Anticoagulation in Pediatric Patients

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Abstract

Special aspects of anticoagulation in children include the different epidemiology of thrombosis, developmental changes in the coagulation system, age-dependent pharmacokinetics of anticoagulants, risk of bleeding, and practical hurdles to anticoagulation. The classical anticoagulants so far used in children have several limitations, resulting in the need for regular monitoring. The pharmacological properties of direct oral anticoagulants (DOACs) and the special challenges of anticoagulation in children make the DOACs particularly attractive for children. All DOACs have pediatric development programs, targeting various indications for prevention and treatment of thrombosis. Child-appropriate formulations have been developed, age-specific dosing information generated, and safety and efficacy evaluated in ongoing phase 3 trials. Rivaroxaban and dabigatran have already been authorized for children for treatment of acute venous thrombosis and for extended secondary prevention. Their safety and efficacy have been demonstrated comparable to that of standard-of-care anticoagulants, without need for monitoring. Further studies are ongoing, which are expected to lead to pediatric authorizations of DOACs for primary venous thromboembolic event prevention in some high-risk settings. More real-life data will be necessary from postmarketing studies and registries to complement the evidence base for DOAC use in children, particularly in the youngest age groups and special disease populations.

Keywords

► children
► anticoagulation
► thromboprophylaxis
► direct oral anticoagulants

Schrösselwörter

► Kinder
► Antikoagulation
► Thromboprophylaxe
► direkte orale Antikoagulantien

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Sicherheit und Wirksamkeit zeigten sich jener von Standardantikoagulanten vergleichbar, ohne die Notwendigkeit für Monitoring. Weitere Studien sind noch am Laufen, welche voraussichtlich zu pädiatrischen Zulassungen von DOAKs für primäre Thromboprophylaxe in verschiedenen Hochrisikosituationen führen werden. Im Weiteren werden noch mehr Daten aus der Anwendung in der Praxis aus Postmarketing-Studien und Registern erforderlich sein, um die Evidenzbasis für die Anwendung von DOAKs bei Kindern zu erweitern, besonders für die jüngsten Altersgruppen und Kinder mit speziellen Erkrankungen.

**Introduction**

Thromboembolic events (TEs) in children differ from those in adults in epidemiology, anatomical location, and clinical presentation. TEs in children are much less frequent compared with those in adults and mostly occur in hospitalized children as secondary complications of severe acute or chronic diseases and their treatment.\(^1\)\(^–\)\(^4\) The reported incidence of TEs in hospitalized children has increased over the last decades,\(^5\) attributed to improved medical care of life-threatening conditions in children but causing more secondary morbidity such as thrombosis. Additionally, more TEs are identified in children due to increased clinical awareness and improved radiographic detection methods.

The risk for venous thromboembolic events (VTEs) in children is associated with age, with peaks in early infancy and in postpubertal age. As VTEs are rare in healthy neonates, the increased incidence in early life reflects clinical risk factors in sick term and preterm neonates or infants with severe congenital diseases, e.g., heart defects. In postpubertal age, the risk of VTEs increases towards the risk in young adults mainly due to the changes in hormonal status.

The most important risk factors for TEs in children are central venous catheter (CVC) and central arterial catheter, commonly used for treatment of underlying diseases. Other risk factors include intensive care treatment, mechanical ventilation, and prolonged hospital admission.\(^6\) Children frequently have several risk factors in combination, including cardiac disease, cancer, inflammation, trauma, surgery, and medications. Immobilization is a common underlying factor in older sick children, causing decreased venous flow. A relatively small proportion of children, predominantly adolescents, develop unprovoked VTEs. Such events may or may not be associated with an endogenous thrombophilic predisposition.

**Special Aspects of Anticoagulation in Children**

Several special aspects of TEs affect anticoagulation in children.\(^7\) First, the different epidemiology of TEs occurring in children limits the possibility to rely on adult evidence for efficacy and safety of anticoagulants for their use in children. Second, the coagulation system undergoes developmental changes during childhood, with the largest changes occurring in fetal life and early infancy. Preterm or term neonates have much lower levels of several procoagulant and anticoagulant proteins compared with adults,\(^8\) which may result in altered responsiveness of the coagulation system to the effect of anticoagulant drugs. Third, age-dependent differences in drug uptake, absorption, metabolism, and elimination affect the pharmacokinetics of anticoagulants. These differences in both pharmacokinetic (PK) and pharmacodynamic (PD) properties result in age-dependent dose requirements for anticoagulants for children of various ages. Since dose requirements are not weight-proportional to those of adults, dedicated dose-finding studies in all pediatric age groups are necessary. Fourth, the risk of bleeding may be different in children during anticoagulation as a result of underlying diseases, e.g., thrombocytopenia or hepatopathy, and due to the risk of trauma in active children. Finally, there are several practical hurdles to anticoagulant therapy, as discussed in the following sections.

**Indications for Anticoagulation in Children**

\(^•\) Table 1 lists indications where anticoagulant prevention or treatment is used in children. Guidelines on anticoagulation in children are mostly based on evidence from adults, while scarce evidence exists from children. For many instances, the benefit–risk balance has not been established in children, particularly for young children and specific pediatric disease populations.\(^9\)\(^,\)\(^10\)

Some indications for anticoagulation show some similarity between children and adults, e.g., treatment of acute VTEs, but even in this instance, different types and location of TEs in children potentially affect the response to anticoagulation. Conversely, there are several indications specific to children, such as congenital heart defects, Kawasaki’s syndrome with coronary aneurysms, and others.\(^11\)\(^,\)\(^12\) Furthermore, relatively more children requiring anticoagulation have serious comorbidity, some of which are unique for children, e.g., prematurity. These underlying conditions affect the choice of anticoagulant drug, dose requirements, efficacy or risk of bleeding, and the risk of interactions with concomitant drugs.

Acute symptomatic VTE in children is usually treated with therapeutic anticoagulation, based mostly on evidence from adults. Whether asymptomatic VTE, found incidentally or by radiographic screening, requires anticoagulant treatment is a matter of ongoing debate.\(^13\)\(^–\)\(^15\) In practice, this decision is usually individualized dependent on the location and
Anticoagulation in Pediatric Patients

Table 1: Indications for anticoagulant prevention or treatment in children

<table>
<thead>
<tr>
<th>Thrombosis</th>
<th>Prevention</th>
<th>Treatment</th>
</tr>
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</table>
| Venous     | • Central venous catheter  
            • Critically ill  
            • Trauma  
            • Perioperative prophylaxis | • Deep vein thrombosis and pulmonary embolism  
            • Cerebral sinovenous thrombosis |
| Both       | • Cardiac catheterization  
            • Shunts (e.g., Fontan); stents  
            • Hemodialysis  
            • Extracorporeal membrane oxygenation  
            • Cardiopulmonary bypass surgery  
            • Ventricular assist devices | • Cardiac thrombosis |
| Arterial   | • Central arterial catheter  
            • Mechanical heart valves  
            • Dilated cardiomyopathy  
            • Kawasaki's syndrome | • Arterial thrombosis  
            • Arterial ischemic stroke |

*Indications where anticoagulation is used in children but in many instances not based on robust evidence.9,10*

Challenges with the Classical Anticoagulants in Children

The anticoagulants predominantly used so far in children are unfractionated heparin (UFH), LMWH, and the vitamin K antagonists (VKAs).7,9 These anticoagulants pose several challenges in children.

For heparins, the anticoagulant effect is dependent on endogenous antithrombin, which is physiologically low in neonates and frequently decreased in sick children. Moreover, UFH has highly unpredictable PK and PD properties, influenced by age and various other factors.19 Consequently, there is poor correlation between UFH dose and anticoagulant effect, particularly in clinically unstable children.20 Nevertheless, UFH is currently still the drug of choice for short-term anticoagulation because of its short half-life and the availability of an antidote (protamine sulfate). LMWH have more stable, though age-dependent PK/PD properties, and require less frequent monitoring than UFH, and their longer half-life makes them useful for longer-term use, including the outpatient setting.21

Until recently, VKAs were the only oral anticoagulants available for children, but they have a slow onset and a slow offset, are strongly influenced by dietary intake, and show multiple drug interactions. These challenges are aggravated in children with serious underlying diseases, feeding problems, or receiving concomitant drugs. Dose requirements for VKAs in children have been shown to be dependent on age and comorbidity.22 Particularly in infants, VKA use is difficult due to variable vitamin K intake through breast milk or infant formulas.

All the classical anticoagulants require regular monitoring and dose adjustments. Lastly, none of the classical anticoagulants has been authorized for children, except for the recent license of dalteparin in the United States, which was however based on very limited pediatric data.23 Therefore, no commercial age-appropriate administration forms and dose strengths are available covering the wide range of doses.

Direct Oral Anticoagulants for Children

Direct oral anticoagulants (DOACs) selectively inhibit specific coagulation factors without the need for a cofactor. They include the factor Xa inhibitors rivaroxaban, apixaban, and edoxaban, and the direct thrombin inhibitor dabigatran. They are all approved in adults for the prevention and treatment of VTEs where they have established efficacy and safety without the need for monitoring.

The pharmacological properties of DOACs make them particularly interesting for children: oral administration, predictable pharmacokinetics, no antithrombin dependence, little food interaction, few drug interactions, a wider therapeutic window, and possibly no monitoring requirements. As a result
of pediatric legislations in the United States and European Union (EU) to stimulate pediatric drug development, all DOACs have pediatric study programs ongoing or already completed. The indications targeted by the individual programs are listed in Table 2. The individual studies of these programs have previously been described in detail.

Principles of anticoagulant development for children have been outlined by a recommendation by the Pediatric Scientific and Standardization Committee (SSC) of the International Society of Thrombosis and Hemostasis (ISTH). A fundamental element is development of age-appropriate pediatric formulation(s) to ensure accurate and reliable administration of the medicine to children of different ages.

Pediatric dose finding usually builds upon existing data from adult studies. By systematically incorporating information from adults and other sources, using in silico tools such as physiologically based PK/PD (PBPK) models and/or population PK/PD modelling and simulations, dose finding studies in children can be optimized and the number of blood samples required from children minimized.

Most pediatric DOACs programs perform single-dose PK/PD studies in children of all age groups for initial dose-finding and safety assessment, except for a multiple-dose study on dabigatran in adolescents. The rivaroxaban program performed an intermediate step of a phase 2, dose-confirmation and safety study over the last 4 weeks of anticoagulation therapy for acute VTEs. All other pediatric programs continue from their single-dose PK/PD studies immediately to phase 3, safety and efficacy studies.

All current DOAC pediatric programs target the indication of treatment of VTEs, comprising open-label randomized controlled trials (RCTs) comparing the respective DOAC versus standard-of-care (SOC) anticoagulants for a main treatment phase of 3 months, and allowing for extended treatment if necessary. Several programs also target various indications of primary prevention of TEs, mostly in small-scale RCT comparing the DOAC to SOC for a defined period of risk.

The pediatric phase 3 studies have rather limited patient numbers between 100 and 500, mostly not powered to independently demonstrate efficacy or safety in children. The relatively small sample sizes are explained by the feasibility challenges of such trials. Moreover, pediatric developments can build on extrapolation from the evidence in adults, if there is reasonable similarity between children and adults. Pediatric studies aim to confirm the proof of efficacy from adults, accounting for potential differences in frequencies of outcomes. Reasonable similarity between adults and children may be assumed for VTE treatment but is uncertain for most clinical settings of VTE prevention, e.g., pediatric cardiac diseases.

### DOACs Approved for Children

The pediatric programs for rivaroxaban and dabigatran, targeting anticoagulant treatment of children with acute VTE, have already been completed and led to pediatric authorizations.

#### Rivaroxaban

A pediatric rivaroxaban oral solution has been developed in addition to the adult tablets and is now commercially available. A PBPK model for rivaroxaban was developed, using PK data from adults and physiological information from children, to predict rivaroxaban dosing regimens targeting adult exposures to be tested in the pediatric clinical studies. These dosing regimens were validated in phase 1 and 2 studies with some modifications for the phase 3 study.

### EINSTEIN–Jr Studies

A phase 1 study assessed a single body weight–adjusted dose of rivaroxaban (equivalent to 10 mg or 20 mg) in 59 children aged 6 months to 18 years. The study reported predictable PK/PD profiles across all age groups and no bleeding episodes.

EINSTEIN–Jr phase 2 comprised several studies across different age groups evaluating the safety, efficacy, and PK/PD of body weight–adjusted rivaroxaban in 93 children with VTE. No major bleeding events or symptomatic recurrent VTEs occurred.

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**Table 2** Indications targeted by pediatric programs for direct oral anticoagulants

<table>
<thead>
<tr>
<th>DOAC</th>
<th>Prevention of VTEs</th>
<th>Prevention of cardiac/arterial TEs</th>
<th>Treatment of VTEs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rivaroxaban</td>
<td>–</td>
<td>Post Fontan surgery&lt;sup&gt;a&lt;/sup&gt; (rivaroxaban vs. aspirin)</td>
<td>Acute VTEs&lt;sup&gt;b&lt;/sup&gt; (rivaroxaban vs. SOC)</td>
</tr>
</tbody>
</table>
| Dabigatran | –                                | –                                  | • Acute VTEs<sup>b</sup> (dabigatran vs. SOC)  
• Extended secondary prevention<sup>b</sup> (dabigatran single arm) |
| Apixaban | Acute leukemia/lymphoma, asparaginase treatment, with central venous catheter (apixaban vs. placebo) | Various cardiac diseases (apixaban vs. SOC) | Acute VTEs (apixaban vs. SOC) |
| Edoxaban | –                                | Various cardiac diseases (edoxaban vs. SOC) | Acute VTEs (edoxaban vs. SOC) |

Abbreviations: SOC, standard of care; TEs, thromboembolic events; VTEs, venous thromboembolic events.

<sup>a</sup>Study completed and pediatric authorization for the indication granted in the US.

<sup>b</sup>Programs completed and pediatric authorizations for the indication granted.
The EINSTEIN–Jr pivotal phase 3 study was an open-label RCT comparing rivaroxaban versus SOC anticoagulation (UFH, LMWH, fondaparinux, VKAs) in a 2:1 ratio in 500 children aged between birth and less than 18 years with confirmed acute VTE. The main treatment period was 3 months (1 month for children aged <2 years with CVC-related VTE), whereafter the main outcomes were assessed. Patients requiring longer-term anticoagulation could receive study treatment for up to 12 months. Approximately 25% of index VTE events were catheter-related, 23% were cerebral vein or sinus thromboses, and 51% had other, non–catheter-related VTEs. VTE recurred in 4/335 (1.2%) patients receiving rivaroxaban and in 5/165 (3%) patients on SOC (hazard ratio [HR], 0.4; 95% confidence interval [CI], 0.1–1.4). On repeat imaging, complete resolution of the index thrombosis was significantly more frequent in the rivaroxaban group (38%) than in the SOC group (26%; odds ratio, 1.70; 95% CI, 1.1–2.6). Clinically relevant bleeding occurred in 3% of patients on rivaroxaban (all nonmajor) and in 1.9% on SOC (two major; one nonmajor; HR, 1.6; 95% CI, 0.5–6.3). Outcome rates and relative efficacy and safety of rivaroxaban versus SOC in children were consistent with those observed in adult patients from previous rivaroxaban studies. Assessment of PK parameters from the phase 3 study confirmed that plasma levels of rivaroxaban were equivalent to those seen with the 20-mg once-daily dose in adults. There was no association between levels of PK parameters and recurrent VTE, bleeding, or adverse events. The now authorized weight-adjusted pediatric dose regimes require once-daily dosing in children weighing more than 30 kg, twice-daily dosing in children weighing between 12 and 30 kg, and three-times daily dosing in children weighing 12 kg or less. Another rivaroxaban trial (UNIVERSE) targeted prevention of thrombosis in children (aged 2–8 years) with single ventricle physiology after the Fontan procedure comparing rivaroxaban versus aspirin for up to 12 months. The study results have just been published showing that children receiving rivaroxaban had a similar safety profile and a trend to less frequent thrombotic events compared with children receiving aspirin. However, with a sample size of n = 112, the study was not powered to formally demonstrate superior efficacy.

Dabigatran

The pediatric dabigatran formulations include coated granules for children aged less than 12 years and an oral suspension for infants less than 12 months, in addition to the adult capsules. For the approved age- and weight-based pediatric dose regimes, readers are referred to the product information. Pediatric dabigatran doses were estimated from an adult-population PK model using renal function as the main covariate. The predicted dose regimes were evaluated in a series of phase 2a studies assessing PK/PD in all age groups. No deaths, bleeding events, or drug-related serious adverse events were reported and the PK/PD relationships for dabigatran were consistent with those seen in adult patients with VTE.

The pivotal 2b/3 trial (DIVERSITY) was an open-label RCT of the safety and efficacy comparing dabigatran versus SOC (LMWH or VKA) in 2:1 ratio in 267 children aged 0 to less than 18 years with acute VTE. The composite primary outcome (complete thrombus resolution, freedom from recurrent VTE or VTE-related death) was reached in 81 (46%) patients receiving dabigatran and 38 (42%) patients receiving SOC (risk difference, 0.04; 95% CI, −0.14 to 0.07), demonstrating noninferiority. Also, the frequency of any bleeding (22 vs. 24%) and major bleeding events (2 vs. 2%) were similar. Moreover, the PK/PD relationship for dabigatran was reported to be similar to that of adults.

The dabigatran program included a further single-arm phase 3 study assessing dabigatran for extended secondary VTE prevention in 199 children after anticoagulant treatment for 3 months who had an unresolved clinical risk factor requiring extended anticoagulation, e.g., inherited or acquired thrombophilia. The median duration of extended dabigatran treatment was 36 weeks. Only 1% of children experienced recurrent VTE, and 1.5% and 1% experienced major and clinically relevant nonmajor bleeding events, respectively.

A PD modeling and simulation analysis for dabigatran was based on the data from the four single-arm and the randomized, comparative pediatric VTE studies (n = 358 children aged birth to <18 years) and a healthy adult study. The PK simulation indicated that, using the final updated dosing algorithms for administering dabigatran to pediatric patients as oral solution, pellets, or capsules, no dose adjustment or routine monitoring is needed. However, in DIVERSITY and the secondary prevention study, dabigatran treatment had to be discontinued prematurely in 9.7 and 12.3% of children, respectively, because they failed to reach target dabigatran concentrations. This raises concern whether dabigatran can be given unmonitored in all children.

Ongoing DOAC Pediatric Programs

Apixaban

Pediatric apixaban formulations under study are a dissolvable minitablet and an oral solution, in addition to the adult tablets. The apixaban pediatric program has the widest spectrum of indications targeting both prevention and treatment of TE in children.

A single-dose phase 1 study has evaluated PK/PD parameters of prophylactic apixaban doses in children of all ages at risk for a venous or arterial thrombosis. Study results have not yet been published.

The pivotal pediatric apixaban study is an ongoing trial in children with acute lymphoblastic leukemia or lymphoma, asparaginase treatment, and presence of a central venous catheter (PREVAPIX-ALL). This is a proof-of-concept study comparing apixaban versus placebo in a fully powered RCT (n = 500), since the benefit of anticoagulant prophylaxis of catheter-related VTE has never been unequivocally demonstrated.

The apixaban program also includes an RCT in children with various congenital and acquired cardiac diseases,
comparing apixaban versus SOC anticoagulants for up to 1 year for long-term primary and secondary prevention of venous, arterial, and cardiac TE (SAXOPHONE). The study sample is limited to 200 patients, mainly for feasibility reasons. There is little room for extrapolation from adults to children in this setting, as the adult indication of atrial fibrillation differs largely from those of children with cardiac disease.

Finally, a phase 4 trial assesses the safety and efficacy of apixaban for treatment of acute VTEs in pediatric patients (CANINES).47

**Edoxaban**

A pediatric edoxaban oral suspension is evaluated for children, in addition to the available tablets. A single-dose phase 1 study evaluates the PK/PD of edoxaban in pediatric patients of all age groups.48

A phase 3 open-label RCT evaluates the safety and efficacy of edoxaban for thromboprophylaxis in children with cardiac disease at risk for TEs for a duration of up to 9 months (ENNoble-ATE).49

Finally, a phase 3, open-label RCT evaluates the safety, efficacy, and PK/PD of edoxaban compared with SOC for anticoagulant treatment in pediatric patients with acute VTE.50

**Expected Role of DOACs for Children and Further Needs**

Two of the DOACs, rivaroxaban and dabigatran, are already authorized for children in the EU, rivaroxaban in Canada, and dabigatran in the United States, and the pediatric pharmaceutical formulations are now commercially available. Both rivaroxaban and dabigatran are indicated for treatment of acute VTEs and extended secondary prevention.5,8 As their efficacy and safety has been demonstrated comparable to that of SOC anticoagulants, oral administration and no need for monitoring will likely favor their use.

As per pediatric license, both DOACs can only be initiated after at least 5 days of parenteral anticoagulation, which for rivaroxaban is different from the adult regimen. The pediatric studies included this initial phase of SOC anticoagulation to allow for time for consent and randomization of children. Therefore, parenteral anticoagulation, mainly LMWH, will always be required initially, and longer in children who are not on enteral feeding. Thus, the DOACs will be most suitable for children who are clinically stable and for long-term anticoagulation.

To date, none of the DOACs is licensed for primary prevention of TEs in children. However, some of the ongoing studies targeting prevention are close to completion and will hopefully lead to pediatric authorization of some DOACs for thromboprophylaxis in children with cancer and CVC and children with cardiac disorders. Obviously, the pediatric studies do not cover all indications where thromboprophylaxis is used in children, e.g., perioperative prophylaxis, trauma, or critically ill children, but the evolving evidence may allow some extension beyond the studied settings.

Importantly, the preauthorization pediatric studies have generated only limited evidence, as patient numbers overall are still small, but particularly young age groups were underrepresented, and prematures were not studied at all. Moreover, children with severe comorbidities were excluded. Therefore, following the pediatric authorizations of DOACs, systematic evaluation of their use in children from postmarketing studies and real-life data from registries will be essential, to validate dosing regimens and their benefit-risk in neonates/prematures and other special disease populations, e.g., renal, gastrointestinal, hepatic, and intensive care patients, and their long-term safety and efficacy. Moreover, other pediatric indications will possibly be explored off-label, e.g., the use of DOACs in extracorporeal circulation or cardiac devices.

Based on the results of clinical studies, therapeutic monitoring is not routinely required for children receiving rivaroxaban and dabigatran. Whether monitoring may be necessary in the very young, acutely sick children, or children with relevant comorbidities, to establish the initial dose, for dose adjustments during changing clinical situations, or during rapid weight gain still needs to be elucidated by systematic studies. Assessing levels may also be necessary for acute unplanned surgery, bleeding, or thrombotic complications, and to assess compliance. However, except for the reference PK ranges from adults used for the pediatric studies, no therapeutic target ranges validated by clinical outcomes are yet available for children, making interpretation of levels difficult.

**Future Perspectives**

A new group of anticoagulants, the factor IX and/or factor XII inhibitors, have the promise of anticoagulant activity with a decreased risk of bleeding. Several such agents are in early clinical development in adults, and if their improved benefit-risk balance is confirmed, these will be attractive for children, particularly for high-risk clinical settings. It will be important that future pediatric developments of such agents focus on the right populations, avoiding competition between different programs, and study designs build on the experience gained from the recent pediatric DOAC programs.

**Conclusions**

In conclusion, two DOACs have already received pediatric authorizations for treatment of acute VTEs and extended secondary prevention VTEs. Results from ongoing studies are pending that are expected to lead to pediatric authorizations of DOACs for thromboprophylaxis in certain high-risk situations. More real-life data will be necessary from postmarketing studies and registries to complement the evidence base for DOAC use in children, particularly in the youngest age group and special disease populations. Future pediatric studies on new anticoagulant agents, such as the factor XI and XII inhibitors, should build on the experience with the recent pediatric DOAC programs.
Conflict of Interest
C. M. has received personal funds and/or funds to institution from Anthos, Bayer, Boehringer Ingelheim, Bristol-Myers-Squibb, Janssen, and Pfizer.

References

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