

# Determining Benchmarks in Hearing Preservation Surgery for Vestibular Schwannoma

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## Abstract

**Objectives** The objectives of this study were to determine minimal benchmarks of success in vestibular schwannoma hearing preservation surgery, wherein the likelihood of having preserved hearing in a single patient is at least as likely as having created a poor facial nerve outcome for a single patient.

**Design** This is a statistical analysis of published literature.

**Setting** Academic Tertiary Medical Center.

**Main Outcome Measures** Based on published natural history data, the number needed to treat (NNT) equation was used to calculate the minimally acceptable hearing preservation rates within various hearing classification schemes.

**Results** Given good facial nerve outcome rates of 85, 90, and 95%, the corresponding hearing preservation rates at 4.7 years that are likely to preserve classes A and B hearing (American Academy of Otolaryngology-Head and Neck Surgery classification) in a single patient as to cause a poor facial nerve outcome are 70, 65, and 60%, respectively. If surgery is limited exclusively to intracanalicular tumors, these rates drop to 62, 57, and 52%, respectively. If the word recognition scoring classification is used, required hearing preservation rates are higher.

**Conclusion** It is possible to use the NNT equation alongside projected facial nerve outcomes to estimate benchmarks of minimally acceptable hearing preservation rates.

## Keywords

- ▶ vestibular schwannoma
- ▶ acoustic neuroma
- ▶ hearing preservation
- ▶ number needed to treat

## Introduction

Number needed to treat (NNT) is a statistical calculation used in epidemiology to help evaluate the efficiency and effectiveness of a given treatment modality in preventing one additional specified bad outcome.<sup>1</sup> Defined as the inverse of the absolute risk reduction, NNT is generally expressed relative to the control in a clinical trial or the established natural history of a disease process over a set period of time. When controversy over a given intervention exists, NNT analysis can be useful in outlining the effectiveness of that treatment in

straightforward, practical terms, and in establishing benchmarks for success. Microsurgical removal of vestibular schwannoma is one such instance of a controversial intervention that could benefit from NNT analysis. This is particularly so when a proactive approach is chosen, wherein surgical intervention is undertaken shortly after the point of diagnosis, without a period of observation to confirm active tumor growth.

The decision for proactive surgery is justified by the belief that loss of hearing associated with vestibular schwannoma may occur independent of observed tumor growth.<sup>2–4</sup>

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Advocates of this management approach maintain that prompt surgical intervention may be the only opportunity to afford tumor removal while securing a preserved favorable hearing status ahead of any future loss secondary to tumor effects. For the two treatment options of observation versus microsurgery, NNT can be expressed over a given time period as:

$$\text{NNT} = 1/(\text{probability of hearing loss with observation} \\ - \text{probability of hearing loss with microsurgery})$$

Ideally, NNT would be equal to a value of 1, which would indicate that microsurgical removal of the tumor would prevent hearing loss in every case; yet since hearing is not lost in every instance of observation, achieving this is not possible.

It is difficult to agree upon the theoretically acceptable number of patients needed to treat with microsurgery instead of observation to preserve hearing in a single patient with vestibular schwannoma. Consensus on this point is unlikely to come easily and may vary depending on factors such as complication rates and medical economic issues. However, based on the authors' experience over years of managing these tumors, we assert that a reasonable starting point lies within our impression that most patients would not choose unilateral hearing preservation at the price of poor facial nerve function. Although most reports portray favorable facial nerve outcomes in the vast majority of hearing preservation cases,<sup>5-7</sup> poor outcomes do exist. Therefore, if the NNT for hearing preservation with surgery is equal to or greater than the corresponding poor facial nerve outcome rates (i.e., NNT = 10, corresponding to a 90% good facial nerve outcome rate), proactive hearing preservation surgery may be difficult to justify.

In what follows, the authors attempt to take a practical yet in depth look at these points by applying the NNT equation to the available data pertaining to hearing preservation and facial nerve outcomes after resection of vestibular schwannoma. The purpose of this will be to suggest rough benchmarks that can be used as a tool for surgical teams to determine whether they believe proactive vestibular schwannoma microsurgery performed primarily on the basis of hearing preservation intent is justified at their institution depending on their own individualized outcomes.

## Materials and Methods

Natural history data for hearing loss with observed vestibular schwannomas were obtained from Stangerup et al<sup>8</sup> (all tumors, ►Table 1) and Caye-Thomasen et al<sup>9</sup> (intracanalicular only, ►Table 2). These landmark reports published out of Denmark were derived from a large prospective comprehensive national health database in that country that has been maintained since 1976. They are arguably the source of “best evidence” available on the natural history of vestibular schwannoma. In addition, the Danish reports are particularly valuable in that hearing outcomes are presented in a table format for both the American Academy of Otolaryngology-Head and Neck

Surgery (AAO-HNS) classification<sup>10</sup> and the word recognition scoring (WRS) classification,<sup>11</sup> which is a modification of the AAO-HNS classification that depends entirely on WRS classification. In essence, this allows an “apples to apples” statistical comparison of surgical outcomes to the natural history according to a wide array of defined outcomes.

The natural history data was then extrapolated for the following changes between hearing class at diagnosis and hearing class at last evaluation: (AAO) A to A, A to A and B, A and B to A and B, ABC to ABC; (WRS) 1 to 1, 1 to 1 and 2, 1 and 2 to 1 and 2, 1, 2, and 3 to 1, 2, and 3. We then used the NNT equation to calculate the associated percent of surgical patients with hearing preservation that would be required over a period of 4.6 to 4.7 years, which was the average follow-up for the Danish reports, to achieve an NNT value of 6.7, 10, and 20, corresponding to good facial nerve outcome rates of 85, 90, and 95%, respectively.

## Results

Calculated “benchmarks” for hearing preservation surgery are shown in ►Tables 3 and 4. Thus, one can use the benchmarks in ►Table 3 for any tumor size based on a surgical team's definition of hearing preservation success and the surgeon's rate of good facial nerve outcome. Similarly, ►Table 4 can be used if only intracanalicular tumors are considered proactive hearing preservation surgical candidates.

## Discussion

The purpose of this effort is to explore the development of minimal benchmarks of success in vestibular schwannoma hearing preservation surgery. While the authors do not claim that the data presented here should stand as definite standards, the methodology outlined here can be used by individual surgical teams to assist in generating their own management strategies for vestibular schwannoma. It is important to note that the validity of this exercise is based on the authors' assertion that most patients would not trade a poor facial nerve outcome in exchange for unilateral hearing preservation; however, to actually prove that this assertion is valid, a cross-domain analysis including definition of tradeoff functions would be required. Because a complicated analysis such as this was not intended as part of this study, the authors' openly acknowledge that the key premise underlying this endeavor is still unproven.

Nevertheless, if the authors' assertion regarding the relative value of good facial nerve function to unilateral hearing preservation is accepted, a knowledge of disease natural history can be used alongside actual surgical outcomes to approximate minimal standards of hearing preservation success if one intends to justify the decision for surgical resection primarily as being to preserve hearing. It is important to note that the benchmarks shown in ►Tables 3 and 4 represent minimum standards, wherein a case of hearing preservation has essentially been exchanged for a case of poor facial nerve outcome. Thus, it is doubtful that most surgical teams would feel the time and cost associated with such an “exchange” are

**Table 1** Natural History of Hearing with Observation in Patients with Vestibular Schwannoma, all Tumor Sizes, Followed for a Mean of 4.7 Years

Classification Scheme	Hearing Class at Diagnosis Class at Last Follow-Up	Hearing Class at Last Follow-Up	n	% with Same Class Preserved at Last Follow-Up
AAO	A	A	178	51%
	A	A and B	178	81%
	A and B	A and B	455	55%
	A, B, and C	A, B, and C	618	67%
WRS	1	1	491	59%
	1	1 and 2	491	74%
	1 and 2	1 and 2	618	67%
	1, 2, and 3	1, 2, and 3	797	82%

Based on Stangerup et al.<sup>8</sup>  
AAO, American Academy of Otolaryngology; WRS, word recognition scoring.

favorable, especially if the corresponding NNT is high. Significantly exceeding these benchmarks will be required in most instances to justify a proactive surgical approach based on hearing preservation intent.

An illustrative example of how this information can be used is as follows: if a surgical team defines success as preservation of preoperative classes A and B hearing postoperatively and asserts a good facial nerve outcome rate of 90% based on their experience with hearing preservation vestibular schwannoma surgery (no matter how they choose to define “good”), according to ►Table 3 they will need to have successfully preserved hearing in 65% of cases at 4.7 years to have been just as likely to have prevented hearing loss in a single patient as they are to have caused a poor facial nerve outcome in a single patient. Thus, if they are not confident that they can exceed this 65% benchmark, hearing preservation surgery may not be recommended. Furthermore, since

this is associated with a NNT of 10, 10 surgeries must be performed in lieu of observation to affect this single instance of hearing preservation, but slightly fewer if the actual hearing preservation rate is better than 65%.

A review of the relevant medical literature makes it apparent that these minimum benchmarks may be difficult to meet. This is shown in ►Table 5, which summarizes published hearing outcome reports involving middle fossa microsurgery.<sup>6,11-23</sup> ►Table 6 shows similar findings but is limited to reports of very small and/or intracanalicular tumors.<sup>6,11,12,16,18,19,22-25</sup> In both of these tables, hearing outcomes according to various hearing preservation definitions were extrapolated from raw data present in each report. Similar comparisons could also be made to hearing preservation results with the retrosigmoid approach.

When reviewing ►Tables 5 and 6, it is important to consider that these reports nearly all reflect immediate

**Table 2** Natural History of Hearing with Observation in Patients with Vestibular Schwannoma, Intracanalicular Tumors Only, Followed for a Mean of 4.6 Years

Classification Scheme	Hearing Class at Diagnosis Class at Last Follow-Up	Hearing Class at Last Follow-Up	n	% with Same Class Preserved at Last Follow-Up
AAO	A	A	19	47%
	A	A and B	n/a <sup>a</sup>	n/a <sup>a</sup>
	A and B	A and B	45	47%
	A, B, and C	A, B, and C	65	68%
WRS	1	1	50	56%
	1	1 and 2	n/a <sup>a</sup>	n/a <sup>a</sup>
	1 and 2	1 and 2	65	65%
	1, 2, and 3	1, 2, and 3	90	72%

Based on Caye-Thomasen et al.<sup>9</sup>

<sup>a</sup>It was not possible to extrapolate these numbers for intracanalicular tumors due to the manner in which data were presented by Caye-Thomasen et al.<sup>9</sup>

AAO, American Academy of Otolaryngology; WRS, word recognition scoring.

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**Table 3** Benchmarks for Minimally Acceptable Hearing Preservation Rates, All Tumors<sup>a</sup>

Definition of Hearing Preservation Success		Projected Rate of Good Facial Nerve Outcomes (Justified NNT Associated With Each Percentage)		
PreOp Hearing Class	PostOp Hearing Class	85% (6.7)	90% (10)	95% (20)
AAO				
A	A	66%	61%	56%
A	A and B	96%	91%	86%
A and B	A and B	70%	65%	60%
A, B, and C	A, B, and C	82%	77%	72%
WRS				
1	1	74%	69%	64%
1	1 and 2	89%	84%	79%
1 and 2	1 and 2	82%	77%	72%
1, 2, and 3	1, 2, and 3	97%	92%	87%

<sup>a</sup>Surgical hearing preservation rates must endure up to 4.7 years to result in an equal likelihood of preventing hearing loss in one patient as causing a poor facial nerve outcome in one patient (based on natural history data by Stangerup et al<sup>8</sup>).

AAO, American Academy of Otolaryngology; NNT, number needed to treat; PostOp, postoperative; PreOp, preoperative; WRS, word recognition scoring.

hearing outcomes during the first year after surgery. Thus, they cannot be directly compared with **Tables 1** and **2**, which are based on much longer average follow-up periods (4.7 and 4.6 years, respectively). Based on the limited data available,<sup>4,17,26</sup> it appears that hearing that is preserved in the immediate postoperative period will persist at the same hearing class at 5 years postoperatively in roughly 70 to 90% of cases. Thus, with application of a 70 to 90% 5-year hearing deterioration modifier, a hearing preservation rate somewhere between 72 and 93% would likely be required

under the example conditions outlined in the “Results” section to meet the minimum benchmark.

That said, skull base microsurgery is an ever-evolving field in which innovation may progressively improve hearing preservation outcomes. Indeed, Meyer et al<sup>11</sup> reported that when analysis of results at the University of Iowa was limited only to very small tumors that had been removed since the advent of intraoperative direct near field eighth nerve compound action potential monitoring, hearing preservation outcomes improved substantially. Furthermore, improved

**Table 4** Benchmarks for Minimally Acceptable Hearing Preservation Rates, Intracanalicular Tumors Only<sup>a</sup>

Definition of Hearing Preservation Success		Projected Rate of Good Facial Nerve Outcomes (Justified NNT Associated with Each Percentage)		
Preop Hearing Class	Postop Hearing Class	85% (6.7)	90% (10)	95% (20)
AAO				
A	A	62%	57%	52%
A	A and B	n/a <sup>b</sup>	n/a <sup>b</sup>	n/a <sup>b</sup>
A and B	A and B	62%	57%	52%
A, B, and C	A, B, and C	83%	78%	73%
WRS				
1	1	71%	66%	61%
1	1 and 2	n/a <sup>b</sup>	n/a <sup>b</sup>	n/a <sup>b</sup>
1 and 2	1 and 2	80%	75%	70%
1, 2, and 3	1, 2, and 3	87%	82%	77%

<sup>a</sup>Surgical hearing preservation rates must endure up to 4.6 years to result in an equal likelihood of preventing hearing loss in one patient as causing a poor facial nerve outcome in one patient (based on natural history data by Caye-Thomasen et al<sup>9</sup>).

<sup>b</sup>Could not be calculated as it was not possible to extrapolate natural history numbers for intracanalicular tumors due to the manner in which data were presented by Caye-Thomasen et al.<sup>9</sup>

AAO, American Academy of Otolaryngology; n/a, not applicable; NNT, number needed to treat; PostOp, postoperative; PreOp, preoperative; WRS, word recognition scoring.

**Table 5** Middle Fossa Approach Hearing Preservation Results—All Tumors

Location, Publication, and Source of Data	Hearing Outcomes	Number of Cases	Percent with Specified Outcome
Iowa City, USA Meyer et al, 2006 Table 3	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC WRS 1 -> 1 WRS 1 -> 1 2 WRS 123 -> 123	98 98 124 132 113 113 156	38% 57% 57% 57% 50% 56% 59%
Los Angeles, USA Brackmann et al, 2000 Table 3	AAO A -> A AAO A-> AB AAO AB -> AB	199 199 300	51% 70% 63%
Ann Arbor, USA Arts et al, 2006 Table 1	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC	34 34 62 65	62% 79% 73% 77%
Boston, USA Staecker et al, 2000 Tables 1 and 2	AAO A -> A AAO A -> AB AAO AB -> AB WRS 1 -> 1 WRS1 -> 12 WRS123 -> 123	12 12 17 17 17 17	42% 58% 65% 53% 71% 82%
Pittsburgh, USA Arriaga et al, 1997 Table 1	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC WRS 1 -> 1 WRS1 -> 12 WRS123 -> 123	23 23 30 32 27 27 34	61% 70% 73% 72% 74% 74% 79%
Verona, Italy Colletti et al, 2003 Text	AAO AB -> AB	35	51%
Erlangen, Germany Gjuric et al, 2001 Tables 4-7	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC	277 277 423 514	37% 51% 44% 49%
San Francisco, USA Satar et al, 2002 Table 3	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC	64 64 135 143	44% 59% 53% 63%
Tokyo, Japan Kanzaki et al, 2001 Tables 1-3	AAO A -> A AAO A -> AB AAO AB -> AB	71 71 127	37% 48% 38%
Ehime, Japan Kumon et al, 2000 Tables 1-3	AAO A -> A AAO A -> AB AAO AB -> AB AAO ABC-> ABC WRS 1 -> 1 WRS1 -> 12 WRS123 -> 123	13 13 26 32 31 31 36	46% 54% 62% 78% 77% 81% 81%
Wurzburg, Germany Dornhoffer et al, 1995 Table 3	AB -> AB	93	58%
Minneapolis, USA Hilton et al, 2011 Table 1	A -> A A -> AB AB -> AB	64 64 78	34% 67% 65%
New York, USA Phillips et al, 2010 Text	AB -> AB	17	71%
Rome, Italy Sanna et al, 2004 Table 6	AAO A -> A AAO AB -> AB AAO ABC-> ABC	35 58 59	11% 33% 49%

AAO, American Academy of Otolaryngology; WRS, word recognition scoring.

**Table 6** Middle Fossa Approach Hearing Preservation Results—Small (<1 cm) or Intracanalicular Tumors Only

Location, Publication, Tumor Size, and Source of Data	Hearing Outcomes	Number of Cases	Percent with Specified Outcome
Iowa City, USA Meyer et al, 2006 <sup>a</sup> ≤10 mm tumors Table 4	AAO A -> A	57	49%
	AAO A -> AB	57	65%
	AAO AB -> AB	73	66%
	AAO ABC-> ABC	77	66%
	WRS 12- > 12	77	66%
	WRS 1 -> 1	66	59%
	WRS 1 -> 1 2	66	65%
	WRS 123 -> 123	89	72%
Verona, Italy Colletti et al, 2005 <sup>a</sup> Intracanalicular tumors Table 6	AAO AB -> AB	35	51%
Pittsburgh, USA Arriaga et al, 1997 <sup>a</sup> ≤10 mm tumors Table 1	AAO A -> A	20	60%
	AAO A -> A	20	60%
	AAO A -> AB	20	70%
	AAO AB -> AB	27	75%
	WRS 1- > 1	24	75%
	WRS1- > 12	24	75%
	WRS123- > 123	30	80%
San Francisco, USA Satar et al, 2002 <sup>a</sup> Intracanalicular Table 3	AAO A -> A	26	50%
	AAO A -> AB	26	69%
	AAO AB -> AB	53	62%
	AAO ABC-> ABC	57	70%
Boston, USA Staecker et al, 2000 <sup>a</sup> Intracanalicular Table 1	AAO A -> A	11	45%
	AAO A -> AB	11	55%
	AAO AB- > AB	13	62%
	WRS 1- > 1	13	54%
	WRS1- > 12	13	69%
	WRS123- > 123	13	85%
Ann Arbor, USA Arts et al, 2006 <sup>a</sup> 10 mm or less Appendix 1	AAO A -> A	21	71%
	AAO A - AB	21	86%
	AAO AB -> AB	42	79%
	WRS 1- > 1	40	80%
	WRS1- > 12	40	80%
	WRS123- > 123	48	81%
Raleigh, USA Sameshima et al, 2010 <sup>a</sup> Maximum 3 mm extrameatal extent Table 2	AAO A -> A	27	59%
	AAO A -> AB	27	85%
	AAO AB -> AB	43	77%
Tokyo, Japan Kanzaki et al, 2001 <sup>a</sup> Intracanalicular Table 1	AAO A -> A	36	50%
	AAO A -> AB	36	56%
	AAO AB -> AB	56	54%
Ehime, Japan Kumon et al, 2000 <sup>a</sup> Intracanalicular Table 1	AAO A -> A	6	67%
	AAO A -> AB	6	83%
	AAO AB -> AB	13	69%
	AAO ABC-> ABC	15	93%
	WRS 1- > 1	15	87%
	WRS1- > 12	15	93%
	WRS123- > 123	15	93%
Erlangen, Germany Gjuric et al, 2001 <sup>a</sup> Intracanalicular Table 4	AAO A -> A	76	50%
	AAO A -> AB	76	68%
	AAO AB -> AB	114	61%
	AAO ABC-> ABC	129	67%

AAO, American Academy of Otolaryngology; WRS, word recognition scoring.

<sup>a</sup>Tumor size parameter of reported subjects.

selection of patients that are ideal for hearing preservation surgery, such as those with a relatively medial-based tumor as indicated by the presence of a lateral fundal fluid signal on magnetic resonance imaging, may improve outcomes as well. Thus, the body of the reported literature may not always reflect modern outcomes.

It should be stressed that the statistical analysis undertaken in this report pertains especially to the issue of proactive hearing preservation surgery, where the intent to prevent hearing loss is the impetus for choosing surgery over observation. However, when treatment is indicated due to progressive tumor growth and serviceable hearing is still present, attempting to preserve hearing during tumor removal is almost always advised at the authors' institution when conditions are reasonable (size and degree of lateral extension), especially when contralateral hearing is poor.

One interesting point evident from our data are that if the WRS classification is to be used, as has been advocated by some surgeons, the associated benchmarks for success based on the NNT equation and facial nerve outcomes are higher. In other words, even though the WRS classification may render more patients candidates for hearing preservation surgery, it also requires better outcome standards to justify such an approach. In contrast to the example provided in our "Results" section, preservation of preoperative WRS classes 1 and 2 postoperatively with a similar 90% rate of good facial nerve outcomes will define 77% hearing preservation as a minimal benchmark at 4.7 years, as opposed to 65% with preservation of the AAO A and B classes.

Although we have chosen to use the rate of good facial nerve outcome as part of our analysis, the NNT equation can also be applied to other useful parameters. For instance, when a surgeon's established rate of hearing preservation is only slightly better than the natural history with observation, the NNT value will likely be quite high (>20 cases required to prevent a single case of hearing loss). In an era of rising scrutiny of medical expenditures, such figures can be evaluated to determine the cost-effectiveness (or lack thereof) of proactive surgery.

Stereotactic radiosurgery has not been addressed in this analysis. Whether or not radiosurgery has the potential to improve the chances of hearing preservation compared with the natural history is controversial.<sup>27,28</sup> When attempting to determine an acceptable NNT value for stereotactic radiosurgery, the self-evident endpoint of not exceeding the rate of a poor facial nerve outcome will result in much lower hearing preservation benchmarks due to the extremely low incidence of facial nerve weakness after radiosurgery. Additionally, the lower cost of stereotactic radiosurgery compared with microsurgery is likely to render hospitals more tolerant of a high NNT value.

This study relies exclusively on two recent reports from Denmark<sup>8,9</sup> that are based on an extensive national database of patients with vestibular schwannoma that has been maintained for over three decades. The report by Stangerup et al<sup>8</sup> has the advantage of including large numbers of subjects with all tumor sizes. This is useful as most surgical outcome reports do not exclusively involve very small (<10 mm) and/or intra-

canalicular tumors, which is the focus of the more limited Caye-Thomassen et al report.<sup>9</sup> However, differences in outcomes reported per hearing class between the Stangerup et al and Caye-Thomassen et al reports do not appear to be glaringly different. Although other non-Danish publications involving the natural history of hearing with observation of vestibular schwannoma do exist,<sup>29-32</sup> most fail to match the comprehensiveness, detail, and prospective study design of the Danish reports. Thus for our purposes, we believe limiting our data on natural history to these two landmark articles is quite reasonable.

In summary, by using data on the natural history of hearing that has been obtained by vestibular schwannoma observation, the NNT equation can be applied alongside projected facial nerve outcomes to approximate benchmarks for minimally acceptable hearing preservation rates, under the premise that surgical resection should afford a likelihood of successful hearing preservation that is at least on par with the chance of poor facial nerve outcome.

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