Pharmacokinetic-Pharmacodynamic (PK-PD) Modeling of Effect of Naringenin and Its Surface Modified Nanocarriers on Associated and Core Behaviors of Autism Spectrum Disorders (ASD)

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ABSTRACT
The pharmacokinetic and pharmacodynamic (PK-PD) model was developed to describe the relationship between plasma/brain concentration of naringenin and its nanocarriers with behavioral and biochemical alterations in a rat model of autism spectrum disorders (ASD). Behavioral parameters like sensorimotor dysfunction, hyperlocomotion, anxiety-like behavior, social interaction, and repetitive behavior were investigated by rotarod, actophotometer, open-field, reciprocal social interaction, and repetitive self-grooming test respectively. Naringenin was administered in doses (25, 50, and 100 mg/kg) and in the form of its uncoated and glutathione as well as tween 80–coated PLGA nanocarriers (25 mg/kg) thrice daily (8 hourly). Sigmoid $E_{\text{max}}$ model was applied to study the relationship between the concentration of naringenin in plasma/brain and behavioral effects (in terms of sensorimotor dysfunction, locomotor activity, anxiety-like behavior, social interaction ability, repetitive behavior) as well as biochemical changes (plasma levels of TNF-α, MMP-9, and HSP-70, and Pgp at BBB). Model parameters such as $E_{0}$, $E_{\text{max}}$, and $EC_{50}$ indicate that maximum effect occurred after administration of GSH-coated naringenin nanoparticles and the minimum effect occurred with the 25 mg/kg dose of unencapsulated naringenin. The $R^2$ value of 0.99 and small Akaike information criterion indicate the goodness of fit of the model. The PK-PD modeling done by sigmoid $E_{\text{max}}$ model showed a positive correlation between plasma/brain drug concentration and neuroinflammatory markers as well as behaviors consistent with the ASD phenotype.
Introduction

Autism spectrum disorders (ASD) include complex neurodevelopmental disorders associated with characteristic symptoms that manifest in children at 3 y of age. These characteristic symptoms include impairments in social interaction and communicative skills as well as the presence of restrictive, repetitive, pervasive, and stereotypic behavior. In addition to the above, some co-morbidities like irritability, anxiety, aggression, cognitive deficits, hampered adaptive skills, and accompanied disorders like attention deficit hyperactivity disorder, epilepsy, and sensory processing disorder are also associated with ASD [1, 2]. According to the National Institute of Mental Health, 2.41% of children in the US have ASD. Prevalence of ASD has been reported by the U.S. Center for Disease Control and Prevention to be 1 in 59 children in 2018 in comparison to 2010 reports of 1 in 88. The World Health Organization reports of 2017 show that worldwide 1 in 160 children is suffering from ASD [3]. ASDs show significant skewness in occurrence in boys with a sex ratio of 4:1 [4–6]. ASD presents a complex integration of genetic, epigenetic, and environmental factors. It is a complex interaction between preexisting genetic factors and environmental factors that alter the functional capacity of the brain [6–10].

Apart from several behavioral and cognitive complications arising as a result of central nervous system dysfunction, there are various physiological co-morbidities associated with ASD that can also worsen the behavioral complications. Research and clinical studies indicated many physiological co-morbidities such as immune system deregulation, neuroinflammation, oxidative stress, mitochondrial dysfunction, and gastrointestinal complications [6, 11, 12]. The release of pro-inflammatory cytokines such as tumor necrosis factor-alpha (TNF-α), IL-6, IL-β, and other triggers of neuroinflammation such as heat shock protein 70 (HSP-70) and matrix metalloproteinases-9 (MMP-9) as a result of oxidative stress and immune system activation is one of the components of the pathogenesis of ASD [13, 14].

Behavioral and gastrointestinal symptoms worsen in ASD children after intake of high carbohydrate containing propanoic acid (PPA) as a preservative [15, 16]. PPA, a weak organic acid, can cause the release of inflammatory cytokines as well as depletion of endogenous antioxidants like glutathione (GSH) and superoxide dismutase as well as the elevation of lipid peroxidase leading to increased oxidative stress. Intracerebroventricular administration of PPA in adolescent rats induced ASD phenotype [17, 18]. We have also validated this model in our lab with some modifications and evaluated the therapeutic potential of curcumin resveratrol and naringenin (NGN) [19–21]. We have observed the increase in markers of neuroinflammation such as TNF-α, MMP-9, and HSP-70 in our previous studies as well as depletion of endogenous antioxidants as a result of oxidative stress that results in the development of ASD as core as well as associated behaviors.

(±)-Naringenin (5,7-dihydroxy-2-(4-hydroxyphenyl) chroman-4-one) is a flavanone that is abundantly found in grapefruit as well as in oranges and tomato skin [22]. NGN has been found also to exert its antioxidant, antihyperlipidemic, antidepressant, antiproliferative, and anti-inflammatory effects [23–27]. Despite its therapeutic potential NGN’s role clinically has been hampered as a result of its poor bioavailability, instability, and extensive first-pass metabolism before reaching the systemic circulation [28, 29]. In order to improve the bioavailability and enhance the brain uptake of NGN, we developed NGN-loaded PLGA nanoparticles in our laboratory and also coated these with reduced GSH and tween 80 in order to inhibit the P-glycoprotein (P-gp) efflux transporter. We evaluated their brain uptake, studied in vivo pharmacokinetics, and observed that there is an improvement in bioavailability of NGN and its enhanced uptake in the brain after surface coating of nanoparticles with GSH and tween 80 (our unpublished study).

We have explored the therapeutic potential of NGN as well as its coated and uncoated nanoparticles in the experimental paradigm of ASD [21].

The pharmacokinetic-pharmacodynamic (PK-PD) model is a prospective aid for efficient drug development as its usage can avoid high attrition rates of drugs from the market as a result of lack of efficacy and safety issues raised during clinical trials or post-marketing surveillance phase [30]. It is a promising tool in translational drug research that can predict about safety and efficacy of drugs on the basis of in vitro and in vivo studies. It can prove to be of help in optimization of dosage regimen especially for controlled release formulations in clinical trials, simulating, and optimizing of phase 3 clinical trial design and in early drug development [31]. PK-PD modeling is a useful tool that can connect PK and PD so that effect and time relationship occurring after the administration of a particular dose of a drug can be predicted from the dose-response relationship [32]. When pharmacodynamic parameters are not dependent on time and concentration of the drug is constant at the active site (i.e., steady-state has been achieved), then concentration and effect can be predicted utilizing the models such as the linear effect concentration model, log-linear effect concentration model, fixed-effect model, and E_{max} and sigmoid E_{max} model. Time-dependent study of data shows the presence of hysteresis loop (i.e., there is some delay between the plasma concentration of drug at the site of action and its effect). The hysteresis loop is either clockwise or counterclockwise. The 2 non-steady-state models are the effect compartment model and physiological indirect response models. Modeling criteria depends on either the mode of administration of the drug or the dependence of pharmacodynamic pa-
rameters on time. Hence, when a steady state is achieved after multiple dosing or long-term infusion, then steady-state models are used, but when a single dose of the drug is administered and pharmacodynamic parameters show a time-dependent relationship, then more complicated models are used such as those meant for the non-steady state. Simple and empirical models have limitation in their capability to predict the relationship between effect and concentration as they do not take into account the mechanism behind the delay in response. Thus, more mechanism-based models for PK-PD correlation are required that are based on a mechanism that a drug undergoes at the site of action and presents forth actual relationship between the concentration of drug and effect [31–35].

With this background, we have designed this PK-PD study to establish a relationship between various concentrations of NGN in plasma and brain achieved via administration of various doses of unencapsulated as well as its uncoated and coated nanocarriers and their effect on various behavioral/biochemical alterations.

Results
Six groups of animals out of 7 groups, which were administered 1M PPA, were treated with NGN (25, 50, and 100 mg/kg) and with uncoated as well as GSH or tween 80–coated NGN-loaded PLGA nanoparticles (25 mg/kg). Different plasma concentrations (approximately equal to the minimum residual dose) of NGN were achieved on the 22nd day, 8 h after the administration of the last dose on the 21st day. The minimum plasma concentration of (1482.8 ± 0.22 ng/ml) was for NGN (25 mg/kg) and highest was for GSH-coated nanoparticles (25 mg/kg) (4185.3 ± 0.62 ng/ml). The plasma concentration of NGN for the group receiving NGN-PLGA nanoparticles (3674.6 ± 0.78 ng/ml) was comparable to that of the unencapsulated drug (100 mg/kg) (3811.2 ± 0.45 ng/ml). Similarly, the concentration of NGN in the brain was minimum for the group receiving NGN (25 mg/kg) (314.62 ± 0.18 ng/ml) and highest was for the group receiving GSH-coated nanoparticles (1296.28 ± 0.45 ng/ml). The concentration of NGN in brain for group receiving PPA-NGN nanoparticles (25 mg/kg) (989.42 ± 0.88 ng/ml) was comparable to that of free NGN (100 mg/kg) (926.2 ± 0.96 ng/ml). Various pharmacological responses were observed such as time of fall (sensorimotor dysfunction), ambulations, rearing (locomotor activity), number of line crossings and entries into a center circle (anxiety-like behavior), time spent in reciprocal social interaction, and repetitive self-grooming. PPA administration resulted in a decrease in time of fall (21.6 ± 0.8 s) that increased to 204.6 ± 0.96 s after administration of GSH-coated NGN-loaded nanoparticles. Anxiety results in hyperlocomotion, as seen from a large number of rearings (100.2 ± 2.5), ambulations (168.4 ± 0.9), number of line crossings (158.2 ± 1.8), and number of entries in center circle (58.6 ± 1.6). PPA administration (i.e., induction of ASD-like phenotype) also results in a decrease in time spent in social interaction (89.3 ± 0.45 s) and increase in time spent in repetitive self-grooming (356.2 ± 0.75 s). Maximum reduction was observed after administration of GSH-coated NGN-loaded nanoparticles and the minimum reduction was after administration of NGN (25 mg/kg). GSH-NGN-NPs showed significant reduction in neuroinflammatory markers like TNF-α (182.1 ± 1.2 pg/ml to 6.2 ± 0.20 pg/ml), MMP-9 (13.4 ± 0.8 ng/ml to 1.2 ± 0.94 ng/ml), and HSP-70 (492.8 ± 1.7 ng/ml to 17.2 ± 2.8 ng/ml) in plasma. TNF-α levels in the brain also showed reduction after administration of GSH-NGN-PLGA nanoparticles (1122.2 ± 1.5 pg/ml to 28.4 ± 1.5 pg/ml). Efflux transporter (P-gp) at BBB was upregulated in PPA administered rats as observed from P-gp concentration (58.3 ± 0.8 ng/ml versus control reading of 43.98 ± 1.7 ng/ml) in the brain homogenate. Maximum reduction in P-gp concentration was reported in GSH-coated nanoparticles (2.92 ± 0.8 ng/ml) treated group.

To find out the relationship between PK and PD parameters, the results were analyzed using the sigmoid E\textsubscript{max} model with the help of PK Solver 2.0 (Microsoft Excel Add-Ins program). The graphs showing predicted and observed responses at various concentrations for plasma (▷ Fig. 1) as well as the brain (▷ Fig. 2). Various PD parameters like E\textsubscript{b} (baseline effect when no concentration is present), E\textsubscript{max} (maximum effect), EC\textsubscript{50} (concentration at which 50 % effect occurs), \gamma (sigmoidicity factor), R\textsuperscript{2} (regression coefficient), and Akaike information criterion (AIC) (measure of goodness of fit for the model) for various behavioral tests, neuroinflammatory markers, and efflux transporter described above have been given in ▷ Table 1.

Discussion
The main aim for the development of PK-PD model of NGN and its nanocarriers was to understand the relationship between plasma and brain concentration of NGN and behavioral alterations such as social behavior, repetitive behavior, sensorimotor dysfunction, hyperlocomotion, and anxiety as well as neuroinflammatory markers such as TNF-α, MMP-9, and HSP-70 and P-gp. Sigmoid E\textsubscript{max} model was used to establish a PK-PD correlation. PPA administration resulted in a decrease in time of fall (21.6 s), increase in number of rearings and ambulations (100.2 and 168.4), increase in number of line crossings and entries into center circle (158.2 and 58.6) indicating anxiety, increase in time spent in self-grooming (356.2 s), and decrease in social interaction time (89.3 s) as well as increase in levels of neuroinflammatory markers such as TNF-α, MMP-9, and HSP-70 and upregulation of efflux transporter, P-gp. E\textsubscript{b} values indicated the parameters after administration of PPA and before the beginning of treatment with NGN and its nanocarriers. These results are consistent with the scientific literature indicating about the effect of short-chain fatty acids such as PPA in generating neuroinflammatory cascade and causing alterations in behavior, biochemical, and molecular alterations in autistic patients [36–38]. Moreover, clinical findings also suggest an increase in the levels of neuroinflammatory markers such as TNF-α, MMP-9, and HSP-70 and oxidative stress in autistic patients [39, 40]. The results of sigmoid E\textsubscript{max} model showed that after administration of unencapsulated NGN (25, 50, and 100 mg/kg) as well as its coated and uncoated nanocarriers (25 mg/kg), maximum effect occurred after administration of GSH-coated NGN-loaded nanoparticles as indicated by E\textsubscript{max} values and minimum after administration of NGN (25 mg/kg) as maximum plasma and brain concentrations of NGN were achieved after administration of GSH-coated nanoparticles and minimum with NGN (25 mg/kg). Hence, after administration of different doses of NGN and its nanocarriers, there was an improvement in behavior and reduction in the levels of neuroinflam-
matory markers such as TNF-α, MMP-9, and HSP-70 and P-gp by virtue of its neuroprotective effect as a result of its antioxidant action [41]. However, the effect was maximum as a result of brain-targeted action of GSH-coated nanocarriers. The sigmoid $E_{\text{max}}$ model showed good fit as indicated from values of $R^2$, which was 0.99 and showed the closeness of observed and predicted value (observed value of $E_{\text{max}}$ for number of line crossings was 88.6 s but the predicted value was 95.74). A similar pattern was observed for other effects. A small AIC value indicates the appropriateness of the model and goodness of fit of the data. Sigmoidity factor ($\gamma$) is the curve-fitting parameter in the sigmoid $E_{\text{max}}$ model indicating the steepness of the concentration-effect relationship. Its values ranged from 1.31–21.4 (brain) and 1.68–14.95 (plasma). The maximum value of $\gamma$ was for TNF-α levels in the brain indicating a very steep sigmoid curve. The P-gp concentration in the Sigmoid $E_{\text{max}}$ model also indicated enhanced inhibition of these efflux transporters due to the presence of the coating. Hence, encapsulation of NGN in the nanoparticles as well as coating with GSH and tween 80 not only resulted in improved bioavailability but also resulted in brain targeting as a result of inhibition P-gp. The increase in plasma as well as brain concentration of NGN correlated with improvement in social interaction ability, repetitive behavior, sensorimotor dysfunction, and anxiety-like behavior after administration of coated nanoparticles (25 mg/kg) as compared to uncoated and even with the highest dose of NGN (i.e., 100 mg/kg). Our results are in line with those documented in the literature that indicated improvement in bioavailability after encapsulation of drug in nanoparticles and inhibition of P-gp efflux and enhanced brain uptake after coating with GSH and tween 80 [42–45].

Hence, the PK-PD modeling done by sigmoid $E_{\text{max}}$ model showed a positive correlation between plasma/brain drug concentration and neuroinflammatory markers as well as behaviors consistent with the ASD phenotype.

**Material and Methods**

**Animals and drugs**

Male Sprague-Dawley rats (250–280 g), 3–4 months old and bred in Central Animal House Facility of Panjab University, Chandigarh (India), were used. The rats were housed individually in cages and given free access to standard laboratory food (Ashirwad Industries) and
water. The experimental protocol was approved by Institutional Animal Ethics Committee of Panjab University, Chandigarh (PU/45/99/CPCSEA/IAEC/2018/115) and was conducted according to Committee for the Purpose of Control and Supervision on Experiments on Animals (CPCSEA) guidelines for the use and care of experimental animals.

PPA, NGN (MW = 272.25, purity ≥ 98 % HPLC), Resomer RG 502 H (Poly(DL-lactide-co-glycolide) (PLGA)), 50:50, MW = 7 000–17 000), Kolliphor P 188 (poloxamer 188), polysorbate 80 (tween 80), and reduced GSH were purchased from Sigma Chemical Co. TNF-α ELISA and MMP-9 assay kits were purchased from R&D Systems. Assay kits for HSP-70 and P-gp were purchased from Qayee Biotechnology-life sciences and Wuhan Sciences, respectively. All other chemicals used for biochemical estimations were of analytical grade.

Preparation of NGN-PLGA uncoated as well as coated nanoparticles

The NGN-PLGA nanoparticles were prepared by nanoprecipitation method reported by Fessi et al. [46] with minor modifications. NGN-PLGA nanoparticles were further coated with reduced GSH and polysorbate 80 in order to enhance brain delivery. One percent polysorbate 80 coating over NGN nanoparticles was done as reported by Wilson et al. (2008) with minor modifications. While GSH-coated nanoparticles were prepared with minor modifications in the method reported by Geldenhuys et al. [45]. The nanoparticles were characterized for size, morphology, entrapment efficiency, and in vitro drug release. The average particle size of uncoated NGN nanoparticles was found to be 143.93 ± 2.68 nm while GSH and tween 80–coated nanoparticles were found to be 223.86 ± 6.09 nm and 152.4 ± 2.1 nm, respectively (to-be published data).

Study design

ASD was induced in rats by administering an intracerebroventricular injection of 1M PPA according to the procedure of MacFabe et al. with some modifications and as in our published studies [19–21].

For the current study, rats were randomly selected and divided into 7 groups of 5 animals each. The first group was ASD induced group that received 1M PPA. The second, third, and fourth groups consisted of ASD-induced animals (administered with 1M PPA) treated with 25, 50, and 100 mg/kg (peroral) NGN administered thrice daily (8 hourly). The fifth, sixth, and seventh groups were administered with NGN-loaded PLGA nanoparticles (NGN-PLGA-NP), reduced GSH-coated NGN-loaded PLGA nanoparticles (GSH NGN-
PLGA-NP), and tween 80–coated NGN-loaded PLGA nanoparticles (tween 80-NGN-PLGA-NP) after induction with ASD at the dose of 25 mg/kg, administered thrice daily (8 hourly). Starting from the second day of experiment till 21st day, NGN, as well as its lyophilized nanoparticles, were administered after suspension in 0.5% w/v sodium carboxymethylcellulose, thrice daily.

On the 22nd day, blood samples were taken, 8 h after the last dose on the 21st day, and plasma was separated for estimating the concentration of NGN as well as levels of TNF-α, MMP-9, and HSP-70. The behavioral tests such as rotarod (sensorimotor dysfunction), actophotometer (locomotion), open-field (anxiety), reciprocal social interaction, and repetitive self-grooming were performed, and the animals were sacrificed under deep anesthesia. The brains were excised and analyzed for concentration of NGN as well as TNF-α and P-gp concentrations.

**Behavioral tests and neuroinflammatory biomarkers**

ASD is associated with core symptoms such as the inability to socially interact and repetitive behavior, whereas sensorimotor dysfunction changes in locomotor activity and anxiety are some of the associated behaviors occurring in ASD. Hence, in order to establish a PK-PD model for understanding the effect of treatment with various doses of NGN and its coated and uncoated nanocarriers, the following behavioral tests were conducted and biomarkers were evaluated. Details of the behavioral tests are given in the Supporting Information (section S1).

**Behavioral tests**

Briefly, associated behaviors like sensorimotor dysfunction, locomotor activity, and anxiety were assessed by rotarod test [47], actophotometer [48], and open-field test [49], respectively. Neurobehavioral tests for core autistic behaviors such as social interaction ability and repetitive behavior were assessed by reciprocal social interaction test [50] and repetitive self-grooming [51], respectively. These tests were conducted 8 h after the administration of the last dose.

**Neuroinflammatory biomarkers and concentration of P-gp at BBB as pharmacodynamic parameters**

ELISA assay was conducted to analyze neuroinflammatory biomarkers such as TNF-α, MMP-9, and HSP-70 using the rat plasma; however, levels of TNF-α were also assessed in brain homogenate. These were assessed using a rat TNF-α kit (R&D Systems) and quantikine MMP-9 (R&D Systems). HSP-70 was estimated to assay the level of HSP-70 in plasma (Qayee Biotechnology) and concentration of P-gp in the brain samples were determined by rat P-gp kit (Wuhan Sciences). P-gp concentration was assessed in brain homogenate as neuroinflammation leads to upregulation of P-gp.

**Blood sampling and brain tissue collection**

Thirty-five Sprague-Dawley rats were randomly divided into 7 groups with 5 animals in each group. Five-hundred-microliter blood samples were collected 8 h after the last dose on the 21st day by retro-orbital venous plexus puncture under mild ether anesthesia. The samples were collected in heparinized tubes and plasma was separated by centrifugation at 10,000 rpm for 10 min. Brain samples were collected by cervical dislocation after the behavioral
tests. Both plasma and brain samples were stored at – 80 °C for further analysis.

Estimation of NGN in plasma and brain using RP-HPLC method

A simple, sensitive, robust, and effective reversed phase (RP)-HPLC method was developed with some modifications and validated in our laboratory for detection and quantification of NGN in plasma and brain [52].

PK-PD modeling

The onset, intensity, and duration of pharmacological effect of the drug depend on the dose and pharmacokinetics of the drug, which determines drug concentration in plasma as well as a receptor site. We applied sigmoid E max model [35] to study the relationship between the concentration of NGN in plasma and pharmacological effects like sensorimotor dysfunction, locomotor activity, anxiety-like behavior, reciprocal social interaction, repetitive self-grooming, and TNF-α, MMP-9, and HSP-70 levels. The same model was applied to study the relationship between the concentration of NGN in the brain and above-mentioned pharmacological effects except for MMP-9 and HSP-70. Also, the concentration of P-gp at the blood-brain barrier was considered.

Sigmoid E max model

This model, a generalization of the E max model, is based on the observation that an increase in drug concentration near maximum pharmacologic response produces a disproportionately smaller increase in pharmacologic response. This model has been derived from the theory of drug-receptor interaction. The sigmoid E max model, like the E max model, describes drug action in terms of maximum effect (E max) and EC 50 (the drug concentration that produces 50% of E max). The equation for the sigmoid E max model is

$$E = \frac{E_{max} \times C}{EC_{50} + C} + E_0$$

where E 0 represents the value of E when no drug is present. The exponent γ is the sigmoid factor or steepness of the curve; γ = 1 for the hyperbolic curve, γ > 1 for steeper curve, and γ < 1 for a smoother curve. A very large γ value may indicate allosteric or cooperative effect in the interaction of the drug molecules with the receptor.

In order to study PK-PD correlation, 3 doses (25, 50, and 100 mg/kg) of unencapsulated NGN and 3 doses consisting of different formulations, namely uncoated NGN nanoparticles (25 mg/kg) as well as GSH and tween 80–coated NGN nanoparticles, (25 mg/kg) were administered, which resulted in 6 different concentrations of NGN in plasma and brain. Our pharmacokinetic study (unpublished data) indicated that the peak plasma concentration of NGN after the administration of free NGN (25 mg/kg) was 4 227.08 ± 0.52 ng/mL. The peak plasma concentrations of NGN were significantly enhanced after administration of NGN-PLGA nanoparticles (5 325.057 ± 0.57 ng/mL) and were further increased as a result of their surface modification with GSH (6 323.09 ± 0.415 ng/mL) and tween 80 (6 189.05 ± 0.305 ng/mL). Brain concentration was also 1.63 times and 1.39 times enhanced after administration of GSH and tween 80–coated nanocarriers as compared to uncoated nanocarriers. Also, from our previous pharmacokinetic studies (unpublished data), it was observed that half-life for coated as well as uncoated NGN-loaded PLGA nanoparticles is approximately 4 h, whereas for free NGN, the half-life is approximately 2.6 h. Therefore, in case of nanoparticles, the minimum residual dose on the 22nd day (8 h after the last dose on 21st day) would be a higher proportion of the C max of the corresponding dose as compared to unencapsulated NGN. Thus, plasma and brain concentrations of NGN vary with different doses of NGN (unencapsulated) and coated as well as uncoated nanocarriers resulting in 6 different concentrations. The pharmacodynamic parameters were measured for all the above 6 dosage forms.

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Conflicts of Interest

The authors declare no conflicts of interest.

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