Introduction

Today, endoscopic mucosal resection (EMR) is a well-established and widely accepted resection technique for colorectal adenomas [1]. The major disadvantage is an increased risk of recurrence when endoscopic resection (ER) has to be performed piecemeal in lesions exceeding 20 mm in diameter. Endoscopic submucosal dissection (ESD) allows en bloc resection regardless of a lesion's size, leading to a minimized recurrence risk [2]. However, ESD is technically difficult, time-consuming and there is a learning curve especially for western endoscopists [3–4]. Western data on colorectal ESD are limited and the role of ESD for colorectal lesions is, at present, not well defined.

When rectal neoplasia extends to the dentate line (RNDL), ER involves specific difficulties. In comparison to the proximal rectum and the colon, risk for bleeding and pain may be higher due to presence of rectal venous plexus and sensory nerves in the squamous epithelium of the anal canal. Furthermore, direct drainage into the systemic circulation can increase risk of bacteremia. Beyond these anatomical characteristics, the narrow lumen and vicinity of the anal sphincter increase the technical difficulties including poor maneuverability and reduced visualization of the resection field. Today, data on ER in RNDL are limited and current guidelines give no specific recommendations...
on the ideal treatment strategy (EMR, ESD, transanal surgery) [5–6].

The aim of this study was to analyze the efficacy and safety of ESD in RNDLs in a European center beyond the learning curve.

Patients and methods

The study was conducted as a single-center uncontrolled study in a German referral center (Department of Gastroenterology, Klinikum Augsburg, Germany). Patients were included in the German ESD registry which was approved by the ethics committee of the Ludwig-Maximilians-University Munich, Germany. Data were collected prospectively within this registry and analyzed retrospectively. At the beginning of the study, ESD was standard treatment for rectal neoplasia in our department as reported previously [7]. Patients were included from June 2016 to February 2018.

Inclusion criteria for ESD

- Endoscopic diagnosis of rectal neoplasia (0 – 15 cm from the anal verge)
- Lesions diameter ≥ 20 mm
- Age ≥ 18 years
- American Society of Anesthesiologists (ASA) Score I–III
- Written informed consent to participate in the German ESD registry
- Written informed consent for the ESD procedure after patients received detailed information about ESD and alternative treatment options (EMR, transanal surgery).

Exclusion criteria for ESD

- Suspected invasion into or beyond the deep submucosal layer after diagnostic workup (≥ T1m3 carcinoma)
- Biopsies showing submucosal invasive cancer
- Circumferential lesions
- Pretreated lesions with complete non-lifting
- Submucosal lesions
- Concomitant malignant disease without curative treatment option

Secondary exclusion after ESD

- Histopathological diagnosis of submucosal invasive cancer (SMIC)

Outcome criteria

Measured outcome parameters were procedural success (R0 resection rate, recurrence rate) and safety (complications).

Diagnostic workup

Video endoscopy with white light (WLE) and narrow band imaging (NBI) was performed with a videogastroscope (GIF-HQ190; Olympus Medical Systems, Tokyo, Japan).

Lesions were classified according to the Paris classification and the classification of laterally spreading tumors (LST) [8–9]. When SMIC was suspected by morphological criteria (Paris type 0-Is, 0-Is-IIc, 0-IIa-Is, 0-IIa-IIc and/or nongranular type lesions with depressed morphology), biopsies were taken and endoscopic ultrasound (EUS) was performed to rule out advanced cancer (>T1) and LNM. When cancer was confirmed in a biopsy, staging was completed with additional magnetic resonance imaging of the rectum and computed tomography scan of the chest and abdomen. After the diagnostic workup, ESD was performed. When submucosal invasive cancer was shown in biopsies or advanced cancer (>T1) was suspected, ER was not performed and surgical resection was recommended. In lesions appearing benign by macroscopic criteria, EUS was not performed and biopsies were not taken prior to ER.

ESD procedure and follow-up

A videogastroscope (GIF-HQ190, Olympus Medical Systems, Tokyo, Japan) with a transparent cap (D-201-11804, Olympus) at the tip of the scope and insufflation with carbon dioxide was used for ESD. The standard solution for submucosal injection was a mixture of saline, epinephrine (1:100,000), glycerol (10%), and a slight amount of indigo-carmine. Local anesthetics were not used for injection. During and after completed ESD, large visible vessels or bleeding sites were coagulated with the Coagrasper (FD-410 LR, Olympus). Antibiotics were administered individually depending on the endoscopist’s decision. A VIO 300D electrosurgical generator (ERBE Elektromedizin, Tübingen, Germany) was used (endo cut 1 mode 60–80 W for cutting and spray coag mode 60 W for coagulation). Mucosal incision and submucosal dissection were performed with the hookknife (KD-620LR; Olympus).

ESD was performed in a standardized way starting with injection, mucosal incision, and submucosal dissection at the lesion’s distal margin (forward view). Afterward, mucosal incision was completed circumferentially (retroflexed view) and submucosal dissection was completed in forward and retroflexed position. In lesions exceeding 30% to 40% of the circumference, a submucosal tunnel was created in the middle of the resection area after circumferential incision and dissection was completed towards the lateral margins afterwards. An ESD procedure is shown in Fig. 1. Sedation with midazolam, pethidine and propofol was administered by a second physician, under continuous cardiorespiratory monitoring. Patients stayed in the hospital for 48 to 96 hours after ESD. Routine control endoscopies were not performed. An equal number of ESD procedures were performed by three endoscopists experienced in rectal ESD (A.P., A.E., H.M.). Each endoscopist had performed 50 to 200 rectal ESDs prior to the study. Anticoagulants except aspirin had been stopped before ESD and were restarted 5 to 7 days after the procedure depending on the endoscopist’s decision.

During the hospital stay, the patient’s body temperature was taken twice daily. When it exceeded 38°C, antibiotics were started intravenously (ceftriaxone 2 g once daily and metronidazole 0.5 g every 8 hours). Patients were questioned three times a day about postinterventional pain, and analgetics were offered on demand at any time (metamizole, acetaminophen).

When SMIC was diagnosed in the specimen, the further treatment strategy (follow-up versus surgery) was discussed in an interdisciplinary board of gastroenterologists and surgeons.
Follow-up endoscopy was performed 4 to 6 months after ESD with a videogastroscope (GIF-HQ190, Olympus Medical Systems, Tokyo, Japan) with a transparent cap using WLE and NBI. Biopsies were taken when residual neoplasia was suspected. When recurrence was diagnosed, endoscopic retreatment was performed and another follow-up endoscopy was scheduled 3 months later. When recurrence was ruled out, follow-up endoscopy was scheduled 1 year later. Follow-up recommendation was given according to a local protocol.

**Histopathologic workup**

ESD specimens were fixed on cork with needles. Specimen size was measured and specimens were sent for histopathological assessment. The specimens were cut into parallel sections of 3-mm thickness or less and completely embedded in paraffin in an oriented fashion. Intramucosal lesions were classified as adenoma with low-grade or high-grade intraepithelial neoplasia (LGien, HGien). R0 or R1 was described for the vertical margin (VM) and the horizontal margin (HM).

**Complications**

Complications were defined as bleeding, perforation, stenosis or death. Bleeding during ESD was considered as a complication when it was severe, leading to premature termination of ER. Delayed bleeding was defined as when clinical bleeding signs were observed after ESD (rectal bleeding or hemoglobin drop >2 g/L) [10]. In these cases, endoscopic treatment was performed. Perforation was defined as an obvious endoscopic view into the perirectal space or the peritoneal cavity. Stenosis was considered as a complication when it was symptomatic.

Complications were classified according to the Common Terminology Criteria for Adverse Events (CTCAE) Version 4.0.

**Statistical analysis**

Depending on the number of groups, a Mann-Whitney Rank Sum test or a Kruskal-Wallis One Way Analysis of Variance on Ranks was used to compare numeric values. For the comparison of categorical data, a Chi Square or Fisher Exact test was employed, depending on the expected frequency of the observations. P values <0.05 were considered statistically significant. Calculations were performed using the software package Sigma Plot 13.0 (Systat Software, San Jose, California, United States).

**Results**

**Patient and lesion characteristics**

Over a 17-month-period, 112 patients referred for ER of rectal neoplasia were enrolled (60 % men; median age 64.0 y, range 36–86 y). After exclusion of 11 patients (9.8 %), ESD was performed in 101 patients (90.2 %).

[Fig. 2](#) gives an overview of the study population. Histopathology revealed SMIC in 15 of the resected lesions (14.9 %). Nine of them underwent additional surgery (6 patients with deep invasion making completion of en bloc resection impossible and leading to piecemeal resection and another 3 patients with R0 resection but submucosal invasion exceeding 1000 µm in the ESD specimen). Surgical specimens showed one pT2pN0 and another pT2pN1 cancer while seven specimens did not show residual cancer or lymph node metastasis. Six patients underwent follow-up after ESD.
of pT1 cancers with submucosal invasion ≤ 1000 µm and did not show recurrence during follow-up. None of the SMICs had extended to the dentate line. To make the groups comparable for follow-up and especially regarding recurrences, SMICs were excluded from further analysis.

Eighty-six benign lesions were included for further analysis. Twenty-four lesions extended to the dentate line (27.9%) while 62 lesions did not reach the squamous epithelium of the anal canal (72.1%). Female sex was higher in the RNDL group (72.1%) compared to proximal lesions (62.3%). 30 of 86 patients (34.9%) (41.7% in the RNDL group versus 32.3% after ER of proximal lesions; P = 0.039). After en bloc resection, five RNDL and three proximal lesions were judged R1/Rx at the HM. R1 resection at the HM was not observed in any lesion. When R0 resection could not be confirmed in RNDL specimens, the reason was R1/Rx within the squamous epithelium in two patients. In another two patients, non-neoplastic squamous epithelium was not found in the specimen while the margins showing R0 resection showed non-neoplastic rectal epithelium. In one patient, R1 was diagnosed within the rectal mucosa only. Recurrence rate was 1.2% (1/80) after en bloc resection and 66.7% (4/6) after piecemeal resection. Recurrences were small (<5 mm in diameter) and were treated with forceps biopsy in four cases and with additional APC in one case. One patient with a small recurrence underwent surgery because of symptomatic stenosis of the proximal rectum.

**Complications**

Delayed bleeding occurred in five of 24 lesions (20.8%) after ESD for RNDL and in none of the lesions that did not reach the dentate line (P = 0.001). In three patients, bleeding was treated with endoscopic clipping (Days 1, 2 and 12 after ESD). Coagulation of visible vessels was performed in the remaining two (Days 1 and 4). Blood transfusion was not required in any patient. None of the patients took anticoagulants at the time of the bleeding. Perforation was diagnosed during ER of a 50-mm LST 12 cm from the dentate line. Perforation was caused by snare resection when ESD had been switched to EMR because of poor maneuverability of the scope. An over-the-scope clip (OTSC, Ovesco, Tübingen, Germany) was used and antibiotics were administered. The further course was uneventful and the patient was discharged 48 hours later.

One patient developed rectal stenosis 2 months after ESD of a granulartype LST reaching the dentate line for 75% of the circumference, resulting in a resection ulcer of 90% of the circumference. Balloon dilatation was performed once and further follow-up over 10 months was uneventful. Another stenosis was diagnosed 2 months after circumferential ER of a recurrent LST after previous EMR. The lesion was located 12 cm from the anal verge and ESD had been switched to EMR because of severe submucosal fibrosis and poor maneuverability of the scope. After two sessions of balloon dilatation, recurrent adenoma was diagnosed within the stenosis 3 months after ER and the patient preferred surgical treatment. Transabdominal resection with temporary ileostomy was performed.

Antibiotics were administered in a prophylactic approach in 30 of 86 patients (34.9%) (41.7% in the RNDL group versus 32.3% after ER of proximal lesions; P = 0.656). Six of 56 patients (10.7%) without prophylactic antibiotics developed fever (>38°C) on the day of or after the procedure. Antibiotics were started when fever was observed and continued for 72 hours. Fever was seen also in three of 30 patients who had received prophylactic antibiotics (10.0%). Temperature normalized within 48 hours in all patients.

Of the patients, 19.8% reported pain and accepted metamizole or acetaminophen within 48 hours after ER. Need for anal-
getics was higher in the RNDL group; because of small patient numbers, the difference was not statistically significant (33.3 % vs. 14.5 %; \( P = 0.07 \)). At discharge, none of the patients needed further analgetics. Adverse events and their CTCAE grading are summarized in \[\text{Table 3}\].

**Discussion**

Screening colonoscopies have been introduced in several countries over the last decades and could be shown to be effective in detecting premalignant adenomas and CRCs at an earlier stage. ER of benign adenomas prevents the incidence and reduces the mortality of colorectal cancer (CRC) [11]. However, ER becomes difficult and shows an increased risk of incomplete resection and recurrence when lesions are large (≥40 mm) or located close to the ileocecal valve, appendiceal orifice or dentate line [12]. For rectal neoplasia extending to the dentate line (RNDL), endoscopic resection techniques compete with transanal surgery. Randomized studies are lacking and the optimal treatment strategy is not well defined.

ER at the dentate line contains specific difficulties related to the anatomical features of the anal canal. In contrast to the proximal rectum scope, maneuverability is limited and visualization of the resection field is reduced. Moreover, risk for bleeding and pain might be increased due to presence of the rectal venous plexus and the sensory nerves in the squamous epithelium of the anal canal.

ESD can achieve high rates of en bloc resection and R0 resection regardless of lesion size, leading to minimization of risk of recurrence [2, 7]. Another advantage is the possibility of inject-
ing, cutting and coagulating very precisely and very close to the mucosa even in areas with poor maneuverability such as the anal canal. When ESD was not available, RNDL were treated surgically in most cases and only two small studies report on conventional EMR for such lesions. As reported from large EMR studies for colorectal neoplasia, these studies confirmed technical success with and safety of EMR but also a substantial recurrence risk of 22.2% and 18.4%, respectively [13, 14]. Holt et al. included 24 lesions involving the anorectal junction, which was defined as “involving the dentate line or lying within 2 cm of the dentate line” [13]. Liu et al included 49 lesions but only 24 of them showed a distance of less than 1 cm to the dentate line [14]. For interpretation of EMR data of RNDL, these inclusion criteria have to be taken into account and EMR might be even more difficult or impossible when involvement of the dentate line is extensive. Meanwhile, retrospective Asian studies reported on ESD for RNDL showing en bloc resection rates exceeding 90% and a recurrence rate of 0% in most reports [15–19]. Prospective data and Western data on ESD for RNDL are not available so far. Table 4 summarizes published studies on endoscopic treatment of RNDL.

In our study 86 patients with large rectal adenomas were treated with ESD. A substantial proportion (27.9%) extended to the dentate line. Only lesions reaching the squamous epithelium were judged RNDL, which makes a comparison to published EMR data difficult.

As reported in nearly all studies on ER in RNDL and in contrast to studies including all colorectal neoplasia, a predominance of the female sex was seen in RNDL (54.2%). In accordance with published data, we could confirm high en bloc resection for both RNDL and proximal rectal lesions (91.7% vs. 93.5%, P=0.670). R0 resection was significantly lower after ESD for RNDL (70.8% vs 88.7%; P=0.039). Despite the reduced R0 resection rate, the recurrence rate was low in the RNDL group and only one recurrence was observed after en bloc resection of RNDL (4.5%). A decreased R0 resection rate of only 53.3% after ESD for RNDL without an increase in the recurrence rate was also described by Imai et al. previously [16]. One reason might be thermal damage to the resection specimen at the distal margin within the squamous epithelium. This hypothesis is supported by findings from our study when R1/Rx resection was located within the squamous epithelium in 80% and within the rectal mucosa in only 20%. Due to the narrow space of the anal canal, a wide distance between the mucosal incision and the lesions margin cannot be achieved and risk for coagulation of lesion margins might be also increased during dissection. Close follow-up seems adequate after R1/Rx resection of ER in RNDL. Procedure time in our study was comparable with Asian data reporting median procedure times of 99 to 127 minutes [15–19].

Major concerns in ER close to the dentate line are risks for pain, bleeding, and bacteremia. In 2011, Sanchez-Yague et al. proposed injection of lidocaine to reduce intraprocedural and postprocedural pain [20]. Despite lack of data on the pain rate without injection of anesthetics and lack of further data, all Asian studies followed this regimen and reported postinterventional pain in 17.2% to 33.3% of cases. To avoid potential side effects after intravascular injection, we used injection fluid without local anesthetics in our study and observed pain in 33.3% of cases after ESD for RNDL but also in 14.5% after ESD distant from the dentate line. The theoretical benefit of local anesthetics is not well demonstrated today. It should be further investigated and a randomized study would be desirable. Asian authors report delayed bleeding in 0% to 28.6% of cases. We confirmed a significant increase in delayed bleeding after ESD of RNDL but endoscopic treatment was always possible (20.8% vs. 0%; P=0.001). The role of antibiotic prophylaxis to prevent fever and infection was unclear when we started our study. Imai et al. had reported a 22.2% rate of high-grade fever after ESD of RNDL but other authors did not report on this [16]. In our study,

<table>
<thead>
<tr>
<th>N</th>
<th>86</th>
<th>24</th>
<th>62</th>
</tr>
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<tbody>
<tr>
<td>Delayed bleeding, n (%)</td>
<td>5 (5.8%)</td>
<td>5 (20.8%)</td>
<td>0</td>
</tr>
<tr>
<td>CTCAE grade</td>
<td>2</td>
<td></td>
<td></td>
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<tr>
<td>Perforation, n (%)</td>
<td>1 (1.2%)</td>
<td>0</td>
<td>1 (1.6%)</td>
</tr>
<tr>
<td>CTCAE grade</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stenosis, n (%)</td>
<td>2 (2.4%)</td>
<td>1 (4.2%)</td>
<td>1 (1.6%)</td>
</tr>
<tr>
<td>CTCAE grade</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pain, n (%)</td>
<td>17 (19.8%)</td>
<td>8 (33.3%)</td>
<td>9 (14.5%)</td>
</tr>
<tr>
<td>CTCAE grade</td>
<td>2</td>
<td></td>
<td></td>
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<tr>
<td>Use of prophylactic antibiotics, n (%)</td>
<td>30 (34.9%)</td>
<td>10 (41.7%)</td>
<td>20 (32.3%)</td>
</tr>
<tr>
<td>because of fever (&gt;38°C)</td>
<td>6 (7.0%)</td>
<td>2 (8.3%)</td>
<td>4 (6.5%)</td>
</tr>
<tr>
<td>Total</td>
<td>36 (41.9%)</td>
<td>12 (50%)</td>
<td>24 (38.7%)</td>
</tr>
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</table>

CTCAE, Common Terminology Criteria of Adverse Events (version 4.0)
Antibiotics were administered individually depending on the endoscopist’s decision. High-grade fever occurred in 10.6% of patients who had received prophylactic antibiotics and in 10% of patients who had not received the drugs. Severe infections were not observed. Due to non-randomization we cannot draw a conclusion from these observations. Recently, Lee et al. demonstrated a significant reduction in post-ESD electrocoagulation syndrome after use of prophylactic antibiotics in a randomized study [21]. In accordance with these data, we would recommend prophylactic antibiotics for all colorectal ESDs in the future.

ER of RNDL competes with surgical resection techniques such as transanal full-thickness-resection and transanal endoscopic microsurgery (TEM). Recently, a randomized study failed to demonstrate non-inferiority of conventional EMR in comparison to TEM. Recurrence rates were 15% after EMR and 11% after TEM. Median procedure times were 53 minutes and 60 minutes, respectively. Specific analysis of RNDL was not included [22]. Randomized prospective studies comparing ESD and surgery are lacking.

**Conclusions**

ESD is a feasible and safe resection technique for RNDL. The recurrence rate is lower than that previously reported for EMR and TEM. Rates of delayed bleeding and postinterventional pain are increased in RNDL but complications can be managed conservatively.

Limitations of this study are the small number of patients and lack of a control group. Randomized controlled trials comparing ESD to other resection techniques (EMR or transanal surgery) are needed to define the ideal treatment strategy for RNDL.

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**Table 4 Published data on ER for RNDL.**

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</thead>
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<tr>
<td><strong>Resection technique</strong></td>
<td>EMR</td>
<td>EMR</td>
<td>ESD</td>
<td>ESD</td>
<td>ESD</td>
<td>ESD</td>
<td>ESD</td>
<td>ESD</td>
</tr>
<tr>
<td><strong>n</strong></td>
<td>26¹</td>
<td>49²</td>
<td>14³</td>
<td>45³</td>
<td>64³</td>
<td>71³</td>
<td>18³</td>
<td>24</td>
</tr>
<tr>
<td><strong>Male</strong></td>
<td>53.8%</td>
<td>57.1%</td>
<td>28.6%</td>
<td>33.3%</td>
<td>43.7%</td>
<td>36.6%</td>
<td>50%</td>
<td>45.8%</td>
</tr>
<tr>
<td><strong>Lesions largest diameter, mean (range), mm</strong></td>
<td>40 (25 – 180)</td>
<td>51 (30 – 85)</td>
<td>49 (25 – 100)</td>
<td>38 (9 – 80)</td>
<td>43 (10 – 100)</td>
<td>52 (6 – 158)</td>
<td>36 (range n.a.)</td>
<td>55 (30 – 120)</td>
</tr>
<tr>
<td><strong>En bloc resection</strong></td>
<td>N/A (pie-cemeal)</td>
<td>N/A (pie-cemeal)</td>
<td>85.7%</td>
<td>95.6%</td>
<td>93.8%</td>
<td>98.6%</td>
<td>100%</td>
<td>91.7%</td>
</tr>
<tr>
<td><strong>R0 resection</strong></td>
<td>N/A (pie-cemeal)</td>
<td>N/A (pie-cemeal)</td>
<td>N/A</td>
<td>53.3%</td>
<td>93.8%</td>
<td>N/A</td>
<td>88.9%</td>
<td>70.8%</td>
</tr>
<tr>
<td><strong>Procedure time, mean (range), minutes</strong></td>
<td>26 (5 – 80)</td>
<td>57 (29 – 126)</td>
<td>99 (30 – 240)</td>
<td>104 (25 – 420)</td>
<td>121 (30 – 270)</td>
<td>127 (28 – 540)</td>
<td>103 (range n.a.)</td>
<td>127 (49 – 400)</td>
</tr>
<tr>
<td><strong>Injection of anesthetic</strong></td>
<td>ropivacaine or bupivacaine 0.5%</td>
<td>none</td>
<td>lidocaine 1%</td>
<td>lidocaine 1%</td>
<td>lidocaine 1%</td>
<td>lidocaine 1%</td>
<td>lidocaine 1%</td>
<td>none</td>
</tr>
<tr>
<td><strong>Delayed bleeding</strong></td>
<td>4.2%</td>
<td>8.2%</td>
<td>28.6%</td>
<td>2.2%</td>
<td>14%</td>
<td>0</td>
<td>5.6%</td>
<td>20.8%</td>
</tr>
<tr>
<td><strong>Perforation</strong></td>
<td>0</td>
<td>0</td>
<td>7.1%</td>
<td>4.4%</td>
<td>0</td>
<td>n.a.</td>
<td>0</td>
<td>4.2%</td>
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<tr>
<td><strong>Stenosis</strong></td>
<td>8.3%</td>
<td>0</td>
<td>7.1%</td>
<td>2.2%</td>
<td>n.a.</td>
<td>n.a.</td>
<td>n.a.</td>
<td>33.3%</td>
</tr>
<tr>
<td><strong>Pain</strong></td>
<td>n.a.</td>
<td>n.a.</td>
<td>n.a.</td>
<td>26.7%</td>
<td>n.a.</td>
<td>n.a.</td>
<td>n.a.</td>
<td>n.a.</td>
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<tr>
<td><strong>Recurrence</strong></td>
<td>22.2%</td>
<td>18.4%</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>4.5%</td>
<td></td>
</tr>
</tbody>
</table>

1 Lesions involved the dentate line or were lying within 2 cm of the dentate line
2 24 lesions (49%) were located 0 to 1.0 cm from the dentate while 25 (51%) were located 1.1 to 2.0 cm from the dentate line
3 All lesions involved the dentate line
Competing interests

None

References