Palladium(0)-Catalyzed Difunctionalization of 1,3-Dienes: From Racemic to Enantioselective

Xiang Wu
Liu-Zhu Gong*abc

a Anhui Province Key Laboratory of Advanced Catalytic Materials and Reaction Engineering, School of Chemistry and Chemical Engineering, Hefei University of Technology, Hefei 230009, P. R. of China
b Department of Chemistry, University of Science and Technology of China, Hefei 230026, P. R. of China
c Collaborative Innovation Center of Chemical Science and Engineering, Tianjin 300072, P. R. of China
gonglz@ustc.edu.cn

Abstract 1,3-Dienes are easily accessible chemicals that participate in a series of reactions acting on the carbon–carbon double bonds. Catalytic difunctionalization of 1,3-dienes provides a wide scope of functionalized chemicals. Pd(0) catalysts provide a diverse set of principles for the creation of asymmetric catalytic reactions, which are initiated with the oxidative addition and then undergo insertion reaction with one of double bonds of the 1,3-diene to become a π-allyl palladium species that is reactive toward nucleophilic attack. This review summarizes typical advances on the Pd(0)-catalyzed difunctionalization of 1,3-dienes in recent decades, particularly emphasizing the concepts that enable the switch from a racemic reaction to an enantioselective version.

1 Introduction

Buta-1,3-diene, which is important industrially as a monomer in the production of synthetic rubber, is produced from steam crackers on a scale of more than 10 million tons per year worldwide.1 The last several decades have witnessed the proliferation of fundamentally important and synthetically significant methods by functionalizing 1,3-diene and its derivatives,2 which have been prevalently applied in the natural product synthesis, medicinal chemistry and materials science.3 The difunctionalization of 1,3-dienes provides a wide spectrum of structurally diverse and densely functionalized chemicals with great potential in organic synthesis, and has hence been considered a powerful strategy in synthetic organic chemistry.4 In the difunctionalization of 1,3-dienes, it is a significant challenge to control the regioselectivity toward 1,2- or 1,4-addition because...
of the various coordination and insertion modes conceivable for a transition-metal catalyst. In recent years, with the development of organometallic chemistry, transition-metal catalyst (palladium, copper, nickel and more) enabled difunctionalization of 1,3-dienes has been reported, frequently and continuously. Both Pd(0) and Pd(II) catalysts are able to promote difunctionalization reaction of carbon–carbon double bonds. The palladium(II) coordinated with one of double bonds of 1,3-diene undergoes a nucleopalladation with a nucleophile (Nu₂⁻) in a Wacker type process to generate a π-allyl palladium intermediate A, which can then undergo a substitution reaction with another nucleophile (Nu₁⁻) to afford either a 1,2- or a 1,4-product, and to release the Pd(0), which is oxidized into catalytically active Pd(II) for the next catalytic cycle (Scheme 1, eq. 1). The palladium(0) complex has also been shown to enable various difunctionalization reactions after it undergoes an oxidative addition to a high oxidation state compound and a subsequent Heck insertion of the 1,3-diene to form a π-allyl palladium species B, which ultimately reacts with a nucleophile to generate a 1,2- or 1,4-addition-like product (Scheme 1, eq. 2).

Considering that a variety of excellent reviews have summarized the metal-catalyzed enantioselective difunctionalization of the 1,2- or 1,4- positions of 1,3-dienes, this short review mainly focuses on highlighting Pd(0)-catalyzed difunctionalization of 1,3-dienes. As shown in Scheme 2, the Pd(II) intermediate I, generated from an oxidative addition reaction of a Pd(0) complex to an R-X, undergoes a Heck insertion reaction to give an allylic palladium intermediate II, which will be able to undergo isomerization to form a π-allyl palladium intermediate III. The π-allyl palladium species III then participates in an allylic alkylation reaction with a stabilized carbon nucleophile by direct back-side attack at one of the allylic terminuses, principally giving rise to either a 1,2-product or a 1,4-product and releasing Pd(0) (Path A). Alternatively, a transmetallation at the palladium of intermediate III gives π-allyl palladium intermediate IV, which then undergoes a reductive elimination to generate a 1,2-product or a 1,4-product and release Pd(0) (Path B).

2 Amination

2.1 Three-Component Arylation or Vinylation/Amination

The first example of Pd(0)-catalyzed three-component difunctionalization of 1,3-dienes was reported by Heck’s group in 1978. They initially planned to synthesize conjugated dienes from bromobenzene or 2-bromopropene with isoprene by palladium-catalyzed arylation; unexpectedly, regiospecific 1,4-difunctionalized allylic amines 1 and 2 were obtained when a large excess of secondary amine (piperidine or morpholine) was employed in the reaction (Scheme 3, eqs. 1 and 2). In contrast to acyclic dienes, 1,3-cyclohexadiene provided both 1,2- and 1,4-products (Scheme 3, eqs. 3 and 4).
Moreover, Dieck and co-workers also found that a broad scope of amines such as ethyl amine, diethyl amine, tert-butylamine, and pyrrolidine could work as nucleophiles to participate in the arylation/amination and to yield 1,2- and 1,4-products (Scheme 4).9

2.2 Arylation/Intramolecular Amination

At the same time, Dieck's group reported a cascade arylation and intramolecular amination reaction of o-iodoaniline with isoprene and 1,3-cyclohexadiene, to generate 2-isopropenyl-2,3-dihydroindole 3 (72%) and la,3,4,4a-tetrahydrocarbazole 4 (70%), respectively (Scheme 5).9

Larock and co-workers then developed an even more efficient heteroannulation reaction of 1,3-dienes with 2-iodophenyltosyl amide, leading to dihydroindole 5 and tetrahydrocarbazole 6 in higher yields (Scheme 6).10 2-Iodobenzyllic tosyl amides also turned out to be excellent substrates to furnish six-membered nitrogeneous heterocycles 7.

Inspired by Dieck9 and Larock's10 pioneering work, Han and co-workers described the first Pd(0)-catalyzed enantioselective heteroannulation of 1,3-dienes with 2-iodoanilines (Scheme 7).11 Chiral indolines 8 were obtained in up to 83% yield and with fairly good enantioselectivities of up to 87% ee. The employment of a BINOL-derived phosphoramidite ligand L1 bearing electron-withdrawing substituents is the key to delivering high enantioselectivity.

In 1999, Helmchen reported an enantioselective tandem Heck/intramolecular allylic amination reaction using amino group tethered 1,3-dienes and aryltriflates as substrates (Scheme 8).12 Chiral PHOX ligand L2 allowed the reaction to give chiral piperidine 10 with 80% ee. Compared to the aryl iodides, aryltriflates 9 gave higher enantioselectivities, but required prolonged reaction time of 10 days.

2.3 Intramolecular Arylation or Vinylation/Amination

In 1989, Grigg and co-workers found that dienamide group tethered phenyl iodide 11 could undergo a Pd(0)-catalyzed intramolecular 5-exo-trig cyclization on a proximate diene functionality to generate r-allyl-palladium species, which was subsequently captured by secondary amines, including morpholine, piperidine or 1,2,3,4-tetrahydroisoquinoline, giving 1,4-products 12 in 40–60% yield (Scheme 9).13

In 1993, Shibasaki’s group reported a Pd/BINAP-catalyzed intramolecular asymmetric Heck reaction/allylic amination reaction (Scheme 10).14 Under the catalysis of a chi-
ral complex formed in situ from Pd(OAc)$_2$ and (S)-BINAP, prochiral alkenyl triflate 13 and benzylamine underwent a vinylation to give a bicyclic product 14 with three continuous chiral centers in 76% yield and with 81% ee.

![Scheme 9](image)

**Scheme 9** Racemic intramolecular arylation/amination

The Pd-catalyzed intramolecular arylation has been applied in the total synthesis of a natural product by Overman and co-workers (Scheme 11). The catalytic asymmetric Heck cyclization/allylic amination reaction of (2Z)-2,4-hexadienamide tethered diketopiperazine precursor 15 in the presence of Pd$_2$(dba)$_3$ and (S)-BINAP produced pentacyclic products 16 and 17 in 6:1 ratio and 28% combined yield. Interestingly, when ligand (R)-BINAP was used, a 1:6 diastereomeric mixture of pentacyclic products 16 and 17 was obtained with similar efficiency. However, the use of tri-o-tolylphosphine as the ligand enabled (2E)-2,4-hexadienamide 18 to give a 1:1 mixture of pentacyclic products 19 and 20, attributed to the anti-capture of the initially produced η$^1$-allylpalladium intermediate. Removal of the SEM group from the product 19 provided optically pure (−)-spirotryprostatin B. Notably, the other three stereoisomers could also be obtained by following a similar procedure.

**Scheme 10** Enantioselective intramolecular vinylation/amination

**Scheme 11** Enantioselective intramolecular arylation for the total synthesis (−)-spirotryprostatin B

![Scheme 12](image)

**Scheme 12** Asymmetric intermolecular aminomethylation of 1,3-dienes with an aminal

### 2.4 Aminomethylation

To expand the application of the aminal activation concept, Huang and co-workers recently described a highly enantioselective aminomethylation reaction of 1,3-dienes with enaminals enabled by a chiral palladium complex of BINOL-derived chiral diphosphinite L3 (Scheme 12). The reaction proceeded through a cascade reaction sequence of C–N bond activation (P1), aminomethylation (P2), and asymmetric allylic amination reaction (P3), giving synthetically useful chiral 1,3-diamines 21 with high regio- and enantioselectivity (Scheme 12).

### 2.5 Diamination

Chiral vicinal diamine is a structural motif prevalently found in numerous biological compounds and appears to be a core structural element of chiral auxiliaries and ligands that have been widely applied in asymmetric synthesis. Metal-mediated or catalyzed diamination of olefins constitutes one of the most efficient approaches to access the skeleton.

In 2007, Shi and co-workers reported that Pd(PPh$_3$)$_2$ could catalyze the diamination of a variety of conjugated dienes using di-tert-butylidiaziridinone 23 as nitrogen source to give the racemic imidazolidinones 24 in high...
yields (Scheme 13).\textsuperscript{20} In this reaction, the palladium complex first undergoes an oxidative addition to the N–N bond of diaziridine to form a diamido Pd(II) species \textit{Int-1}, which then reacts with the 1,3-diene to give a π-allyl Pd species \textit{Int-2} through a migratory insertion to the double bond and a subsequent reductive elimination to give diamination product 24 (Scheme 13).\textsuperscript{21,22} Among these elementary reactions, the migratory insertion of the double bond of 1,3-diene to the diamido Pd(II) intermediate \textit{Int-1} builds up the initial stereogenic center and the reductive elimination of π-allyl Pd species \textit{Int-2} leads to another one. Both events involve the palladium complex. Thus, the enantioselective version could in principal be accessed by exploiting chiral phosphine ligands.\textsuperscript{21} Shi and co-workers found that a palladium complex adorned with tetramethylpiperidine-derived and binol-based phosphoramidite ligand L4 enabled asymmetric diamination of 1,3-dienes to furnish the corresponding products 25 in good yields and with high levels of regio-, diastereo-, and enantioselectivity (Scheme 14). Notably, the diamination takes place predominantly at the internal double bond of the 1,3-dienes.\textsuperscript{23}

![Scheme 13 Diamination of 1,3-dienes](image)

Shi further found that N-heterocyclic carbine (NHC)-Pd(0) complexes were also able to efficiently promote the diamination of 1,3-dienes with di-tert-butylidiaziridine 23 (Scheme 15).\textsuperscript{24} Moreover, the chiral NHC-Pd(0) complex [Pd1]Cl was found to be more catalytically active than other tested ligands for the diamination.\textsuperscript{25}

![Scheme 15 NHC-Pd(0)-catalyzed asymmetric diamination](image)

Di-tert-butylthiadiaziridine 1,1-dioxide 26 is also an active substrate to undergo Pd-catalyzed diamination of 1,3-dienes. Optically active cyclic sulfamides 27 were manufactured in up to 98% yield and with up to 93% ee from the reaction of 1,3-dienes with 26 enabled by palladium catalyst generated from Pd(dba)\textsubscript{3} and chiral phosphoramidite L5 (Scheme 16).

![Scheme 16 Enantioselective diamination with di-tert-butylthiadiaziridine 1,1-dioxide](image)

\subsection*{2.6 Hydroamination}

Hydroamination refers to the direct addition of amines to unsaturated hydrocarbons, leading to amines.\textsuperscript{26} 1,3-Dienes and primary or secondary amines could undergo hydroamination smoothly in the presence of Pd(0) and appropriate ligands, in which \(\eta^1\)-\(\text{C}_3\text{H}_5\) Pd(II) complex are used widely as catalyst precursors. In the catalytic cycle (Scheme 17), an amine attacks the original \(\eta^1\)-\(\text{C}_3\text{H}_5\) Pd salt to generate an ammonium salt 29 and Pd(0). Oxidative protonation with the ammonium salt then forms a transient Pd-H \textit{Int-3}. Diene migratory insertion to the Pd-H intermediate initially leads to a Pd-o-allyl \textit{Int-4}, which may isomerize into \(\pi\)-allyl intermediate \textit{Int-5}. The subsequent attack by the amine generates a Pd\textsuperscript{0}-allylic ammonium complex \textit{Int-6}, which releases the product 28 and regenerates Pd(0).

In 2001, the Hartwig lab showed that aryamines could be added to cyclohexene in the presence of \([\text{Pd}(\eta^1\text{-C}_3\text{H}_5)\text{Cl}]_2\) and Trost ligand L6 to give chiral 1,4-products 30 with up to 95% ee (Scheme 18).\textsuperscript{27}

Cationic \(\eta^1\)-\(\text{C}_3\text{H}_5\) palladium complexes [Pd2]OTf, prepared by the treatment of \([\text{Pd}(\eta^1\text{-C}_3\text{H}_5)\text{Cl}]_2\) with 1,2-diaryl-3,4-bis[2,4,6-tri-tert-butylphenyl]phosphinidene]cy-
Beller and co-workers reported a 1,4-hydroamination of acyclic and cyclic dienes catalyzed by Pd(cod)Cl$_2$ in combination with a bidentate phosphorus ligand DPEphos L$^7$ (Scheme 20). The reaction proceeds in good yields and with high regioselectivity.

In 2017, Malcolmson et al. established an enantioselective hydroamination of aliphatic amines with acyclic 1,3-dienes, generating chiral allylic amines in up to 94% ee (Scheme 21). Chiral PHOX ligand L$^8$ involving an electron-deficient phosphine not only shows high reactivity in the transformation but also plays a special role in achieving high site and enantioselectivity for the 1,2-addition product. Notably, more electron-rich substituents on the diene have a dramatic effect on the formation of the 1,2-product.

Very recently, the same group reported a highly enantio- and regioselective Pd(0)-catalyzed hydroamination of 1,4-disubstituted acyclic internal 1,3-dienes, which are considered even more challenging substrates (Scheme 22). A variety of secondary aliphatic amines, indoline, and...
primary anilines undergo the asymmetric 1,2-hydroamination reaction with a diverse spectrum of aryl/alkyldisubstituted dienes as well as sterically differentiated alkyl/alkyl-disubstituted dienes, generating allylic amines bearing various α-alkyl substituents in up to 78% yield, with >98:2 dr, and 97% ee.

### 3 Boration

The boration of 1,3-dienes has received a great deal of attention because it generates a diverse range of alkyl boronates, which are important intermediates and building blocks in synthetic organic chemistry.\(^3\) Fe,\(^3\) Cu\(^3\) or Ir\(^3\) catalyzed boration of 1,3-dienes has been investigated intensively by several groups; however, the Pd(0)-catalyzed variants are relatively rare.

#### 3.1 Hydroboration

The preparation of allylic boronates 36 from a 1,4-hydroboration of 1,3-dienes was initially reported by Suzuki’s group in 1989.\(^3\) Under the catalysis of Pd(Ph₃P)₄, the hydroboration of buta-1,3-diene, isoprene, myrcene or 2,3-dimethylbuta-1,3-diene with catecholborane (1,3,2-benzodioxaborolane) (Scheme 23). 38 The alkynyl boration of 1,3-dienes, aryldiazonium tetrafluoroborates and B₂(Pin)₂ rendered by the palladium and chiral anion phase-transfer combined catalysis.

![Scheme 23](image)

**Scheme 23** Hydroborations of 1,3-dienes

#### 3.2 Arylboration

Recently, Gong and co-workers reported a stereo- and regioselective multicomponent carbonyl allylation reaction of buta-1,3-dienes, aryldiazonium tetrafluoroborates, and aldehydes in the presence of octaphenyl-2,2′-bi(1,3,2-dioxaborolane) [B₂(Pin)₂], enabled by the combined catalysis of palladium acetate and chiral anion phase transfer, favoring the assembly of chiral Z-configured homoallylic alcohols 37 in high yields and with excellent levels of enantioselectivity (Scheme 24).\(^3\) The key chiral allylboronate intermediate Int-7, which then undergoes the asymmetric allylborylation of aldehydes to give homoallylic alcohols, is initially generated from the allylborylation of a 1,3-diene with an aryldiazonium tetrafluoroborates and B₂(Pin)₂ rendered by the palladium and chiral anion phase-transfer combined catalysis.

![Scheme 24](image)

**Scheme 24** Enantioselective allylboration with buta-1,3-dienes and alkynyl bromides

#### 3.2 Alkynylboration

To extend the scope of the palladium and chiral anion phase-transfer combined catalysis for the difunctionalization of 1,3-dienes, Gong and co-workers established a multicomponent carbonyl allylation reaction of buta-1,3-dienes, alkynyl bromides, and aldehydes with octaphenyl-2,2′-bi(1,3,2-dioxaborolane) (Scheme 25).\(^3\) The alkynyl palladium phosphate Int-8 generated in situ from the metathesis reaction of a chiral silver phosphate and the alkynyl palladium bromide turns out to be a key intermediate that controls the stereoselectivity of chiral allylboronate intermediate Int-9.

![Scheme 25](image)

**Scheme 25** Enantioselective alkynylboration with buta-1,3-dienes and alkynyl bromides

### 4 Carbonation

In addition to heteroatom nucleophiles, stabilized carbanions such as `CH(CN)₂`, `CH(CN)CO₂R` or `CH(CO₂R)₂` have been widely employed in the Pd-catalyzed carbonation of 1,3-dienes.
4.1 Vinyl or Arylation/Alkylation

In 1983, Dieck and co-workers reported the first vinylalkylation reaction of 1,3-dienes with dimethyl sodiomalonate and 1-bromo-2-methylpropene catalyzed by palladium complex formed from Pd(OAc)$_2$ and PPh$_3$, to give the corresponding 1,4-selective product 39, albeit in moderate yield (Scheme 26).9

![Scheme 26 Vinylalkylation with 1-bromo-2-methylpropene](image)

In 1987, Takahashi and co-workers described a Pd-catalyzed three-component arylalkylation of buta-1,3-diene with aryliodide and malononitrile or methyl cyanoacetate, allowing for the generation of the corresponding 1,4-products 40 and 41 with iodobenzene and buta-1,3-diene in moderate yields (Scheme 27).39

![Scheme 27 Arylalkylation with iodobenzene](image)

4.2 Intramolecular Arylation or Vinlylation/Alkylation

Grigg and co-workers demonstrated that the sodiomalononitrile could attack the $\pi$-allyl-palladium species, which is catalytically generated from an intramolecular 5-exo-trig cyclization on a proximate diene mediated with Pd complex, to afford the corresponding regiospecific 1,4-product 42 in 60% yield (Scheme 28).13

![Scheme 28 Intramolecular arylalkylation](image)

By using BINAP as a chiral ligand, Shibasaki established an intramolecular asymmetric Heck insertion and allylic alkylation cascade reaction (Scheme 29).40 An optically active functionalized bicyclo[3.3.0]octane 43 could be feasibly accessed by this reaction and was used as a chiral building block for the first catalytic asymmetric total synthesis of $(-)\Delta^{9(12)}$-capnellene. Interestingly, the addition of sodium bromide improved the enantioselectivity without erosion of the chemical yield in all cases by preventing counteranion exchange between the triflate anion and the enolate anion by coordination with sodium enolate.

![Scheme 29 Enantioselective intramolecular arylalkylation for the total synthesis of $(-)\Delta^{9(12)}$-capnellene](image)

4.3 Arylation/Intramolecular Alkylation

In parallel with the development of heteroannulation of 1,3-dienes,10 Larock and co-workers also accomplished an intramolecular carboannulation of 1,3-dienes with aryl iodides to give indanes 44 and tetralins 45 in high yields (Scheme 30).41 In addition to malonate-type nucleophiles, other carbon nucleophiles $\alpha$ to an ester, a ketone or a nitrone functionality were also tolerated, as exemplified by 46–48, to afford the corresponding products in good yields. Nevertheless, a palladium-catalyzed annulation of 1,4-dienes using ortho-functionally substituted aryl halides was also developed by the same group.42

![Scheme 30 Arylation/intramolecular alkylation for the synthesis of indane and tetralin](image)

The enantioselective carboannulation of 1,3-dienes and aryl iodides was very recently established by Gong and co-workers. The use of chiral palladium complex of BINOL-
based phosphoramidite ligand \( \text{L9} \) allowed the reaction to provide optically active indanes \( \text{49} \) in high yields and with excellent enantiomeric excesses (Scheme 31).

**Scheme 31** Enantioselective carboannulation of 1,3-dienes and aryl iodides by using a BINOL-based phosphoramidite ligand

### 4.4 Three-Component Arylation, Vinylation or Alkylation

In 2011, Sigman and co-workers reported a three-component coupling reaction of vinyl triflates and boronic acids with terminal 1,3-dienes catalyzed by palladium to give 1,2-vinylation product \( \text{50} \) (Scheme 32). The Pd-\( \pi \)-allyl intermediate \( \text{int-10} \) tends to undergo transmetalation with a boronic acid derivative rather than \( \beta \)-hydride elimination, after reductive elimination to give the products \( \text{50} \).

**Scheme 32** Three-component vinylation

In 2015, Gong and co-workers successfully established a highly enantioselective three-component coupling of 1,3-dienes with aryl iodines and stabilized carbon nucleophiles (sodium dialkyl malonates) (Scheme 33). A H\( _8 \)-BINOL-based phosphoramidite \( \text{L12} \) turned out to be the most effective chiral ligand, which not only provides high catalytic activity, but is also able to efficiently control the regio- and stereoselectivity.

**Scheme 33** Enantioselective three-component coupling of 1,3-dienes with aryl iodines and sodium dialkyl malonates
4.5 Others

Yoshida and Ihara reported a cascade insertion–ring expansion reaction of 1,3-dienylcyclobutanols with aryl iodides to generate (Z)-2-(3-aryl-1-propenyl)cyclopentanones 54 in a stereospecific manner (Scheme 36).⁴⁹ In the reaction, an arylpalladium complex formed from aryl iodide with palladium(0) undergoes a Heck insertion reaction with 1,3-dienyl moiety to give an allylic palladium intermediate Int-11. The Int-11 reacts with a base to form a zwitterionic π-allylpalladium intermediate Int-12, which subsequently undergoes a ring rearrangement to furnish a ring-expanded product 54 and regenerate the palladium(0) catalyst.

Recently, Luan and co-workers described a Pd-catalyzed dearomatization reaction of phenol-derived biaryls with 1,3-dienes to generate spirocyclic compounds 55 in good yields and with excellent chemo- and regioselectivity (Scheme 37).⁵⁰ The reaction proceeds through a reaction sequence of oxidative addition (P4, Scheme 38) to the C–I bond, regioselective olefin insertion (P5), and allylative dearomatization (P6).

Preliminary studies on the enantioselective version revealed that chiral phosphoramidite ligand ent-L5 could allow the reaction to yield spirocyclic compounds with good enantioselectivities (Scheme 38).⁵⁰

In a continuation of the asymmetric hydroamination of 1,3-dienes,³⁰,³¹ Malcolmson and co-workers recently described a highly efficient and enantioselective intermolecular addition of activated C-pronucleophiles to acyclic 1,3-dienes enabled by Pd catalysts ([Pd4]BF₄ or [Pd5]BF₄) bearing electronically deficient phosphines (Scheme 39).⁵¹ The 1,2-difunctionalized products 56 could be obtained in up to 96% yield and 95% ee.
5 Hydrogenation

Sigman and co-workers recently reported the only example to date of regio- and stereoselective 1,2-vinylhydrogenation of terminal 1,3-dienes with enol triflates/nonaflates in the presence of sodium formate (Scheme 40).\(^{52}\) Trapping of the π-allyl intermediate generated from the initial migratory insertion of the diene with a hydride source allows access to structurally complex and synthetically challenging stereodefined \((E)\)- and \((Z)\)-tri- and tetrasubstituted alkene building blocks 57.

![Scheme 40](image)

6 Oxygenation

Larock and co-workers created a Pd-catalyzed oxyannulation of 1,3-dienes with \(\text{o-iodophenol}\) substrates to give dihydrobenzofuran products (Scheme 41).\(^{10}\) Cyclohexa-1,3-diene, 1-butyl-1,3-butadiene and 2-methylbuta-1,3-diene underwent facile intramolecular oxyannulation to deliver the corresponding dihydrobenzofurans 58–60 in moderate yields (Scheme 41, eqs. 1–3). The reaction of \(\text{o-iodophenol}\) and isoprene affords compound 60\(^{+}\) in reasonable yield (Scheme 41, eq. 3), although a minor amount of a regioisomer is observed. Phenols bearing electron-withdrawing groups such as aldehydes and ketones generally give higher yields. Particularly, \(\text{o-iodobenzyl alcohol}\) can be employed to form isochroman derivative 62 (Scheme 41, eq. 4).

Recently, Han and co-workers realized an asymmetric version of the Pd-catalyzed difunctionalization between \(\text{o-iodobenzyl alcohol}\) and arylbutadienes (Scheme 42).\(^{11}\) Under similar conditions in the synthesis of chiral indolines,\(^{11}\) chiral isochromans 63\(^{a-d}\) could be obtained with high enantioselectivities and moderate yields.

![Scheme 42](image)

In 2005, Yeh and co-workers reported a palladium-catalyzed difunctionalization of 7-hydroxy-1,3-dienes with aryl bromides (Scheme 43).\(^{53}\) The reaction proceeded through different paths depending on the structure of the substrates. With cyclic 7-hydroxy-1,3-dienes 64, the insertion of a C–C double bond into the Pd–O bond of the initially formed Pd(\(\text{Ar})\)(OH)\(\text{OR})\)-olefin complex \(\text{Int-13}\) is predominant and results in the formation of 1,4-alkoxyarylation product 66. In contrast, the reaction of acyclic 7-hydroxy-1,3-dienes

![Scheme 43](image)
proceeded through the insertion of the double bond into either the Pd–C or the Pd–O bond of the Pd(Ar–(OR)–olefin intermediate Int-14 to afford 1,2-oxoarylation products 67 after reductive elimination. The difference in the formation of allyloxyarylation products (1,4- vs. 1,2-allyloxyarylation) between cyclic and acyclic substrates actually arises because the \( \eta^1-\eta^2-\eta^3 \) allylic isomerization may be faster in the cyclic intermediate Int-15 than the acyclic intermediate Int-16 or Int-16' for steric reasons.

To synthesize capnellenol, a catalytic asymmetric cascade Heck reaction and allylic esterification was accomplished by Shibasaki. Various ligands and solvents were screened to reveal that the chiral palladium complex of (S)-BINAP delivered the best results in dimethyl sulfoxide (DMSO) (Scheme 44).

### 7 Silylation

Optically active allylsilanes are useful reagents in stereoselective organic synthesis, because they are able to participate in asymmetric carbonyl or imine allylations with highly efficient chirality transfer. Increasing attention has been directed toward their asymmetric catalytic synthesis. Among the methods to access chiral allylsilanes, the palladium-catalyzed asymmetric hydrosilylation of 1,3-dienes has unique advantages, for example, using readily accessible starting materials. However, no breakthrough had been achieved in this field until recently. The chiral monodentate phosphine L13 with a binaphthyl moiety was identified as the most efficient ligand for the asymmetric hydrosilylation of cyclic 1,3-dienes whereas the planar chiral ferrocenyloxymonophosphine L14 with two ferrocenyl moieties turned out to be an efficient ligand for the reaction involving linear 1,3-dienes (Scheme 45).

### 8 Conclusion and Outlook

In the past forty years, the palladium(0)-catalyzed difunctionalization reactions of 1,3-dienes have made significant progress, culminating in a diverse range of transformations that provide efficient way to assemble densely functionalized molecules from readily available substances. Abundant availability of chiral ligands for the palladium(0) catalysis has enabled switching the racemic reaction to an enantioselective version. Nevertheless, the stereochemical control remains a formidable challenge in the difunctionalization of 1,3-dienes, as indicated by the fact that many reactions are still not enantioselective. In addition, 1,3-diene components in these known processes are limited to aryl substituted or terminal dienes. Either alkyl substituted or internal acyclic dienes have rarely been reaction components in the asymmetric difunctionalization. Moreover, efficient control of regioselectivity is another big deal in such transformations. Therefore, new concepts, proper chiral ligands designed for Pd catalysis, and the development of new transformations for building up structural complexity will be future focuses in the difunctionalization of 1,3-dienes.

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