Open vs. Percutaneous Pedicle Screw Insertion for Thoracolumbar Traumatic A3 and A4 AO Fractures - 18-Months Follow-Up

Comparação entre as técnicas convencional aberta e percutânea para colocação de parafusos pediculares em fraturas toracolombares AO A3 e A4 - seguimento de 18 meses

Flávio Ramalho Romero¹,² Rodolfo Brum Vieira¹,² Bruno da Costa Ancheschi³

¹ Division of Neurosurgery, Faculdade de Medicina de Botucatu, Universidade do Estado de São Paulo, Botucatu, SP, Brazil
² Neurosurgery, Hospital das Clínicas & Hospital Unimed Botucatu, Botucatu, SP, Brazil
³ Department of Orthopedic and Spine Surgery, Universidade do Estado de São Paulo, Botucatu, SP, Brazil

Address for correspondence Flávio Ramalho Romero, MD, MSc, PhD, Departamento de Neurologia, Psiquiatria e Psicologia, Distrito de Rubião Júnior s/n – Botucatu - SP, 18.618-970, Brazil (e-mail: frromero@ig.com.br; romeroncr@gmail.com).

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Abstract

Purpose To compare the efficacy and safety of the percutaneous screw fixation (PSF) and the open pedicle screw fixation (OPSF) on thoracolumbar (TL) fracture.

Methods Sixty-four adult patients with TL vertebral fractures who underwent open or percutaneous posterior short-segment transpedicular screw fixation between January of 2013 and September of 2015 were retrospectively reviewed. All patients underwent clinical, radiological and quality of life follow-up for at least 18 months.

Results There was no significant difference in age, gender, time between injury and surgery, and preoperative percentage of anterior column height, preoperative sagittal regional Cobb angle, or kyphotic angle of fractured vertebra between these two groups (p > 0.05). There was significantly less intraoperative blood loss in the PSF (87.6 ± 24.6 mL) than in the OPSF group (271.4 ± 142.6 mL) (p < 0.05). The mean surgery time was 62 minutes (range 42–130 minutes) for open and 58 minutes (range 35 to 128 minutes) for percutaneous screw fixation. The surgery time was shorter in the PSF group, but with no statistical significance (p > 0.05). The mean Oswestry disability index (ODI) scores after 18-months were 23.12 ± 8.2 for the PSF and 24.12 ± 9.2 for the OPSF group, without any statistical significance (p > 0.05).

Conclusion Both open and percutaneous screw fixations are safe and effective. The percutaneous techniques significantly reduced the intraoperative blood loss compared with the open techniques.

Keywords
► thoraco-lumbar fracture
► percutaneous screw fixation
► screw insertion
► minimally invasive spine surgery

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### Introduction

The incidence of spinal cord injury (SCI) has been estimated to be between 30 and 40 cases per million, per year, or ~10,000 new cases annually. The highest incidence occurs in the 16- to 30-year-old range, with 54% of all injuries. The most frequent cause of SCI has been motor vehicle crash, followed by violence and falls. Thoracolumbar (TL) fractures account for 50–74% of spinal injuries, with more than 50% between T11 and L1. Fifty percent of TL fractures are unstable and can result in significant anatomic injury and deformity. Also, neurological deficit is present in 20–40% of TL fractures with most paraplegics sustaining trauma in the T11 to L2 spinal segment. Although for some patients with TL fractures without neurologic deficit non-operative treatments obtain good clinical outcomes, many cases have to be submitted to surgical intervention. The surgical treatment of thoracic and lumbar spine fractures depends on various factors because the pathological features of the vertebral lesion, the neurological deficit and the general condition of health affect the treatment and the final result. Many surgical options have been used and, more recently, short-segment pedicle screw fixation (SSPSF) without fusion is widely adopted as an effective treatment with good functional outcomes.

There are few studies in the literature comparing these two techniques. Our purpose was to evaluate the long-term follow-up in patients with TL fractures treated with SSPSF and compare open with percutaneous technique.

### Methods

#### Casuistic

Patients with TL vertebral fractures who underwent open or percutaneous posterior short-segment transpedicular screw fixation between January of 2013 and September of 2015 at the neurosurgical division of a hospital in Botucatu, SP, Brazil, were retrospectively reviewed. The inclusion criteria were: 1) acute A3 or A4 (AO Spine Thoracolumbar Spine Injury Classification System) TL fracture (T12–L2) at a single level; 2) interval from injury to surgery within 72 hours; and 3) follow-up period of more than 18 months. We excluded patients with pathologic fractures, spinal cord or cauda equina injury, or those who had previously received spine surgery due to trauma. The local ethics committee approved the study.

All patients underwent posteroanterior and lateral X-ray examination, computed tomography (CT) scan (including CT in combination with a 3-dimensional reconstruction in some cases), and magnetic resonance imaging (MRI) examination to determine the types of fractures.

#### Surgical Procedure

All surgical procedures were performed under general anesthesia. The patients were placed in prone position with the abdomen hanging free through a bolster set under the chest and the iliac crest. The level of the fractured vertebra and the pedicles above and below the injury level were marked by C-arm X-ray examination. In the open pedicle screw fixation (OPSF) technique, the spine was exposed through a routine posterior midline approach. After insertion, the pedicle
screws were positioned by C-arm X-ray examination, and were then fixed with rods. Finally, one drainage tube was placed in the suction (►Fig. 1).

In the percutaneous screw fixation (PSF) technique, the 2.0 cm skin incisions were performed above the pedicles markers. Under the C-arm guidance, the needles were inserted through the pedicles into the vertebral bodies, and guide wires were inserted into the vertebral bodies through these needles. Dilation tubes were gradually placed through the guide wire, and the last one was withheld. Pedicles advancing to the junctions between the pedicles and the vertebral body were tapped and then the screw insertions were performed. All the screws had additional towers to guide rod insertion. Then, the rods were inserted through these towers and blocked at the screw heads. Finally, all additional devices were removed and the skin incisions were closed (►Fig. 2).

For all the patients, a first-day postoperative X-ray examination (posteroanterior and lateral) and a CT scan were performed. All patients, except those with fractures in the pelvis or lower limb, were encouraged to walk.

Follow-Up
All patients underwent clinical, radiological and quality of life follow-up for at least 18 months. Visual analog scale (VAS) for pain, time of hospital stay, and blood loss at procedure were evaluated. X-ray images on posteroanterior and lateral view were performed at 3 months, 12 months, and 18 months after the surgery. Anterior column height, sagittal regional Cobb angle, and fracture kyphotic angle were obtained on lateral X-ray images before and immediately after the surgery, as well as at the final follow-up, using the Osirix (Pixmeo SARL, Bernex, Geneva, Switzerland) medical imaging viewer. The Oswestry Disability Index (ODI) score was used to evaluate the quality of life 18 months after surgery.21 The statistical data were analyzed using the SPSS software for Windows V13.0 (SPSS Inc., Chicago, IL, USA). A p value < 0.05 was considered statistically significant for all the tests.

Results
Sixty-four adult patients were treated by open or percutaneous posterior short-segment transpedicular screw fixation. The mean age was 36.72 years (range 16–54 years) and the male to female ratio was 2:1. The mean surgery time was 62 minutes (range 42–130 minutes) for OPSF and 58 minutes (range 35 to 128 minutes) for PSF. The demographic data assessed were age, sex, injury mechanisms, injured spine levels, interval between injury and operation, and the preoperative radiological parameters of the fractured vertebra were summarized on ►Table 1. There was no significant difference in age, gender, interval between injury and operation, and the preoperative radiological parameters of the fractured vertebra between these 2 groups (p > 0.05, ►Table 1).

Surgery time was shorter in the PSF group, but with no statistical significance (p > 0.05, ►Table 2). Also, there was no statistically significant difference between these two groups (p > 0.05, ►Table 2) in the immediate postoperative anterior...
column height percentage correction, sagittal regional Cobb angle correction, kyphotic fracture angle, hospital stay or pain (VAS) on the first postoperative day. There was significantly less intraoperative blood loss in the PSF (87.6 ± 24.6 mL) than in the OPSF group (271.4 ± 142.6 mL) (p < 0.05, Table 2).

No statistically significant difference was observed in the follow-up period between the two groups (p > 0.05). There were no infections in the PSF group and two cases of infection in the OPSF group. Misplacement was found in three cases, with two pedicle screws with a small converging angle in the OPSF group, and one partly out of the pedicle and vertebral body in the PSF group. In addition, there were no cases of screw pull out. Also, no significant difference was observed in the percentage of anterior column height correction, in the sagittal regional Cobb angle loss, or in the kyphotic fracture angle after 18-months follow-up (all p > 0.05). The mean ODI scores after 18 months were 23.12 ± 8.2 for the PSF and 24.27 ± 9.2 for the OPSF group, and it did not show any statistical significance (p > 0.05).

**Discussion**

Few studies have compared the efficacy of the PSF versus the OPSF fixation for TL fractures.22–26 Our results confirmed that

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Demographic data assessed and preoperative radiological parameters of the fractured vertebra</th>
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<tbody>
<tr>
<td></td>
<td>PSF</td>
</tr>
<tr>
<td>Age (years)</td>
<td>34.32</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>21</td>
</tr>
<tr>
<td>Female</td>
<td>10</td>
</tr>
<tr>
<td>Injury Mechanism</td>
<td></td>
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<tr>
<td>Traffic accident</td>
<td>19</td>
</tr>
<tr>
<td>Falls</td>
<td>12</td>
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<tr>
<td>Fracture level</td>
<td></td>
</tr>
<tr>
<td>T12</td>
<td>12</td>
</tr>
<tr>
<td>L1</td>
<td>11</td>
</tr>
<tr>
<td>L2</td>
<td>08</td>
</tr>
<tr>
<td>Interval between injury and surgery</td>
<td>3.4 ± 1.4</td>
</tr>
<tr>
<td>Radiological preoperative parameters</td>
<td></td>
</tr>
<tr>
<td>% Anterior column height</td>
<td>66.1 ± 10.8</td>
</tr>
<tr>
<td>Sagittal Cobb angle</td>
<td>12.1 ± 6.9</td>
</tr>
<tr>
<td>Kyphotic angle</td>
<td>16.8 ± 5.3</td>
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</tbody>
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Abbreviations: OPSF, open pedicle screw fixation; \(p\)-value; PSF, percutaneous screw fixation.

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Outcome data in open pedicle screw fixation (OPSF) and percutaneous screw fixation (PSF)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>OPSF</td>
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<tr>
<td>Operation time (min)</td>
<td>62 ± 18.9</td>
</tr>
<tr>
<td>Intraoperative. blood loss (mL)</td>
<td>271.4 ± 142.6</td>
</tr>
<tr>
<td>VAS on operative day</td>
<td>4.2 ± 2.7</td>
</tr>
<tr>
<td>Hospital stay</td>
<td>3.2 ± 2.7</td>
</tr>
<tr>
<td>18-months follow-up</td>
<td></td>
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<tr>
<td>VAS</td>
<td>4.3 ± 1.9</td>
</tr>
<tr>
<td>ODI</td>
<td>24.27 ± 9.2</td>
</tr>
<tr>
<td>Correction parameters</td>
<td></td>
</tr>
<tr>
<td>% anterior column height</td>
<td>21 ± 11.7</td>
</tr>
<tr>
<td>Sagittal Cobb angle (°)</td>
<td>7.5 ± 6.8</td>
</tr>
<tr>
<td>Kyphotic angle (°)</td>
<td>9.7 ± 7.2</td>
</tr>
</tbody>
</table>

Abbreviations: F values, analysis of variance (ANOVA); ODI, Oswestry disability index; OPSF, open pedicle screw fixation; PSF, percutaneous screw fixation; VAS, visual analog scale,
both PSF and OPSF are safe and effective for the treatment of TL fractures. Our results indicated obvious correction of the percentage of anterior column height, the sagittal regional Cobb angle, and kyphotic angle of the fractured vertebra in all patients immediately and 18 months after the surgery.

The mean operation time was a little shorter in the PSF group compared with the OPSF group (58 versus 62 minutes), but with no statistical significance. Some other clinical studies reported shorter operation time for percutaneous compared with open techniques. But another study did not show any difference in operation time between these two techniques. All the procedures of our study were performed by the same senior surgeon, but the percutaneous technique is relatively recent worldwide as well as in our institution. So, one reason for these findings could be explained by the greater experience of our institution with open spine procedures.

There was significantly less intraoperative blood loss in the PSF than in the OPSF group, which is consistent with many other studies. The reasons for this difference were smaller incision and less muscular damage in the PSF group. The OPSF technique required opening all the posterior muscle in the middle line to expose the posterior elements of the vertebrae. Our data did not show any mortality and only two cases of superficial wound infection, supporting the conclusion that these two techniques are safe for treatment of TL fractures.

Correction of the percentage of anterior column height of the fractured vertebra did not represent and advantage of the PSF technique in comparison with the OPSF technique, neither did the correction of the sagittal regional Cobb angle and the kyphotic angle of the fractured vertebra. Also, the VAS on surgery day and in the first postoperative day did not represent a statistically significant difference between the PSF and the OPSF group according to the other studies comparing these two techniques. The ODI and VAS in the 18-months follow-up did not exhibit any significant difference. Other studies did not demonstrate any significant differences between these two techniques in the long-term follow-up either.

Some limitations are found in our study. First, it was a retrospective study based on reviewing the collected clinical information of the patients. Second, the number of patients included was insufficient for statistical analysis. Third, the follow-up period was relatively short. Thus, future prospective controlled studies with a larger number of patients and longer follow-up period are warranted.

**Conclusion**

Both OPSF and PSF are safe and effective for the treatment of TL fractures, with good results at long-term follow-up. Percutaneous screw fixation significantly reduced the intraoperative blood loss compared with OPSF. No significant differences were found between the PSF and the OPSF groups in terms of correction of the percentage of anterior column height, of sagittal regional Cobb angle correction or in the kyphotic angle of fractured vertebra. Also, we found no significant difference in the correction loss angle between the OPSF and PSF fixation groups at the final follow-up.

**References**

21 Fairbank JCT, Pynsent PB. The Oswestry Disability Index. Spine 2000;25(22):2940–2952, discussion 2952