soft Tissue Measurements

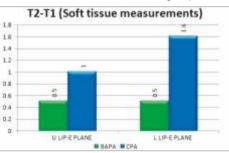
Table 4 : Paired sample test for soft tissue measurements

Group		T1		T		
		Mean	SD	Mean	SD	p-value
	U LIP E PLANE	-2.70	3.03	-2.20	3.11	0.089
BAPA	L LIP E PLANE	0.80	3.70	1.30	2.82	0.326
0.004	U LIP E PLANE	-2.20	2.28	-1.20	1.92	0.298
CPA	L LIP E PLANE	1.00	1.73	2.60	1.34	0.003

Table 5 : Independent	samples	test	for	soft	tissue
measurements					

	BAPA		CF		
	Mean	SD	Mean	SD	p-value
U LIP E PLANE	0.50	0.50	1.00	1.87	0.580
L LIP E PLANE	0.50	1.00	1.60	0.55	0.063

Graph 2 : Comparison of Pre- Treatment and Post Distalization soft tissue measurements between the two groups (T2-T1)



#### Dental linear measurements: Sagittal

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Table 6 : Paired sample test for dental linear (sagittal) measurements

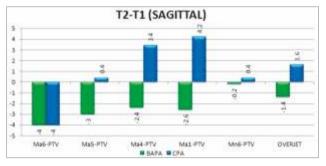
Group		Т	1	Т	2	
		Mean	SD	Mean	SD	p-value
	Ma6-PVT	32.60	3.58	28.60	3.36	<0.001
	Ma5-PVT	36.40	3.36	33.40	3.65	0.005
1	Ma4-PVT	44.60	4.51	42.20	4.38	0.024
	Ma1-PVT	63.60	4.67	61.00	4.42	0.019
	Mn6-PVT	32.00	4.00	31.80	3.83	0.374
	OVERJET	4.80	1.79	3.40	1.14	0.108
	Ma6-PVT	30.40	2.41	26.40	1.95	0.003
	Ma5-PVT	30.80	4.66	31.20	5.26	0.704
2	Ma4-PVT	37.80	4.55	41.20	6.46	0.096
2	Ma1-PVT	55.00	5.92	59.20	6.38	0.022
	Mn6-PVT	28.00	4.00	28.40	3.91	0.178
	OVERJET	4.00	0.71	5.60	1.67	0.078



Table 7 : Independent samples test for dental linear (sagittal) measurements (T2-T1)

Saggital	BAPA		CI		
	Mean	SD	Mean	SD	p-value
Ma6-PVT	-4.00	0.71	-4.00	1.41	1
Ma5-PVT	-3.00	1.22	0.40	2.19	0.022
Ma4-PVT	-2.40	1.52	3.40	3.51	0.017
Ma1-PVT	-2.60	1.52	4.20	2.59	0.001
Mn6-PVT	-0.20	0.45	0.40	0.55	0.094
OVERJET	-1.40	1.52	1.60	1.52	0.014

Graph 3 : Comparison of Pre- Treatment and Post Distalization dental linear (sagittal) measurements between the two groups (T2-T1)



# Dental linear measurements:

Vertical

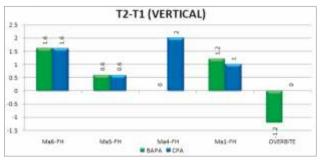
Table 8 : Paired sample test for dental linear (vertical) measurements

Group	Dentolinear	T1		Т		
		Mean	SD	Mean	SD	p-value
	Ma6-FH	47.40	3.36	49.00	3.74	0.003
	Ma5-FH	48.40	3.85	49.00	3.94	0.07
BAPA	Ma4-FH	49.20	4.09	49.20	4.32	1
	Ma1-FH	51.20	4.87	52.40	5.27	0.07
	OVERBITE	3.80	1.10	2.60	0.89	0.004
	Ma6-FH	45.40	3.58	47.00	3.67	0.003
	Ma5-FH	47.20	3.63	47.80	2.59	0.426
CPA	Ma4-FH	48.00	3.39	50.00	4.06	0.022
	Ma1-FH	52.00	4.69	53.00	3.00	0.326
	OVERBITE	3.20	1.30	3.20	0.84	1

Table 9 : Independent samples test for dental linear (vertical) measurements (T2-T1)

Vertical	BA	PA	CI		
	Mean	SD	Mean	SD	p-value
Ma6-FH	1.60	0.55	1.60	0.55	1
Ma5-FH	0.60	0.55	0.60	1.52	1
Ma4-FH	0.00	1.00	2.00	1.22	0.022
Ma1-FH	1.20	1.10	1.00	20.00	0.8429
OVERBITE	-1.20	0.45	0.00	1.22	0.074

Graph 4 : Comparison of Pre- Treatment and Post Distalization dental linear (vertical) measurements between the two groups (T2-T1)



Dental angular measurements

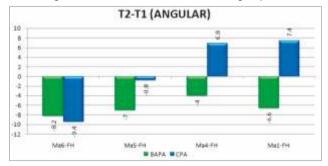
Table 10: Paired sample test for dental angular measurements

Group	Angular	T1		T		
		Mean	SD	Mean	SD	p-value
	Ma6-FH	84.40	1.52	76.20	2.95	0.002
DADA	Ma5-FH	88.00	2.35	81.00	4.69	0.023
BAPA	Ma4-FH	90.00	0.71	86.00	4.47	0.092
	Ma1-FH	120.20	8.47	113.60	10.33	0.003
	Ma6-FH	82.00	8.46	72.60	6.66	0.004
0.004	Ma5-FH	84.60	8.05	83.80	7.79	0.294
CPA	Ma4-FH	86.20	6.06	93.00	10.15	0.038
	Ma1-FH	144.60	8.38	122.00	8.00	0.003

Table 11 : Independent samples test for dental angular measurements (T2-T1)

Angular	BAPA		CI		
	Mean	SD	Mean	SD	p-value
Ma6-FH	-8.20	2.59	-9.40	3.65	0.565
Ma5-PVT	-7.00	4.36	-0.80	1.48	0.017
Ma4-FH	-4.00	4.06	6.80	4.97	0.006
Ma1-FH	-6.60	2.30	7.40	2.51	<0.001

Graph 5 : Comparison of Pre- Treatment and Post Distalization dental angular measurements between the two groups (T2-T1)



#### Dental cast measurements

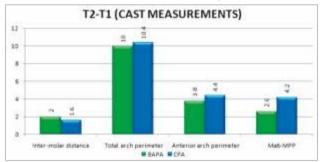
Table 12: Paired sample test for dental cast measurements

Group	Cast measurements	T1		T2		p-
		Mean	SD	Mean	SD	value
BAPA	Inter - molar distance	47.40	2.30	49.40	2.61	0.047
	Total arch perimeter	79.80	6.02	89.80	5.40	0.007
	Anterior Arch perimeter	49.60	3.36	53.40	3.58	0.003
	Ma6-MPP	33.40	2.70	36.00	2.55	0.007
СРА	Inter - molar distance	46.40	1.95	48.00	2.74	0.078
	Total arch perimeter	77.00	2.92	87.40	4.62	0.002
	Anterior Arch perimeter	49.60	3.78	54.00	4.24	0.001
	Ma6-MPP	32.20	2.49	36.40	2.30	0.001

Table 13 : Independent samples test for dental cast measurements (T2-T1)

	BAPA		CPA		
	Mean	SD	Mean	SD	p-value
Inter - molar distance	2.00	1.58	1.60	1.52	0.694
Total arch perimeter	10.00	4.30	10.40	3.36	0.874
Anterior arch perimeter	3.80	1.30	4.40	1.14	0.461
Ma6-MPP	2.60	1.14	4.20	1.10	0.053

Graph 6 : Comparison of Pre- Treatment and Post Distalization dental cast measurements between the two groups (T2-T1)



#### Discussion

The pendulum appliance has experienced wide spread clinical use, and various studies have demonstrated its skeletal and dentoalveolar effects.<sup>7,8,9</sup>It has two main advantages over headgear and Class II elastics.<sup>10</sup>One being, distalization occurs without any cooperation problems on the part of the patients and the other being, only one activation period is needed for the process to be successful. However, associated collateral effects like anterior anchorage loss, which represented 30-43% of the space created between molars and premolars, was a constant finding with use of this appliance as shown in various studies.<sup>5,7,8,10</sup>

The introduction of bone anchors as anchorage devices has

been a great revolution in orthodontics. Several types of anchors have been used such as conventional osseo integrated implants and, more recently, mini-implants, length-reduced palatal implants etc. Elimination of the osseo integration period (2-6 months), wider range of application sites, simple surgical procedures, and decreased cost make intra osseous screws the preferable anchorage device In the present study we used 2 screws based on the study by Kircelli et al<sup>7</sup> to increase the success rate. The site of intraosseous placement was the paramedian region of the palate with a mean bone depth of 10.57mm.

Although the dentofacial effects of the BAPA and CPA have been demonstrated separately in previous studies,<sup>8, 9,11,1213-</sup><sup>15</sup>comparative treatment results of the two appliance systems in terms of treatment duration, skeletal changes, and soft tissue response and tooth movement with cephalometric and dental cast measurements have not been reported previously.

In our study, the maxillary molars in both groups were distalized successfully to Class I relationships with minimal patient compliance. The average distalization periods were 6.2 months for the BAPA and 5.2 months for CPA. The difference in time taken for treatment was statistically significant. (p=0.024).There was significant amount of distal molar movement in both the BAPA and CPA group. The average distance of molar movement between the 2 groups was similar;  $4.0\pm0.71$ mm in BAPA group and  $4.0\pm1.41$ mm in CPA group.

Intraoral distalizing appliances act on the dental crowns at a certain distance from the centre of resistance of the molars, and therefore distal tipping is expected. This tipping is similar to that produced by the cervical headgear. In this study the amount of molar tipping observed were  $8.20^{\circ}\pm 2.59^{\circ}$  in the BAPA group and  $9.40^{\circ}\pm 3.56^{\circ}$  in the CPA group. The difference between the groups was statistically not significant. (p>0.05)

While most of the studies<sup>7, 8, 12, and 16</sup> on pendulum appliance have reported molar intrusion, some have reported molar

extrusion as well. In our study, there was a small amount of vertical change seen in the maxillary first molars of both groups. The BAPA group showed molar extrusion of  $1.6\pm0$ . 5mm and the CPA group showed molar intrusion of  $1.60\pm0.5$ mm. These values were statistically significant (p=0.003). Distalization techniques tend to increase the extrusion of the molars,<sup>17,18</sup> and also the bite opening seen in most distalization studies have been attributed to the extrusion of the maxillary first molars.<sup>13,19</sup>This could explain the molar extrusion and reduction in overbite with the BAPA.

Loss of anchorage was measured at the maxillary 1<sup>st</sup> premolars and incisors. Premolar and incisor movements were quite different between the 2 groups. In the BAPA aroup, both the first  $(2.4\pm1.52$ mm $/4.0^{\circ}\pm4.06)$  and second (3.00±1.22mm/7.0±4.36°) premolars were significantly (p<0.05) distalized and distally tipped spontaneously. The maxillary incisors were significantly (p<0.001) retroclined (6.6°±2.3°) and retracted by 2.6±1.52mm.A significant (p<0.05) decrease in over jet (1.4±1.52mm) was also observed. The reactive forces arising from the pendulum springs were directly resisted by intraosseous screws, and the premolars and incisors drifted distally due to the stretch of transeptal fibres during the distalization period. These movements could contribute favourably to the overall treatment time. On the other hand, the CPA group showed significant loss of anchorage with mesial tipping and mesial movement of first premolars (6.8°±4.97°/3.4±3.51mm), and a small but significant amount of extrusion (2.0±1.22mm).The incisors were significantly (p<0.001) proclined by 7.4°±2.51°/ 4.2±2.59mm and there was significant increase in over jet (1.6±1.52mm).The use of a Nance button to reinforce anterior anchorage has been suggested by many, but reports state that it does not serve as absolute anchorage during and after molar distalization.<sup>6,20</sup> The anchorage unit is unable to completely resist mesial forces thereby proclining the maxillary incisors and increasing the overjet. Study by Burkhardt et al,<sup>20</sup> support our findings of anchorage loss by incisor tipping.

Skeletal values and soft tissue effects

Pendulum appliance primarily affects the dentition, but there are simultaneous indirect effects on the skeletal and soft tissue structures. In our study, a significant counter clockwise inclination of the palatal plane by an angle of  $0.6^{\circ}\pm 0.55^{\circ}$  was recorded in the BAPA group. This was confirmed by previous observations by Bussick and McNamara<sup>21</sup>In the CPA group there was a small amount of decrease in the palatal plane. ( $0.4^{\circ}\pm 0.42^{\circ}$ ).

The mandibular plane rotated clockwise in both BAPA and CPA group. The FMA increased by  $2.4^{\circ}\pm 2.07^{\circ}$  in the BAPA group and  $1.2^{\circ}\pm 2.17^{\circ}$  in the CPA group. The SnGoGn increased by  $1.2^{\circ}\pm 0.84^{\circ}$  in the BAPA group and  $1.8^{\circ}\pm 1.79^{\circ}$  in CPA group. Similar results were demonstrated by other studies with conventional pendulum.<sup>7,9</sup> The clockwise mandibular rotation can be attributed to the maxillary molars moving distally into the wedge of occlusion and to the cusp interferences.<sup>11</sup>

Studies on conventional pendulum have shown lip protrusion as a result of the incisor tipping.<sup>7,9</sup> In this study, a small increase in value was seen from lower lip to esthetic line in the CPA group ( $1.6\pm0.5$ mm), which was found to be statistically significant (p<0.05).

#### Dental cast measurements

The transverse changes measured on the dental casts showed a significant increase in the inter molar distance for BAPA group. There was also an increase seen in the CPA group but this value was not statistically significant (p>0.05). There was a significant increase in the total arch perimeter in both groups (p<0.05).

Significant distopalatal rotation of the maxillary first molars was seen in both groups. The CPA group showed more rotation  $(4.2^{\circ}\pm1.1^{\circ})$  as compared to the BAPA group  $(2.6^{\circ}\pm1.14^{\circ})$ , but comparison of mean between the groups was not statistically significant. Distalizing coils act lingually at the center of resistance of the molars, and thus there is a tendency toward distopalatal rotation with a possibility towards molar crossbite.<sup>7,23</sup> This explains the rotation of the molars as seen in our study.

#### Conclusions

The following conclusions can be drawn from the study:

- Both the BAPA and CPA are effective for the distalization of maxillary molars and the establishment of a Class I molar relationship.
- The distal molar movements obtained were similar between the BAPA and the CPA groups.
- Anchorage loss was observed with the CPA in the form of premolar mesialization and incisor proclination.

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On the contrary, absence of anchorage loss, significant spontaneous distal premolar movement, and distal incisor movement was observed with the BAPA making it a viable choice compared to the CPA.To overcome the limitations associated with this study, future studies with larger sample size, both after treatment and in retention phase, are needed.

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