The Aging Lung: Clinical and Imaging Findings and the Fringe of Physiological State

Die alternde Lunge: Klinisch-radiologische Aspekte und die Grenzen von Physiologischem und Pathologischem

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Key words

● ageing lung
● thoracic imaging
● elderly
● geriatric medicine

Abstract

Since aspects of demographic transition have become an essential part of socioeconomic, medical and health-care research in the last decades, it is vital for the radiologist to discriminate between normal ageing related effects and abnormal imaging findings in the elderly. This article reviews functional and structural aspects of the ageing lung and focuses on typical ageing related radiological patterns.

Key points:

▶ The physiological aging process of the thoracic organs shows typical structural and functional aspects.
▶ Mild interstitial fibrosis and focal parenchymal abnormalities like septal thickening can be diagnosed frequently – whereas a clinical correlate is often lacking.
▶ With increasing patient age, the influence by various intrinsic and extrinsic factors (including comorbidities of the patient, and drug inhalation toxicants) also increases.
▶ A growing spectrum of imaging techniques (including functional cardiopulmonary MRI, MRI spectroscopy, hybrid-techniques) is confronted by rare empiric data in the very old people (aging 80 years and older).

Introduction

In 2050 more than 40% of the population of Germany will be over 60 years of age, whereas in particular those over 80 years of age will increase disproportionately and could reach approx. 15% [1]. The anticipated life expectancy of today’s 60-year-old is about 85 years; today’s 80-year-old can expect to live to age 89 [2]. There are some pitfalls when distinguishing physiological and pathological changes of the lung among older persons; such drawbacks are the subject of this article. Since there is no uniform definition of age [3], persons older than 60 years of age will be arbitrarily defined here as the cohort of the “elderly”. Actually this lower threshold – which can vary individually – could be set at 70 or 80 years.

The following issues should be addressed:

▶ Which structural and functional changes do the thoracic organs exhibit in old age?
▶ Which typical and common radiological changes occur? What incidental findings are without clinical significance and which findings may have clinical implications?
▶ What influence do extrinsic (medication, polypharmacy, environmental influences) and intrinsic (cardiopulmonary events, other systemic underlying disorders, e.g.

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diabetes) influence factors have on lung changes in old age?

What value does thoracic imaging have in old age, and what empirical data are missing?

1. Functional and Structural Changes of the Lungs and Thorax

The histopathological and pulmonary changes in elderly healthy volunteer subjects have been extensively studied in recent decades [4, 5]. On the other hand, a healthy elderly norm population used for reference is rare, and the number of volunteer subjects is frequently small. Thus Bisschop et al. [6], in their cohort of 66 to 88-year-olds for a study of pulmonary obstruction and lung function parameters, found that of their initial selection of 750 people, only 116, i.e. 15.5 % “healthy” volunteers could be identified, that is, asymptomatic non-smokers without pre-existing conditions.

1.1. Structural aspects

Thoracic wall and (accessory) respiratory muscles:

With increasing age, rigidity of the thoracic wall increases – due to calcification of costosternal joints and osteoarthritis of the costovertebral junctions on the one hand, and on the other, increasing kyphosis of the thoracic spine due to vertebral fusion. The latter also exhibits effects upon diaphragm mobility and force [4, 7, 8], potentially causing restrictive respiratory disorders. The internal intercostal muscles which support active expiration atrophy with increasing age; expectoration consequently becomes difficult, and infectious respiratory disease is fostered. In addition, the amount of effort required by the diaphragm and abdominal muscles to effect breathing increases.

Lung parenchyma:

Lung elasticity decreases with age. This primarily affects the interconnection of elastic fibers; additionally increased release of the so-called neutrophil elastase with the destruction of elastin has been studied [4]. Ex-vivo studies on human autopsy specimens have demonstrated an increase in the size of the alveolar ducts as well as the alveoli [9]. On the other hand, the pathognomonic destruction of the alveolar walls in emphysema is not an element of the physiological aging process.

1.2. Pulmonary aspects

As elasticity of the lung diminishes, its compliance (extensibility) likewise decreases, with reduced compliance of the chest due to rigidity. Total lung capacity does not essentially change with aging. At end expiration however, residual volume increases, leading to a decline in vitality. Reduced supporting tissue surrounding the respiratory bronchioles results in significantly premature collapse or closing of the small air passages [10, 11] with air trapping as radiological correlate. A related component of the peripheral air passages is consequently not involved in gas exchange. In addition to decreased capillary density per acinus, the previously-mentioned inhomogeneity in the ventilation/perfusion ratio ensures reduced capacity for the diffusion of carbon monoxide (CO transfer factor $D_{L,CO}$) [11 – 13].

2. Radiological Aspects

2.1. Density reduction of lung parenchyma

Age-related hyperinflation, “senile emphysema”, pulmonary emphysema and COPD in old age

Physiological size increase of alveoli and alveolar ducts as a radiological correlate contrasts with the age-related density reduction of the lungs by approx. 50 HU between the ages of 20 and 70 [14], and can hardly be differentiated visually by the investigator. At the same, the prevalence of COPD increases with age, whereas the extent of air passage obstruction and dysfunction as well as the extent of emphysema can vary according to phenotype. In their meta-analysis, Halbert et al. [15] describe a prevalence of COPD at around 15 % for those 65 years of age and older. Histological studies by Verbeken et al. [10] demonstrated that age-related alveolar hyperinflation is homogeneously distributed across the entire lung, whereas emphysematous pulmonary changes mainly affect the upper or lower lobe, depending on the phenotype. The concept “senile emphysema” describing physiological alveolar hyperinflation without destruction is considered obsolete [9, 16]. Since the presence of pulmonary emphysema represents an independent risk factor for developing lung cancer [17], and since procedures are increasingly being used to reduce lung volume (e.g. endobronchial valves, coils), when conventional thoracic X-rays are performed, the examiner should look for typical signs of advanced pulmonary emphysema (flattening of the diaphragm, expanded retrosternal and retrocardial air space, lung hypertransparency, peripherally rarefied vessels). For low and medium grade emphysematous changes, HRCT is clearly superior to conventional X-ray imaging [18]. In addition to visual emphysema grading as described by Bankier et al. [19], quantitative methods particularly demonstrate high correlation with pulmonary parameters [20]. These offer measurement during inspiration (extent of emphysema) as well as expiration (air trapping as correlate of the extent of air passage obstruction). Coronal and sagittal minimum intensity projections (MinIP) are recommended for orientation purposes. Fig. 1 shows boulus pulmonary emphysema with UIP pattern with suspected coincidental idiopathic pulmonary fibrosis.

2.2. Density increase of lung parenchyma

A typical finding in thoracic CT, particularly in the aged, is reversible, relatively homogeneous ground glass opacity in the basal dependent regions of the lung (Fig. 2). Shallow inspiration depth and position-dependent (hypostatic) alteration of the parenchyma are common causes. Practical note If there is clinical suspicion of interstitial pulmonary disease (see section 2.5) and the CT reveals density increases in the dependent lung regions, supplementary sequential layer images should be obtained in the prone position in order to determine reversibility of the density increases as an indication of hypostasis. If ground glass changes persist in the prone position, and additional signs of fibrosis such as honeycombing or tractive bronchiectasis are present, then usual interstitial pneumonia (UIP) should be consid-
ered, e.g. in cases of idiopathic pulmonary fibrosis or associ-
ated pulmonary alterations; however, consideration
should likewise be given to non-specific interstitial pneu-
monia (NSIP) such as appears in cases of collagenosis, but
also occurring idiopathically. NSIP can also be manifested
as diffuse subpleural ground glass without indication of fi-
brosis. The so-called mosaic pattern, qualitatively recogniz-
able focal pulmonary parenchymal density differences dur-
ing inspiration is frequently limited to the secondary lobule
or air trapping during expiration, with a lack of density in-
crease of lung tissue due to retained air. This is a common
finding in aging patients, but may also be an expression of
manifest pulmonary disease. Lee et. al [21] showed that in
an asymptomatic cohort ≥ 61 years of age (N = 17), the por-
tion of subjects with air trapping was 76%. Misdiagnosis of
a mosaic pattern or even generalized “alveolitis”-related
ground glass may be the result of accidental images of ex-
piration or respiration due to limited patient cooperation
during the examination, particularly during sequential HR
imaging.

2.3. Incidental pulmonary nodules: solid, partially solid,
ground glass
The increasing number of lung cancer screening studies in
the 1990s was accompanied by the recognition of the prev-
alence of incidentally-detected pulmonary nodules (or nod-
ular shadows) among asymptomatic volunteer subjects,
mainly smokers or former smokers between the ages of 55
and 75. The proportion of CT scans detecting at least one
non-calcified nodule ranges from approx. 25% [22] to ap-
prox. 70% [23]. In the course of further invasive diagnosis
only 1% of detected nodules were found to be malignant.
80% of the malignoma were larger than 8 mm. The Fleisch-
nner Society established recommendation for the mana-
gement of pulmonary nodules based on the size and risk
stratification of nodules found in volunteer subjects. In ad-
dition, algorithms were established for subsolid nodules
and ground glass nodules [24, 25]. There is no evidence to
support a different procedure among very old subjects
(> 75 years). Further, geographic and environmental factors
have been insufficiently included in the risk stratification.

Fig. 1 Emphysema and UIP pattern. HRCT of
the lung of a 70-year-old patient with dyspnea in coro-
nal a and sagittal b reconstruction. Advanced bul-
lous-confluent centrilobular emphysema (upper
lobe predomination, black arrows). Subpleural pul-
monary fibrosis with honeycombing (white arrow),
septal thickening and traction bronchiectasis, UIP
pattern in IPF.

Fig. 2 Paraseptal emphysema and dependent density ground-glass. HRCT
of the lung of a 71-year-old patient with clinical suspicion of ILD in collagen-
vascular disease. In addition to a paraseptal emphysema (white arrow) a
diffuse ground-glass and minor interlobular septal thickening (black arrow)
is shown. The diagnosis of a (cellular) NSIP could be favored. In additional
spaced axial scans in the prone position (for better comparison already ro-
tated by 180°) the alterations are (almost) completely reversible b.

2.4. Changes to the airways
Matsuoka et al. [27] showed a significant relationship be-
tween aging and the ratio of the diameter of the bronchus
to the accompanying artery (bronchoarterial ratio). This
was explained by relative hypoxemia existing in an aged
cohort which caused vasoconstriction and (relate-)
bronchial
dilation, effects which are seen among populations living at
high altitude. In Matsuoka’s cohort (age: 73.6 ± 6.2 years,
N = 27), the bronchoarterial ratio among 41% of subjects
was greater than 1.

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**Practical note** Caution should be observed with respect to a hasty diagnosis of bronchiectasis in the elderly. Additional criteria for diagnosis of bronchiectasis should include a bronchoarterial ratio greater than 1.5, bronchi visible into the direct periphery and lack of distal tapering of the bronchial diameter. In addition, complications of bronchiectasis such as exudative bronchiolitis and mucus retention are further evidence. Systemic diseases such as rheumatoid arthritis or chronic inflammatory bowel disease frequently coincidentally exhibit changes in the airways. The diagnosis report of elderly patients with only discretely expanded bronchial diameter without additional signs of bronchiectasis should document this (e.g. “borderline dilated bronchial system”). The degree of inspiration influences this, on the peripheral airways in particular [28].

The diagnosis of bronchial wall thickening using HRCT can only be passably judged. As a consequence of chronic airway disease, it is difficult to distinguish bronchial wall thickening from peribronchial cuffing, i.e. thickening of the bronchial sleeves, in a conventional radiograph such as of blockage of the pulmonary vein due to heart failure. A volume CT with secondary calculated HR slices is preferred to clarify possible bronchiectasis in the elderly. Coronal minimum-intensity projections (MinIPs) used for secondary reformatting disclose more discrete findings (Fig. 4).

### 2.5. Interstitial lung abnormalities – incidental findings without disease or subclinical ILD?

Utilization of new substances such as pirfenidone when treating low-to-mid-grade idiopathic pulmonary fibrosis (IPF) increases the importance of early diagnosis of IPF within the “therapeutic window”. This places HRCT of the lung in a position of prominence [29] in order to identify patients exhibiting interstitial pulmonary alterations following a possible or established UIP pattern. Algorithms for screening an IPF – a combination of clinical signs (e.g. auscultatory crepitation, persistent cough, dyspnea) and HRCT – are currently the subject of prospective studies [26, 30].

In secondary analyses of large-scale lung cancer screening programs, such as the American National Lung Screening Trial (NLST), using an age cohort of 55 to 74-year-olds, it has been shown that interstitial, non-nodular changes occur in about 10% of cases, whereas non-fibrotic changes appear in approx. 6% of cases (i.e. ground glass, mosaic pattern, consolidation). Fibrotic changes occur at a rate of 2% (i.e. ground glass and septum thickening, septum thickening only, honeycombing); mixed fibrotic/non-fibrotic findings occur in approx. 2% of cases [31, 32]. Typically the screening trials include smokers and former smokers, but not those who have never smoked (i.e. non-smokers). There is no empirical data on very old subjects (>80 years). Only
Copley et al. [33] reported incidental pulmonary HRCT findings in a small cohort (age 80.6 ± 4.2, N = 40) of non-smokers. All scans were performed in the prone position: predominantly subpleural and basal reticular thickening (septum thickening) was evident in 60% of the group of above-75-year-olds; isolated pulmonary cystic lesions were detected in 25% of the age group. The absence of ground glass lesions was striking, possibly due to an optimized protocol in the prone position to exclude effects of hypostasis. A histopathological correlation with the radiological findings could not be made, since no assertion could be made regarding the proportion of early forms of e.g. IPF. The majority of subjects exhibited unremarkable, i.e. age-appropriate, results in the pulmonary function test. The differentiation of the described septum thickening from early forms of IPF and other forms of interstitial lung disease (ILD) is both elementary – and highly challenging [34] (Fig. 5). A frequent finding in the elderly includes focal interstitial thickening adjoining osteophytes of the thoracic spine discovered in approx. 45% of cases [35] (Fig. 6). Frequently pharmaceutically-associated ILD must be considered. Despite numerous studies [36, 37] and a few internet databases (e.g. http://www.pneumotox.com), it is still difficult to make a coincidental or even causal correlation with a specific noxa (Fig. 7). The interaction of polypharmacy – defined as regular intake of four or more medications – on the lung and its radiological correlates is still not considered. In practice, particularly with geriatric patients with comorbidities and multiple medications, narrowing down to a sole diagnosis of “medication-induced ILD” is rarely possible. Brief follow-ups using chest images, especially using a few sequential HR layers with acceptable radiation exposure can favor limiting differential diagnosis to

Fig. 5 Uncharacteristic pulmonary fibrosis. HRCT of the lung of a 87-year-old patient. In addition to a distinct mosaic pattern (white arrows), there are findings of uncharacteristic fibrotic lung changes (black arrows) with septal thickening, traction bronchiectasis and (not shown here) scattered honeycombing. The suspected diagnosis of chronic hypersensitivity pneumonitis was not supported by BAL and history. There were comorbidities with chronic left ventricular dysfunction and gastroesophageal reflux. Polypharmacy without higher grade ‘suspicious’ drugs. The differential diagnosis favored a mixed pattern due to uncharacteristic pulmonary fibrosis and chronic pulmonary venous congestion. Forced invasive clarification was omitted due to age and lung functional limitation.

Fig. 6 Fibrosis adjacent to an osteophyte. Detail of a CT of the lung of a 76-year-old patient for tumor staging in lung cancer. As a secondary finding, discrete linear opacification is show adjacent to a prominent osteophyte (black arrow).
a few possibilities (Fig. 7, 8). Camus et al. [37] identify a variety of drugs that frequently induce ILD, including amiodarone, antibiotics (especially nitrofurantoin), non-steroidal antiphlogistics, chemotherapeutics (bleomycin, cyclophosphamide, gemcitabine, docetaxel, methotrexate). There are no data regarding the prevalence of pulmonary toxicity of medications most frequently prescribed to the elderly and their radiological manifestation.


This exposition has not identified the numerous “border areas” of imaging of the aging heart and vascular system. Also excluded are the descriptions of manifest disorders beyond the border area: pulmonary infectious diseases in old age, lung cancer and other pulmonary malignancies, pleural lesions, pulmonary thromboembolisms as well as pulmonary hypertension.

Fig. 9 again summarizes essential alterations to the geriatric thorax and visible in thoracic CT and provides diagnostic support for the gradual escalation of radiological diagnosis of the elderly.

In order to obtain our own results for age-specific pulmonary changes, thoracic CT scans performed consecutively between May and September 2014 in our Radiology Department in a basic and standard care hospital were retrospectively evaluated. Two cohorts (age ≤ 50 years [“young”] vs. ≥ 80 years [“old”] with 29/30 volunteer subjects were compared: “young” cohort (average age 40.8 ± 8.0; male:female 41.4 %:58.6 %) vs. “old” cohort (average age 85.8 ± 3.5; male:female 43.3 %:56.7 %).

Supporting indications in “young” cohort: exclusion of pulmonary embolism (16/29, 55 %), suspicion of pleural empyema (5/29, 17 %), tumor staging with suspicion of malignancy (4/29, 14 %), spontaneous pneumothorax, expansion and identification of cause (2/29, 7 %) and thoracic trauma (2/29, 7 %); in the “old” cohort: tumor staging with suspicion of malignancy (13/30, 43 %), exclusion of pulmonary embolism (10/30, 33 %), quantification of pneumonia (3/30,10 %), exclusion of aortic dissection (1/30, 3 %), exclusion of pacemaker sensor dislocation (1/30, 3 %), thoracic trauma (1/30, 3 %), and exclusion of pulmonary fibrosis (1/30, 3 %).

Table 1 shows the frequency of pulmonary findings of our cursory study related to the “young” and “old” cohorts.
Of the 30 examined volunteers ≥ 80 years of age, there was an 80% (24/30) adequate radiological correlate for the described symptoms. Four subjects exhibited clear fibrotic and/or emphysematous pulmonary changes which likewise correlated with the symptomology. Except for two uncharacteristic nodules or pleural dome callosity, two subjects exhibited no indicative findings.

Fig. 9  Differential diagnostic considerations in the diagnostic evaluation of cardiopulmonary diseases in the elderly. Algorithm does not include other modalities, in particular echocardiography, MRI and scintigraphy. If the changes in HRCT lung can not just be explained by age-related incidental findings, the radiologist should try to minimize the number of differential diagnoses with close historical and clinical background information.
The following recommendations can be derived from the above statements:

- in the vast majority of cases involving symptomatic elderly patients, gradual escalation of accurate diagnosis can result in a suitable diagnosis of the clinical symptoms.
- Age-specific pulmonary changes include an increase of pulmonary nodules, discrete reticular alterations, isolated lung cysts and a widening of the bronchial diameter. Follow-up using low-dose CT should, in view of the increase in malignant disease as well as idiopathic pulmonary fibrosis in the aging, should be used liberally.
- In practice, however, age-specific changes in symptomatic older patients are overshadowed by other diseases caused by other cardiovascular disorders (cardiac decompensation, pulmonary embolism), inflammatory diseases, bronchial pneumonia, pleuropneumonia, bronchiolitis or malignancies (tumors of the lung, pulmonary and pleural metastasis) as well as emphysematous or characteristic pulmonary disease.
- Particularly with symptomatic patients, an acute CT is frequently characterized by ventilation disorders and hypostasis, and is hardly suitable for the diagnosis of subtle interstitial alterations. A follow-up in a low-symptom position such as in prone position should be tried.
- Classification of pulmonary changes caused by drug-related influences, systemic disorders and certain past events (e.g. myocardial infarction, previous pneumonia) can be made by correlating with closely-monitored radiological vital signs.

### Table 1

Results of our retrospective study of radiological changes in thoracic CT differentiated by collective 'young' (≤ 50 years) vs. 'old' (≥ 80 years) and the preliminary radiological diagnoses according to categories.

<table>
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<tbody>
<tr>
<td>nodules</td>
<td>8/29 [28 %]</td>
<td>5/8 with two nodules up to 6 mm size, subpleural or interlobular; 2/8 with multiple pulmonary nodules in breast cancer and lung cancer; 1/8 with suspected malignancy</td>
<td>13/30 [43 %]</td>
<td>7/13 with suspected malignancy; 5/13 with three nodules up to 6 mm size, interlobular, nonspecific; 1/13 with ill-defined nodules</td>
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<tr>
<td>ground glass opacity</td>
<td>16/29 [55 %]</td>
<td>10/16 with dependent densities in hypostasis/insufficient ventilation; 1/16 with mosaic-pattern in obesity; 1/16 with lung contusion after thoracic trauma; 4/16 with infectious bronchiolitis</td>
<td>19/30 [63 %]</td>
<td>9/19 with dependent densities in hypostasis/insufficient ventilation; 5/19 in pulmonary venous congestion; 4/19 ground glass of unknown origin; 1/19 with mosaic-pattern in chronic pulmonary embolism</td>
</tr>
<tr>
<td>fibrosis</td>
<td>0/29 [0 %]</td>
<td>5/30 [17 %]</td>
<td>4/5 with discrete septal thickening and traction bronchiectasis 1/5 with UIP-pattern including honeycombing</td>
<td></td>
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<tr>
<td>pleural thickening</td>
<td>14/29 [48 %]</td>
<td>10/14 due to acute cardio-pulmonary disease; 4/14 with mild uncharacteristic pleural thickening</td>
<td>16/30 [53 %]</td>
<td>9/16 symmetric apical pleural thickening; 2/16 with pleuropneumonia; 2/16 with suspected pleural metastases; 2/16 adherent to fibrotic parenchymal changes; 1/16 with tuberculous pleurisy</td>
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<tr>
<td>pleural effusion</td>
<td>8/29 [28 %]</td>
<td>7/18 with pleuropneumonia; 1/8 with hematothorax</td>
<td>14/30 [47 %]</td>
<td>6/14 cardiovascular causes; 4/14 with pleuropneumonia; 4/14 with lung cancer or pleural metastasis</td>
</tr>
<tr>
<td>consolidation</td>
<td>10/29 [33 %]</td>
<td>7/10 with bronchopneumonia; 1/10 with pneumonia in pulmonary embolism 1/10 poststenotic in lung cancer</td>
<td>8/30 [27 %]</td>
<td>4/8 with bronchopneumonia; 3/7 with pneumonia in pulmonary embolism; 1/8 poststenotic in lung cancer</td>
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<td>respiratory motion</td>
<td>0/29 [0 %]</td>
<td>7/30 [23 %]</td>
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<td>artifacts</td>
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<td>preliminary radiological diagnoses [category]</td>
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<tr>
<td>„malignancy*“</td>
<td>3/29 [10 %]</td>
<td>8/30 [27 %]</td>
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<tr>
<td>„cardiac*“</td>
<td>0/29 [0 %]</td>
<td>6/30 [20 %]</td>
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<tr>
<td>„pulmonary embolism*“</td>
<td>2/29 [7 %]</td>
<td>5/30 [17 %]</td>
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<tr>
<td>„pneumonia*“</td>
<td>9/29 [31 %]</td>
<td>4/30 [13 %]</td>
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<tr>
<td>„emphysema*“</td>
<td>0/29 [0 %]</td>
<td>2/30 [7 %]</td>
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<tr>
<td>„fibrosis*“</td>
<td>0/29 [0 %]</td>
<td>2/30 [7 %]</td>
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<tr>
<td>„traumatic*“</td>
<td>1/29 [3 %]</td>
<td>1/30 [3 %]</td>
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<tr>
<td>„normal findings*“</td>
<td>13/29 [45 %]</td>
<td>2/30 [7 %]</td>
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<tr>
<td>„pneumothorax*“</td>
<td>1/29 [3 %]</td>
<td>0/30 [0 %]</td>
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Prospectively, CT could replace the thoracic X-ray as initial examination by using a low-dose method in combination with iterative reconstruction algorithms. In initial clinical trials, MRI has shown to be of comparable diagnostic value for many issues in the cardiopulmonary region, and has greater value with respect to functional aspects and combined cardiopulmonary indications [8]. In the future, techniques such as MR spectroscopy to differentiate tissue and metabolites, MR elastography to evaluate fibrotic pulmonary changes in-vivo, as well as hybrid technologies such as PET CT and PET MRI can greatly assist radiologists in specialty centers with the classification, dimensioning and monitoring of pulmonary diseases [39 – 45]. However, it remains unclear to what extent these methods can be validly employed for elderly and very old patients.

There is little empirical data to support what is clinically and radiologically feasible as patients grow older [46]. This especially applies to physiological aging processes and incidental radiological findings in the case of asymptomatic patients beyond 80 years of age. It remains to be seen whether larger radiological cohort studies will succeed in establishing a chronological correlation of intrinsic and extrinsic events with radiological alterations as a function of age. A lack of sufficient evidence in old age makes the necessity of interdisciplinary-based individual decision-making so much more important.

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