Reperfusion Treatment for Acute Pulmonary Embolism

Rolf P. Engelberger¹ Nils Kucher²

¹Division of Angiology, Cantonal Hospital Fribourg, Fribourg, Switzerland
²Clinic of Angiology, University Hospital Zurich, Zurich, Switzerland


Abstract

Due to the manifold treatment options for the management of acute pulmonary embolism, state-of-the-art management requires risk stratification for choosing the adapted treatment for each patient. Reperfusion therapy is an integral part of therapy for patients with pulmonary embolism at high risk for mortality, but its role in patients with intermediate risk pulmonary embolism is more debated. The largest amount of evidence exists for systemic thrombolysis, which is an efficient therapy, but at the prize of an increased bleeding risk. In recent years, various types of catheter-based reperfusion therapies have been introduced, and evidence is growing that this therapy is as efficient as systemic thrombolysis, but with a more favourable safety profile. Surgical embolectomy remains a good alternative for unstable patients, especially for those with absolute contraindications for thrombolysis or after failed systemic thrombolysis. While the early benefits of reperfusion therapy are well documented, evidence for long-term benefit is still scarce. The scope of this review is to summarize the evidence for the currently available reperfusion therapies in the management of acute pulmonary embolism.

Keywords
► pulmonary embolism
► catheter-based therapy
► reperfusion therapy
► thrombolysis

Keywords
► Lungenembolie
► Katheter-basierte Therapie
► Reperfusionstherapie
► Thrombolyse

Zusammenfassung


received
July 31, 2017
accepted after revision
January 17, 2018
Risk Stratification

Venous thromboembolism (VTE) encompassing deep vein thrombosis (DVT) and pulmonary embolism (PE) is the third most frequent cardiovascular disease worldwide with an annual incidence of 100 to 200 per 100,000 inhabitants. PE spans a broad spectrum of clinical outcomes but remains a potentially life-threatening disease. PE-related mortality is mainly due to right ventricular (RV) failure as a consequence of pressure overload. Early clinical outcomes therefore mainly depend on initial hemodynamic status and the extent of RV dysfunction.

To improve prognosis and avoid potentially dangerous overtreatment, therapeutic interventions for PE patients should be tailored to the patient’s individual risk for adverse early and ideally late outcomes, and range from ambulatory treatment or clinical surveillance without anticoagulation to extracorporeal membrane oxygenation and surgical embolectomy. Various prognostic models have been developed to classify patients into risk groups. The most widely applied are the (simplified) Pulmonary Embolism Severity Index (sPESI) and PESI) which stratifies patients by clinical parameters only, and the European Society of Cardiology (ESC) model which further includes imaging and biomarker test results of RV dysfunction and myocardial injury. According to the ESC risk classification, high-risk PE patients are defined as haemodynamically unstable patients with cardiogenic shock, sustained systemic arterial hypotension or the need for cardiopulmonary resuscitation. High-risk PE is associated with a 90-day mortality rate of >50%. Haemodynamically stable patients are assessed by a validated clinical prognostic score, preferably the sPESI or PESI. A 30-day mortality rate of 5 to 25% was reported for PE patients with a sPESI ≥ 1 point or a PESI class ≥ 3, and they are classified as intermediate-risk PE. Following the recent publication of the Pulmonary Embolism International Thrombosis (PEITHO) trial, the ESC guideline further stratifies intermediate-risk patients into intermediate-high and intermediate-low risk patients (see Fig. 1). Patients with low-risk PE are haemodynamically stable with a low sPESI score, and if assessed neither have RV dysfunction nor elevated cardiac biomarkers; these patients usually have excellent short-term prognosis once therapeutic levels of anticoagulation are established. The initial stratification of PE patients into different risk groups has an important impact on the various treatment options, in particular for the use of reperfusion therapy.

Reperfusion Treatment

Short-Term Benefit

Early reperfusion therapy for acute PE improves the right-heart haemodynamics and facilitates the right ventricle recovery by removing obstructing thrombi from the large pulmonary arteries, improves oxygenation by correcting ventilation/perfusion mismatch and, finally, improves symptoms and survival. The largest amount of evidence for the short-term outcomes currently exists for systemic thrombolysis. A recent meta-analysis including 15 randomized controlled trials (involving 2,057 patients) showed that systemic thrombolysis in addition to standard therapy of anticoagulation alone reduced overall (odds ratio [OR], 0.59, 95% confidence interval [CI]: 0.36–0.96) and PE-related mortality (OR, 0.29; 95% CI: 0.14–0.60), death or treatment escalation (OR, 0.34; 95% CI: 0.22–0.52) and PE recurrence (OR, 0.50; 95% CI: 0.27–0.94). However, no significant reduction in overall mortality was observed after exclusion of studies which enrolled high-risk PE patients. The results of this meta-analysis for patients with intermediate-risk PE were largely driven by the PEITHO trial, comparing a single, weight-adapted intravenous bolus of tenecteplase with standard anticoagulation alone in 1,005 patients with intermediate-high risk PE. In the tenecteplase group, the primary outcome (all-cause death or haemodynamic decompensation within 7 days) was significantly reduced from 5.6 to 2.6% (OR, 0.44; 95% CI: 0.23–0.88), without reduction in overall mortality (1.2% vs. 1.8%, p = 0.42). Another recently published small randomized trial with 83 intermediate-risk PE patients including patient-oriented outcomes at 3 months’ follow-up showed that a single weight-adapted bolus of tenecteplase in addition to anticoagulation with low-molecular weight heparin significantly reduced the composite primary outcome including death, circulatory shock, intubation or major bleeding within 5 days or recurrent PE, poor functional capacity (RV dysfunction at rest or exercise intolerance) or a low health-related quality of life score at 3 months’ follow-up (15% vs. 37%; p = 0.027). The main drivers of this effect were the composite endpoint of impaired functional capacity and an informal assessment of physical wellness.

Long-Term Benefit

While short-term benefits are well documented, at least for high-risk patients, long-term benefits remain controversial. The recently published long-term data (mean follow-up of 37.8 months) of 709 patients from the PEITHO trial revealed that there appears to be no reduction in overall mortality (20.3% for the tenecteplase group vs. 18.0%, p = 0.43), with the majority of deaths due to comorbidities and underlying diseases, no reduction in functional limitation or persistent shortness of breath (36.0% vs. 30.1%; p = 0.23), with most patients reporting mild exertional dyspnoea, and no reduction in chronic thromboembolic pulmonary hypertension (CTEPH; 2.2% vs. 3.2%, p = 0.79). The high incidence of persistent dyspnoea and decreased exercise capacity after acute PE has recently lead to the introduction of the concept of the post-PE syndrome, with CTEPH as its most severe presentation. The Evaluation of Long-term Outcome after Pulmonary Embolism (ELOPE) study confirmed this concept, with almost half of patients presenting with exercise limitation and dyspnoea, that adversely influenced health-related quality of life and walking distance at 1 year after a first episode of acute symptomatic PE.

Which Are the Reperfusion Options in 2017?

Systemic thrombolysis and surgical pulmonary embolectomy have been the only reperfusion therapy options for many decades. Since the first description of the Greenfield...
embolectomy device in 1971,\textsuperscript{20} several catheter-based techniques have been developed and are nowadays a valuable alternative to the aforementioned therapies.\textsuperscript{13}

**Systemic Thrombolysis**

Current consensus guidelines unanimously recommend primary systemic thrombolysis as first-line treatment in acute high-risk PE.\textsuperscript{4,5,11} Different treatment regimens with recombinant tissue plasminogen activator (rtPA), urokinase and streptokinase have been approved by the Food and Drug Administration.\textsuperscript{11} A typically used regimen is a 2-hour continuous intravenous infusion of 100 mg rtPA, but in case of cardiac arrest due to acute PE, accelerated regimens (e.g., 50 mg over 15 minutes) can be administered.\textsuperscript{4,6} The main drawback of systemic thrombolysis is the high risk for
bleeding complications, which has been confirmed by the large-scale PEITHO trial. Major bleeding complications according to the International Society on Thrombosis and Haemostasis (ISTH) definition occurred in 11.5% in the tenecteplase group and 2.4% in the control group, and haemorrhagic stroke was observed in 2 and 0.2%, respectively (p < 0.01). In the meta-analysis by Marti et al, the reported rate of fatal or intracranial haemorrhage was 1.7% in the systemic thrombolysis group and 0.3% in the control group (OR, 3.18; 95% CI: 1.25–8.11; p = 0.008). Physician’s fear from bleeding complications and the contraindications (Table 1) are among the main reasons that systemic thrombolysis is nowadays withheld in more than two-thirds of patients with high-risk PE. One of the strategies to reduce bleeding complications is to administer reduced-dose thrombolytic regimens. According to a meta-analysis including three studies with a direct comparison between low-dose (up to 50 mg rtPA) and standard-dose rtPA, there was no significant difference in overall mortality or major bleeding complications, although there was a trend towards fewer major bleeding complications with low-dose (OR, 0.5; 95% CI: 0.17–1.45). In the absence of convincing evidence, authors therefore recommend using standard-dose over low-dose when rtPA is used.

Another strategy to reduce bleeding complications is to develop prediction tools for better patient selection. By analysing a large nationwide inpatient database of 9,703 PE patients which were treated with thrombolytic drugs, 4 independent prognostic factors for intracranial haemorrhage were identified and summarized in the PE-CH score: pre-existing Peripheral vascular disease (1 point), age greater than 65 years (Elderly) (1 point), prior Cerebrovascular accident with residual deficit (5 points) and prior myocardial infarction (Heart attack) (1 point). Scores of 0, 1, 2 and ≥ 5 points were associated with intracerebral haemorrhage (ICH) risks of 1.2, 1.9, 2.4 and 17.8%, respectively. A prospective validation of this score is not yet available.

### Catheter-Based Reperfusion Therapy

Many different types of percutaneous catheter-based techniques have been used to remove obstructing thrombi from the main or lobar pulmonary arteries. Contemporary catheter-based reperfusion techniques for PE can broadly be classified as interventions with local administration of thrombolytic drugs and those without thrombolytic drugs (Table 2). The latter techniques can be used for patients with absolute contraindications to thrombolysis. The former have the advantage of administering the thrombolytic drug directly into the obstructing thrombus. This is likely to increase both efficiency and safety by increasing the thrombolytic drug concentration within the thrombus, and decreasing the concentration in the systemic circulation compared with peripherally administered systemic thrombolysis, where the drug is likely to be shunted into non-occluded arteries and not to reach obstructive thrombus as shown in an animal flow dynamics model by Schmitz-Rode et al.

Unfortunately, there is no study with a direct comparison between catheter-based reperfusion therapy and systemic thrombolysis, and only one randomized controlled trial comparing catheter treatment with anticoagulation alone—the Ultrasound accelerated thrombolysis of pulmonary embolism (ULTIMA) trial—has been published so far. The ULTIMA trial was performed with the EkoSonic Endovascular System (EKOS Corporation; Bothell, Washington, United States), the only available catheter system for ultrasound-assisted catheter-directed thrombolysis (USAT). The emitted low-energy, high-frequency intravascular ultrasound is thought to facilitate the delivery of thrombolytic drug into the thrombus by causing reversible loosening of the clot ultrastructure, an effect that may create additional binding sites, and by pushing the thrombolytic drug into the thrombus by acoustic streaming. In ULTIMA, a standardized USAT treatment protocol (20 mg of rtPA for patients with bilateral and 10 mg for patients with unilateral device placement during 15 hours) was compared with usual care of unfractionated heparin in a total of 59 intermediate-risk PE patients, with the reduction of echocardiographic right-to-left ventricular ratio (RV/LV) at 24 hours as primary endpoint. In the USAT group, the RV/LV ratio was reduced from 1.28 ± 0.19 at baseline to 0.99 ± 0.17 at 24 hours (p < 0.001), with no significant decrease in the control group (1.20 ± 0.14 vs. 1.17 ± 0.20; p = 0.31). Although there was a late ‘catch-up’ of RV enlargement in patients with heparin alone, there was a trend for greater

---

**Table 1** Absolute and relative contraindications for systemic thrombolysis according to the European Society of Cardiology

<table>
<thead>
<tr>
<th>Absolute contraindications&lt;sup&gt;a&lt;/sup&gt;</th>
<th>Relative contraindications</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Haemorrhagic stroke or stroke of unknown origin at any time</td>
<td>• Transient ischemic attack in the preceding 6 mo</td>
</tr>
<tr>
<td>• Ischemic stroke in the preceding 6 mo</td>
<td>• Oral anticoagulant therapy</td>
</tr>
<tr>
<td>• Central nervous system damage or neoplasms</td>
<td>• Pregnancy, or within 1 wk postpartum</td>
</tr>
<tr>
<td>• Recent major trauma/surgery/head injury in the preceding 3 wk</td>
<td>• Non-compressible puncture site</td>
</tr>
<tr>
<td>• Gastrointestinal bleeding within the last month</td>
<td>• Traumatic resuscitation</td>
</tr>
<tr>
<td>• Known bleeding risk</td>
<td>• Refractory hypertension (systolic blood pressure &gt;180 mm Hg)</td>
</tr>
<tr>
<td>• Active peptic ulcer</td>
<td>• Advanced liver disease</td>
</tr>
</tbody>
</table>
| • Infective endocarditis | *Active contraindications to thrombolysis might become relative in a patient with immediately life-threatening high-risk pulmonary embolism (PE).*

<sup>a</sup>Absolute contraindications to thrombolysis might become relative in a patient with immediately life-threatening high-risk pulmonary embolism (PE).
improvement in RV/LV ratio from baseline to 90 days in favour of USAT (0.35 ± 0.22 for the USAT vs. 0.24 ± 0.19 for the heparin alone group; \( p = 0.07 \)). In both the study groups, no major bleeding complications occurred.

The favourable results with USAT were recently confirmed in the SEATTLE-II study, a prospective single-arm, multi-centre trial including 150 patients with acute PE (31 high-risk and 119 intermediate-risk PE) showing a significant reduction in RV/LV ratio (1.55 vs. 1.13; \( p < 0.001 \)) and anatomic thrombus burden at 48 hours, a major bleeding rate—defined as moderate or severe bleeding according to the GUSTO criteria\(^{30} \)—at 30-day of 10%, without any intracranial haemorrhage, and a 30-day mortality rate of 2.7%.\(^{31} \) A meta-analysis on USAT for the treatment of intermediate- and high-risk PE published in 2018 including 15 studies with a total of 655 patients found a pooled incidence of all-cause mortality of 3.2% and a major bleeding rate of 5.5%.\(^{32} \) In summary, USAT seems to have a similar positive effect on RV haemodynamics, with similar death rates but lower major bleeding rates, even in patients at increased bleeding risk,\(^{33} \) and most importantly, a clear advantage regarding fatal and intracranial bleeding compared with systemic thrombolysis,\(^{32,34} \) although a case report on intracranial haemorrhage has recently been published.\(^{35} \)

It remains unclear if the use of intravascular ultrasound energy adds clinical benefit to conventional catheter-directed thrombolysis (CDT) for the treatment of acute PE. A direct comparison between USAT and CDT in this setting is not

<table>
<thead>
<tr>
<th>Catheter interventions with thrombolysis</th>
<th>Catheter interventions without thrombolysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Technique</td>
<td>Device examples</td>
</tr>
<tr>
<td>Catheter-directed thrombolysis</td>
<td>UniFuse (AngioDynamics, Latham, New York, United States) or Cragg-McNamara (ev3 Endovascular, Plymouth, Minnesota, United States) 4–5F infusion catheters, with 10–20 cm infusion length</td>
</tr>
<tr>
<td>Ultrasound-assisted catheter-directed thrombolysis</td>
<td>EkoSonic 5.2F 12-cm treatment zone device (EKOS, Bothell, Washington, United States)</td>
</tr>
<tr>
<td>Rheolytic thrombectomy plus catheter-directed thrombolysis</td>
<td>AngioJet 6F PE thrombectomy with Power Pulse thrombolysis (Boston Scientific, Minneapolis, Minnesota, United States)(^{a} )</td>
</tr>
<tr>
<td>Combined techniques</td>
<td>For example, pigtail fragmentation (5F) plus AngioJet 6F PE thrombectomy with Power Pulse thrombolysis</td>
</tr>
<tr>
<td>Combined techniques</td>
<td>For example, pigtail fragmentation (5F) plus Aspirex 8F, 10F thrombectomy</td>
</tr>
</tbody>
</table>

\(^{a} \)Black box warning for use in pulmonary arteries by the Food and Drug Administration because of reports of asystole and haemodynamic collapse.
available, but two studies suggest no major benefit,\(^{36,37}\) which would be in line with a recent randomized trial comparing USAT with CDT for the treatment of acute iliofemoral DVT without any difference in acute thrombus load reduction or long-term clinical and ultrasonographic outcomes.\(^{38,39}\)

Another prospective multi-centre study (PERFECT registry) including a total of 101 acute PE patients treated with different types of catheter-based reperfusion therapies (for intermediate-risk PE mainly CDT and USAT, and for high-risk PE immediate catheter-based (pharmaco-) mechanical clot debulking with or without intra-clot thrombolytic drug injection, followed by CDT or USAT) showed similarly favourable outcomes, with no major bleeding events, despite the fact that an absolute contraindication for systemic thrombolysis was present in 9% of patients.\(^{36}\)

In the absence of any direct comparison between catheter-based therapy and systemic thrombolysis, best evidence currently comes from a retrospective analysis of a large national database (National Inpatient Sample). Of the 263,955 subjects identified with acute PE, 4,272 received systemic thrombolysis and 1,455 any kind of catheter-based reperfusion therapy. After propensity matching, in-hospital mortality and overall bleeding risk was similar between both groups, but there was a significant protective effect against intracranial haemorrhage for the catheter therapy (OR, 0.47, 95% CI: 0.27–0.82).\(^{40}\)

In summary, the main advantage of catheter-based reperfusion therapy over systemic thrombolysis seems to be the favourable safety profile, particularly the very low risk of intracranial haemorrhage even in patients at increased risk for bleeding. The systemic bleeding risk can probably even be improved by using a catheter-based therapy without thrombolysis (\(\neg\) Table 2), but only limited data for these techniques are currently available.\(^6\)

**Surgical Embolectomy**

Surgical embolectomy was mainly performed as bailout therapy for patients with failed systemic thrombolysis or absolute contraindications of the latter therapy.\(^5\) Not surprisingly, mortality rates after surgical embolectomy were exceedingly high. However, due to improved surgical techniques and changes in patient selection, the reported pooled mortality rate in a recent meta-analysis of 35% before 1990 substantially improved to 19% in the last decade.\(^41\) In recent years, perioperative mortality rates of 6% or less have been reported with a rapid multidisciplinary approach even in patients with high-risk PE and significant bleeding risks.\(^5,42\) Studies directly comparing systemic thrombolysis with surgical embolectomy are lacking, but a randomized controlled non-inferiority trial comparing USAT with surgical embolectomy is currently recruiting patients with intermediate- and high-risk PE in Switzerland (NCT03218410).

**Conclusion**

Despite the high incidence of acute PE worldwide, many questions on the optimal management of severe PE remain to be answered. Because of the large spectrum of clinical presentation and outcomes, treatment has to be adapted according to the initial risk stratification. Patients at high-risk for adverse outcomes are likely to benefit from reperfusion therapy, but the optimal way to perform this therapy remains unclear. Systemic thrombolysis is effective, but at the prize of an increased bleeding risk, especially intracranial haemorrhage. Catheter-based reperfusion therapies seem to have a favourable safety profile and are becoming an attractive alternative, but data for patients with high-risk PE are still scarce. The place of catheter-based reperfusion therapy in the treatment of intermediate-risk PE still has to be better defined. Surgical embolectomy remains a valuable treatment option for unstable patients, especially for patients with absolute contraindications for thrombolysis or after failed systemic thrombolysis. With the multitude of treatment options, the recently introduced concept of multidisciplinary Pulmonary Embolism Response Teams (PERT) is gaining widespread acceptance, and will hopefully help in the future to better tailor the different treatments to the individual PE patient.\(^43\)

**Conflict of interests**

N. Kucher declares no conflict of interest. R. P. Engelberger is a consultant for EKOS.

**References**

management of acute pulmonary embolism: the Task Force for the Diagnosis and Management of Acute Pulmonary Embolism of the European Society of Cardiology (ESC). Eur Heart J 2008;29 (18):2276–2315
8 Kucher N, Rossi E, De Rosa M, Goldhaber SZ. Massive pulmonary embolism. Circulation 2006;113(04):577–582
14 Konstantinides SV, Barco S. Prevention of early complications and late consequences after acute pulmonary embolism: focus on reperfusion techniques. Thromb Res 2017;150:30352–3
38 Engelberger RP, Stuck A, Spirk D, et al. Ultrasound-assisted versus conventional catheter-directed thrombolysis for acute iliofemoral
<table>
<thead>
<tr>
<th>Page</th>
<th>Reference</th>
</tr>
</thead>
</table>

Anzeige